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## Experimental study of heat transfer characteristics of finned-tube and circular-pore heat exchangers in oscillatory flow --Manuscript Draft--

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| <b>Abstract:</b>              | <p>This work is concerned with an experimental investigation of the thermal performance of two thermoacoustic heat exchangers characterized by different pore geometries, namely a circular-pore geometry and a finned-tube geometry. A standing wave engine, where the heat exchangers under test play the role of ambient HXs, is used as test-rig. Heat transfer rates measurements by standard energy balance techniques and dynamic pressure measurements are used to assess the impact of the two heat exchangers on the engine performance. The gas-side heat transfer coefficient, expressed as Nusselt number, is also determined for the finned-tube heat exchanger. The resulting values are compared to the heat transfer coefficients estimated in analogous experimental studies and by predictive models. Results show that the circular-pore heat exchanger reduces the performance of the engine compared to the finned-tube heat exchanger by about 23%, being affected by higher thermal and viscous irreversibility. Moreover, the boundary layer conduction model exhibits a better agreement with the measured heat transfer coefficients compared to other models. A new correlation law, based on regression of the experimental data, is also derived.</p> |
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# Experimental study of heat transfer characteristics of finned-tube and circular-pore heat exchangers in oscillatory flow

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**Abstract.** This work is concerned with an experimental investigation of the thermal performance of two thermoacoustic heat exchangers characterized by different pore geometries, namely a circular-pore geometry and a finned-tube geometry. A standing wave engine, where the heat exchangers under test play the role of ambient HXs, is used as test-rig. Heat transfer rates measurements by standard energy balance techniques and dynamic pressure measurements are used to assess the impact of the two heat exchangers on the engine performance. The gas-side heat transfer coefficient, expressed as Nusselt number, is also determined for the finned-tube heat exchanger. The resulting values are compared to the heat transfer coefficients estimated in analogous experimental studies and by predictive models. Results show that the circular-pore heat exchanger reduces the performance of the engine compared to the finned-tube heat exchanger by about 23%, being affected by higher thermal and viscous irreversibility. Moreover, the boundary layer conduction model exhibits a better agreement with the measured heat transfer coefficients compared to other models. A new correlation law, based on regression of the experimental data, is also derived.

**Keywords:** Thermoacoustics; Heat exchangers; Heat transfer; Oscillatory flow

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## Highlights

- The thermal performance of finned-tube and circular-pore heat exchangers is studied.
- The effect of pore geometry and porosity on engine performance is assessed.
- Finned-tube heat exchanger improves engine performance due to lower irreversibility.
- The dimensionless heat transfer coefficient vs acoustic Reynolds number is derived.
- The **Boundary Layer** Conduction model has the best agreement with experimental data.

## Nomenclature

|    |                              |                                     |                 |                                    |
|----|------------------------------|-------------------------------------|-----------------|------------------------------------|
| 1  | $A$                          | total cross section area, $m^2$     | $T1, \dots, T6$ | temperature sensors                |
| 2  | $A_f$                        | fin cross section area, $m^2$       | $U$             | volumetric velocity, $m^3/s$       |
| 3  | $A_g$                        | cross section area open to gas      | $UA$            | overall heat transfer coefficient, |
| 4  |                              | flow, $m^2$                         |                 | $W/K$                              |
| 5  |                              |                                     | $\dot{V}$       | water volume flow rate, $m^3/s$    |
| 6  | $AHX$                        | ambient heat exchanger              | $Va$            | Valensi number                     |
| 7  | $A_1, A_2$                   | empirically fitted parameters       | $v_1$           | local velocity amplitude, $m/s$    |
| 8  | $c_w$                        | water specific heat, $J/kgK$        | $W_a$           | acoustic power, $W$                |
| 9  | $d$                          | tube wall thickness, $m$            | $x$             | axial irection, $m$                |
| 10 | $D_h$                        | hydraulic diameter, $m$             | $x_{eff}$       | $\min\{2\xi_1, L_{HX}\}$           |
| 11 | $DR$                         | drive ratio ( $P_A/P_m$ )           | $y_{eff}$       | $\min\{R_h, \delta_\kappa\}$       |
| 12 | $f$                          | frequency, $Hz$                     |                 |                                    |
| 13 | $g$                          | HX-stack separation gap, $m$        |                 |                                    |
| 14 | $h$                          | gas-side heat transfer coefficient, |                 |                                    |
| 15 |                              | $W/m^2K$                            |                 |                                    |
| 16 | $h_w$                        | water-side heat transfer            |                 |                                    |
| 17 |                              | coefficient, $W/m^2K$               |                 |                                    |
| 18 | $K$                          | gas thermal conductivity, $W/mK$    |                 |                                    |
| 19 |                              |                                     |                 |                                    |
| 20 | $K_f$                        | fin thermal conductivity, $W/mK$    |                 |                                    |
| 21 | $K_t$                        | tube thermal conductivity,          |                 |                                    |
| 22 |                              | $W/mK$                              |                 |                                    |
| 23 | $L$                          | plate length, $m$                   |                 |                                    |
| 24 | $L_f$                        | half fin length, $m$                |                 |                                    |
| 25 | $L_{HX}$                     | heat exchanger length, $m$          |                 |                                    |
| 26 |                              |                                     |                 |                                    |
| 27 | $L_T$                        | thermal entry length, $m$           |                 |                                    |
| 28 | $m$                          | fin parameter                       |                 |                                    |
| 29 | $Nu$                         | Nusselt number                      |                 |                                    |
| 30 | $p$                          | acoustic pressure (Pa)              |                 |                                    |
| 31 | $P_A$                        | pressure amplitude at a pressure    |                 |                                    |
| 32 |                              | antinode, Pa                        |                 |                                    |
| 33 | $P_m$                        | mean pressure, Pa                   |                 |                                    |
| 34 | $Pr$                         | Prandtl number                      |                 |                                    |
| 35 | $P1, \dots, P3$              | pressure sensors                    |                 |                                    |
| 36 | $\dot{Q}$                    | convective heat transfer rate, $W$  |                 |                                    |
| 37 | $\dot{Q}_A$                  | AHX heat transfer rate, $W$         |                 |                                    |
| 38 | $\dot{Q}_H$                  | input heat transfer rate, $W$       |                 |                                    |
| 39 | $\dot{Q}_{K1}, \dot{Q}_{K3}$ | conductive heat transfer rate, $W$  |                 |                                    |
| 40 |                              |                                     |                 |                                    |
| 41 | $\dot{Q}_{leak}$             | leakage heat transfer rate, $W$     |                 |                                    |
| 42 | $Re$                         | acoustic Reynolds number            |                 |                                    |
| 43 | $R_h$                        | hydraulic radius, $m$               |                 |                                    |
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## Greek symbols

|                 |                                       |
|-----------------|---------------------------------------|
| $\Delta$        | difference                            |
| $\Delta T_{ms}$ | gas-solid temperature difference, $K$ |
| $\delta_\kappa$ | thermal penetration depth, $m$        |
| $\eta$          | engine efficiency                     |
| $\eta_C$        | Carnot's efficiency                   |
| $\eta_f$        | fin efficiency                        |
| $\theta_{ml}$   | log-mean temperature difference, $K$  |
| $\kappa$        | gas thermal diffusivity, $m^2 s^{-1}$ |
| $\mu$           | dynamic viscosity, $Ns/m^2$           |
| $\xi_1$         | displacement amplitude, $m$           |
| $\Pi$           | fin cross-section perimeter, $m$      |
| $\rho_m$        | gas density, $kg/m^3$                 |
| $\rho_w$        | water density, $kg/m^3$               |
| $\phi$          | porosity                              |
| $\omega$        | angular frequency, $rad/s$            |

## Subscripts

|     |                         |
|-----|-------------------------|
| $A$ | ambient, peak amplitude |
| $D$ | diameter                |
| $f$ | fin                     |
| $g$ | gas                     |
| $H$ | hot, heater             |

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$S$  gas-solid heat transfer area, m<sup>2</sup>  
 $S_b$  unfinned surface area, m<sup>2</sup>  
 $S_w$  inner tube surface, m<sup>2</sup>  
 $t$  fin thickness, m  
 $T_H$  heater temperature, K  
 $T_m$  local gas temperature, K  
 $T_s$  temperature at the fin base, K  
 $T_1, T_2$  inlet/outlet water temperature, K  
 $T'_2$  corrected outlet temperature of water, K

$HX$  heat exchanger  
 $L$  length  
 $m$  mean  
 $RMS$  Root Mean Square Reynolds Number  
 $static$  static measurement  
 $TASFE$  Time-Average Steady-Flow Equivalent  
 $w$  water  
 $1$  first-order acoustic variable

## 42 1. Introduction.

43  
44 In thermoacoustic (TA) engines the heat exchanger (HX) plays the fundamental role of providing  
45 the heat transfer between external thermal reservoirs and the core of the engine, namely a porous  
46 media of high thermal capacity (stack/regenerator) where the heat/sound energy conversion takes  
47 place. Although the theoretical foundations of TA energy conversion were formulated by Rott in  
48 the seventies of last century [1] the design methodologies of this component are not yet well-  
49 established.

50 The main issues involved in its design arise from it working under oscillatory flows with short  
51 acoustic displacement. This fact has two important implications: (a) the heat transfer area cannot  
52 be increased by simply increasing the HX length along the direction of the acoustic oscillation;  
53 (b) standard steady flow correlations for compact HXs cannot be directly applied. The first point  
54 demands for clear rules on selection of the optimal geometrical configuration of the HX (length,  
55 pore hydraulic radius etc.) for a given application. The last point demands for reliable heat transfer  
56 correlations specific to oscillatory flows in the dynamic range typical of TA engines. Both  
57 theoretical and experimental approaches were applied to investigate the above issues.

58 As for the first issue, the basic design rule of TA HXs was first given by Swift [2] who argued  
59 that the length of the HXs ( $L_{HX}$ ) along the direction of acoustic oscillation ( $x$ -direction thereafter)  
60 should be of the order of (and not exceed) the peak-to-peak displacement amplitude ( $2\xi_1$ ). In a  
61 later research Swift [3] found experimental evidence of improvement in engines' performance for  
62 HXs of reduced length ( $\sim\xi_1$ ). It is also suggested that the hydraulic radius of the HX pores,  $R_h$ ,  
63 should be of the order of the thermal penetration depth ( $\delta_\kappa$ ), which represents the distance over  
64 which heat diffuses in an acoustic cycle. This statement derives from considering that the gas  
65 responsible for heat transport to/from the stack oscillates at a distance  $\delta_\kappa$  from the gas-solid  
66 boundary. Analogously, Minner et al. [4] found, on the basis of an optimization study of TA  
67 refrigerators, that the optimal value of the normalized distance  $R_h/\delta_\kappa$  should range from 2 to 4.

68 Cao et al. [5] evidenced, through numerical simulation of parallel-plate stacks, that the lengths  
69  $L_{HX}$  and  $R_h$  are correlated: the smaller the pore hydraulic radius the shorter the HX length. This  
70 result, also found in other computational investigations [6-7], indicates that the length of HXs  
71 with small pores ( $R_h/\delta_\kappa < 1$ ) could be shorter than  $2\xi_1$  and about equal to the particle displacement  
72 distance ( $\xi_1$ ). Even lower values ( $L_{HX}/\xi_1 \sim 0.6$ ) were found by Besnoin and Knio [8] although the  
73 same authors found lengths exceeding  $2\xi_1$  in a subsequent study [9].

74 Entropy generation minimization methods [10-12] showed that the above correlation should  
75 result as a trade-off between the thermal irreversibility associated with heat transfer and the  
76 irreversibility associated with viscous and thermal dissipation. This trade-off, producing a  
77 minimum in entropy generation, happens at lower  $L_{HX}$  values as  $R_h$  is reduced due to quadratic  
78 increase of the viscous dissipation with  $R_h$  for  $R_h \rightarrow 0$ .

79 The numerical studies of Besnoin and Knio [8, 9] and Marx and Blanc-Benon [13] evidenced  
80 that, in addition to  $L_{HX}$  and  $R_h$ , the separation gap  $g$  between a stack and the adjacent HX could  
81 have a considerable influence on the HX performance. In a real TA device this gap minimizes  
82 adverse thermal conduction from the hot to the cold HX and prevents the HX to obstruct the  
83 regenerator/stack pores. The optimal gaps found in these studies at the investigated configurations  
84 lie in the range  $0.12-0.2 \xi_1$ .

85 The effect of difference in porosity  $\phi$  (defined as the ratio of cross-section area open to gas

86 flow,  $A_g$ , to total area,  $A$ ) between the HX and adjacent stack is considered [4] to be responsible  
1 87 of complex turbulent flow patterns which actually increase the friction losses at the junction [3].  
2 88 To avoid these adverse entrance problems Tijani et al. [14] suggested that the porosity of the HX  
3 89 should match the porosity of the stack. This recommendation, formulated for standing wave  
4 90 devices, could not be fully applicable to travelling wave devices where the HX porosity is in some  
5 91 cases set to produce deliberate phase shifting of the acoustic wave [15].

8 92 Optimal design of TA HXs entails therefore setting proper values of the four geometrical  
9 93 parameters  $L_{HX}$ ,  $R_h$ ,  $g$ ,  $\phi$ , but clear and univocal guidelines establishing how these parameters must  
10 94 be related in an optimized configuration have not been to date formulated.

12 95 As for the second issue, the calculation of the gas-side heat transfer coefficient  $h$  relies  
13 96 principally on the model formulated by Swift [3], the so called “Boundary Layer Conduction”  
14 97 (BLC) model (also integrated in the design code “DeltaEC” [16]). This model states  $h=K/y_{eff}$   
15 98 where  $K$  is the gas thermal conductivity and  $y_{eff}$  is the minimum between  $R_h$  and  $\delta_k$ .

18 99 Other relevant models are the “Time-Average Steady-Flow Equivalent” (TASFE) [17] and  
19 100 the “Root Mean Square Reynolds Number” (RMS-Re) [18] models which similarly try to derive  
20 101 non-dimensional heat transfer correlations for the TA case by time averaging over an acoustic  
21 102 cycle classical steady-flow correlations.

23 103 A number of experimental investigations, involving different HX geometries and test  
24 104 conditions, were carried out to validate the above models and to define robust correlations for the  
25 105 estimation of  $h$  in oscillatory flows [19-24]. The results reported in these studies indicate that **the**  
26 106 **application of the TASFE and RMS-Re models may** introduce significant errors in predicting the  
27 107  $h$  value. Furthermore, the experimentally derived correlations are ambiguous and configuration  
28 108 dependent.

32 109 **The above discussion show how current knowledge on the design of efficient HXs for TA**  
33 110 **applications is not yet exhaustive. As a result, additional research is needed to investigate on the**  
34 111 **impact of HX geometry on heat transfer and to develop reliable correlations for the determination**  
35 112 **of the gas-side heat transfer coefficient under oscillatory flow conditions.**

37 113 **With this purpose in this paper the results of an experimental research aimed at studying the**  
38 114 **impact of two HXs characterized by a different geometry (circular and finned) on the global**  
39 115 **thermoacoustic system performance and at deriving (in the finned geometry case) proper heat**  
40 116 **transfer correlations are presented.** The test-rig is a TA engine of the standing-wave type where  
41 117 the investigated HXs play the role of ambient HXs. Engine performance and HXs heat transfer  
42 118 rates are determined over a wide range of velocity amplitudes through standard sound pressure  
43 119 and energy balance measurements. The derived correlation law, expressed in terms of Nusselt  
44 120 number, is then compared to analogous measurements found in literature and to the predictions  
45 121 of the models discussed above.

## 50 122 **2. Experimental setup and data reduction.**

### 54 125 *2.1 Experimental apparatus*

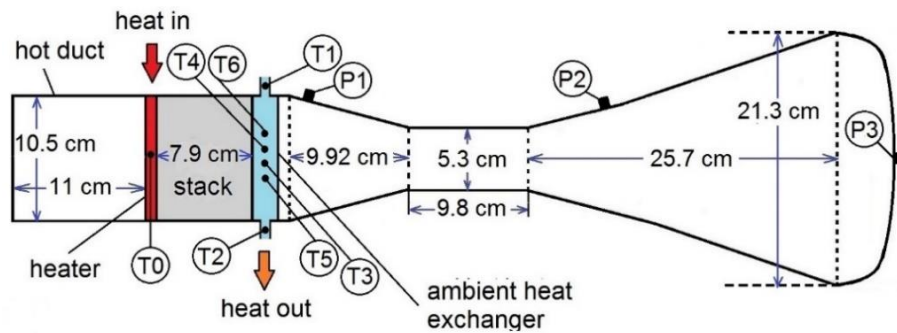
57 127 The test-rig considered for this research consists of a standing wave thermoacoustic engine using  
58 128 air at atmospheric pressure as working fluid. It is characterized by a resonance frequency of 143.2

129 Hz and by an onset temperature difference of about 350 °C. A schematic of the engine is shown  
 1130 in Fig. 1.

131 The resonator is made of stainless steel and comprises a “hot” duct with a diameter of 10.5  
 132 and 10 cm long, a “stack holder” with a diameter of 10.5 cm and 8 cm long, a conical shaped  
 133 segment (taper angle ~15°), a narrow duct with a diameter of 5.3 cm and 20 cm long and a buffer  
 134 volume (a closed conical shaped duct with taper angle ~15°).

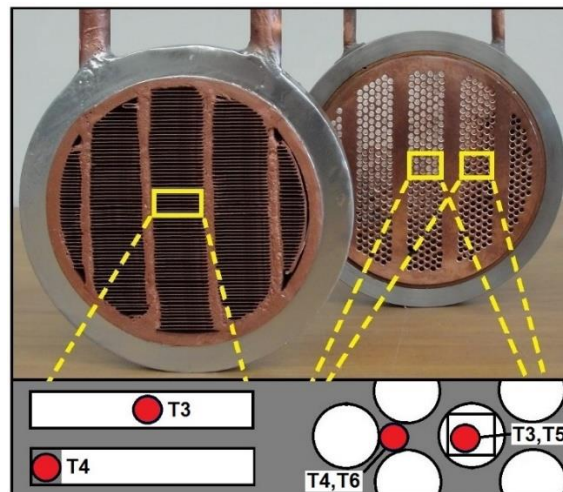
135 The stack is a ceramic (cordierite) honeycomb stack 7.9 cm long in the  $x$  direction. The pores  
 136 have a squared shape with  $R_h=0.28$  mm while the cell density is near 400 cpsi.

137 The electric heater is fabricated by wrapping about 3.7 m of a Ni-Cr wire (12  $\Omega$ /m) uniformly  
 138 in and out the pores of a honeycomb ceramic slice 1 cm long in the  $x$  direction (and made of the  
 139 same material of the stack) that served as a support. A 2 cm long stainless-steel ring equipped  
 140 with feedthroughs for power cables is used to accommodate the slice.



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**Figure 1.** Schematic of the standing wave test engine. Labels “T” indicate thermocouple location. Labels “P” indicate microphone location.

166 The HXs under test are two cross-flow HXs with four water-tube passes. A picture of the two  
 167 HXs, which in the engine arrangement work as ambient HXs, is shown in Fig. 2.



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**Figure 2.** The heat exchanger with finned-tube (on the left) and circular-pore (on the right) geometry. Red circles indicate thermocouples.

201 The first HX (AHX1) is of the finned-tube type. It is 2 cm long in the  $x$  direction. For its  
 202 fabrication a copper ring 2 cm thick with an internal radius of 10.5 cm and an external radius of

14 cm was used as mechanical support of the HX itself. Just outside the HX the cooling water flows through a copper tube with a diameter of 14 mm which has been crushed to obtain a rectangular cross section pipe of area 2 cm×0.5 cm. The crushed pipe has then been bent six times to allow for four passages in the front section of the HX as shown in Fig. 2. The fins are made of strips of laminated copper 0.45 mm thick and 2 cm wide. **These strips have been welded to the external walls of the pipe with a fin spacing of 1.1 mm (resulting in  $R_h=0.55$  mm).** The porosity of this HX ( $\phi_1$ ) is calculated to be around 54%.

The second ambient heat exchanger (AXH2) is fabricated from a circular copper block and is 2 cm long in the  $x$  direction. Gas passages are obtained by drilling 574 holes with a diameter of 2.5 mm in parallel to its axis. Cooling water passages are obtained by drilling 4 channels with a diameter of 5 mm perpendicularly to the HX axis. The water also flows partially around the perimeter of the block. The porosity of this HX ( $\phi_2$ ) is about 33%. The hydraulic radius of the pores is  $R_h\approx 0.6$  mm. Compared to AHX1 this HX is characterized by a simpler fabrication technique but the circular pore geometry hardly allows for porosities to exceed 40 %.



**Figure 3.** Photograph of the experimental apparatus.

Note that these two HXs are both made of copper, have the same length along the resonator axis (2 cm), have pores of almost equal hydraulic radius and have the same flow arrangement (cross flow with four water-tube passes). Their comparative study should therefore allow for investigating the impact of pore geometry and associated porosity on the engine performance.

At the working frequency of the engine ( $f\approx 143$  Hz) the thermal penetration depth of the gas

$$\delta_{\kappa} = \sqrt{\frac{2\kappa}{\omega}} \quad (1)$$

( $\kappa$  and  $\omega$  being the gas thermal diffusivity and the angular frequency respectively) amounts approximately to  $\delta_{\kappa}\approx 0.23$  mm so that  $R_h/\delta_{\kappa}\approx 2.2$  for AHX1 and  $R_h/\delta_{\kappa}\approx 2.6$  for AHX2. These values are compatible with good thermal contact conditions between the gas and the HX solid walls [4]. Spacers were used to separate the HXs from the stack by a gap of about 1.5 mm.

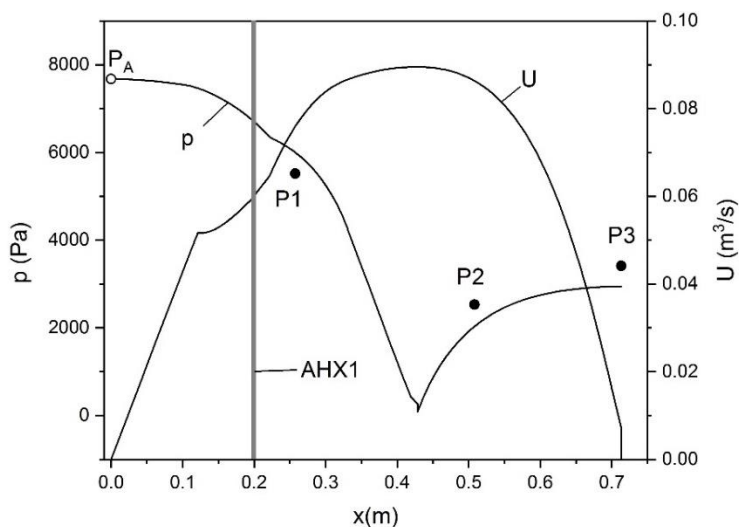
The standing wave engine in place for testing is shown in Fig. 3. The cylindrical sleeve seen on the left is filled with rock wool to thermally insulate the “hot” parts of the engine (the hot duct,

the heater and the stack holder). A strip of a polystyrene sheet 2 cm thick has been also wrapped around the ambient HXs for thermal insulation purposes.

## 2.2 Measurements

For dynamic pressure measurements a microphone (Brüel and Kjær instruments, type 4938, sensitivity 1.6 mV/Pa) and two piezoelectric pressure transducers (PCB Piezotronics, model 113B28, sensitivity 14.5 mV/kPa) were flush mounted on the resonator walls. The signals recorded by these sensors (labeled as P1, P2 and P3 in Fig. 1), when combined with simulations performed using the DeltaEC code (configured for matching the P1, P2 and P3 values) make it possible to reconstruct the profile of the acoustic wave along the resonator axis and to estimate parameters of interest such as the amplitude of the dynamic pressure at a pressure antinode ( $P_A$ ), the amplitude of the oscillatory velocity at the HXs location ( $v_1$ ) and the flow of acoustic energy across a given section or component. A typical result is shown in Fig. 4 where the axial distributions of the magnitude of the acoustic pressure,  $p$ , and volumetric velocity,  $U$ , are reported. It can be seen how the AHX is located around midway between the velocity node and antinode of the standing wave so that a wide range of velocity amplitudes (and associated gas displacement amplitudes) can be selected by varying the heat input to the system.

To measure the average gas/solid temperature of the heater,  $T_H$ , a type-K thermocouple (error  $\pm 0.3$  °C), labeled as T0 in Fig. 1, was placed inside a pore located at the centre of the heater.



**Figure 4.** Axial distributions of the magnitude of the acoustic pressure,  $p$ , and volumetric velocity,  $U$ , when the heat input to the engine (integrating AHX1) is 583 W. Full circles are the pressure values measured by P1, P2 and P3. The vertical grey line indicates the AHX location.

As for AHX1, two type-K thermocouples (labeled as T1 and T2) were placed in the water tubing at the inlet and outlet sections of the HX to measure the local water temperature ( $T_1$  and  $T_2$  respectively). To measure the temperature  $T_m$  of the gas flowing inside a pore a thermocouple (labeled as T3) was installed through a spacer in the (slightly enlarged) gap between two fins located at the centre of the HX. To measure the temperature  $T_s$  at the base of the fins a thermocouple (labeled as T4) was glued on the flat wall of the water pipe by copper spray. A schematic of the installation of T3 and T4 can be seen in Fig.2.

203 As for AHX2, two type-K thermocouples (labeled as T1 and T2) were placed in the water  
 1204 tubing at the inlet and outlet sections of the HX to measure the local water temperature ( $T_1$  and  
 2205  $T_2$ , respectively). To measure the temperature  $T_m$  of the gas flowing inside a pore a thermocouple  
 3206 (labeled as T3) was inserted into a ceramic sleeve of squared cross section and the assembly was  
 4207 then fitted in a (slightly enlarged) pore. Since the contact area between the sleeve and the pore  
 5208 walls is restricted to the sleeve corners this probe reasonably measured the temperature of the gas.  
 6209 To measure the temperature  $T_s$  of the solid honeycomb matrix a thermocouple (labeled as T4) was  
 7210 imbedded in a hole drilled in the copper matrix just near T3. Copper spray was used to enhance  
 8211 thermal contact. The remaining two thermocouples (labeled as T5 and T6) have been analogously  
 9212 installed to measure  $T_m$  and  $T_s$  but in a more peripheral location compared to T3 and T4 so as to  
 10213 get the information on the radial distribution of the measured temperatures. A schematic of the  
 11214 installation of T3, T4, T5 and T6 can be seen in Fig.2.

12215 The thermocouple signals are acquired by a 8-channel data logger connected to a PC (USB-  
 13216 Temp device of Measurement Computing) while the dynamic pressure sensor signals are read,  
 14217 after being preamplified, directly on multimeters as output voltages.

15218 The input heat flux  $\dot{Q}_H$ , generated by feeding the heater through a variable voltage  
 16219 autotransformer, was calculated by measuring the voltage drop across the heater and the flowing  
 17220 current.

18221 The heat power transferred by the AHX is measured through a closed loop water circuit. The  
 19222 network comprises (a) a refrigerated/heating circulator unit pumping the water and controlling its  
 20223 temperature, (b) a valve installed downstream the AHX regulating the water flow rate, (c) a  
 21224 rotameter (accuracy  $\pm 5$  l/h) installed upstream the AHX measuring the water flow rate and (d) a  
 22225 thermal storage system placed outdoors constituted by a copper coil immersed in a water bath.  
 23226 The last system was utilized because the circulator is unable to absorb thermal loads on the AHX  
 24227 higher than 200 W. The inlet temperature of the water is set at 25 °C in all measurements.

25228 To avoid moisture condensation on the solid surfaces of the HXs and stack each measurement  
 26229 cycle started by emptying the resonator through a vacuum pump. While the vacuum pump is left  
 27230 in operation for at least ½ hour the heater is heated up to about 50÷60 °C and cooling water at 25  
 28231 °C is sent into the AHX. Then the vacuum pump is disconnected and external air is left to enter  
 29232 the resonator. Finally, the engine is brought to the desired operating point and measurement is  
 30233 conducted after thermal equilibrium conditions are reached.

### 31234 2.3 Data reduction

32235 The AHXs' heat transfer rates are estimated from the measured inlet/outlet water temperatures  
 33236 and water volume flow rate,  $\dot{V}$ , as

$$34237 \dot{Q}_A = \dot{V} \rho_w c_w (T_2 - T_1) \quad (2)$$

35238  $\rho_w$  and  $c_w$  being the density and specific heat of water respectively.

36239 It must be observed that the measured heat flux  $\dot{Q}_A$  comprises different contributions and  
 37240 precisely: (1) the thermo-acoustically activated hydrodynamic heat flux  $\dot{Q}$  along the stack (the  
 38241 parameter of interest for the estimation of the gas-side heat transfer coefficient), (2) the conduction  
 39242 heat flux  $\dot{Q}_{K1}$  transported by the gas and the solid portion of the stack, (3) the conduction heat  
 40243 flux  $\dot{Q}_{K2}$  transported by the stack-holder walls and thermal insulating sleeve (4) the conduction  
 41244 heat flux  $\dot{Q}_{K3}$  transported by the resonator walls over which dissipation of acoustic power occurs

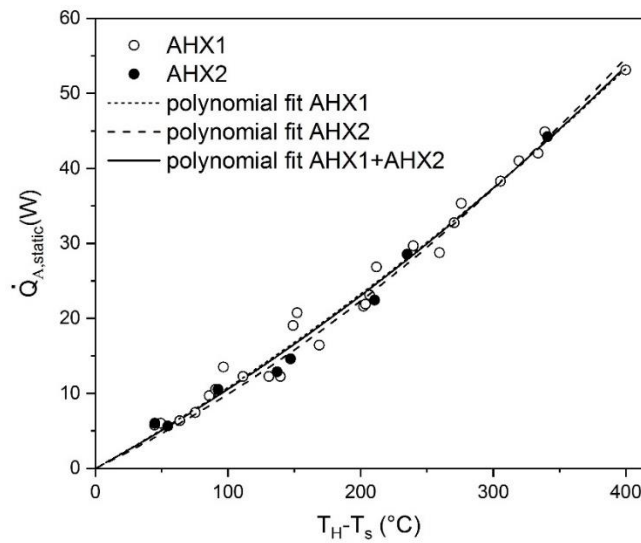
and finally (5) the conduction heat flux  $\dot{Q}_{leak}$  due to heat leakage from the heat exchanger to the surrounding environment through the insulation. Heat balance provides the following equation

$$\dot{Q}_A = \dot{Q} + (\dot{Q}_{K1} + \dot{Q}_{K2} + \dot{Q}_{K3}) - \dot{Q}_{leak} \quad (3)$$

Therefore, to calculate  $\dot{Q}$  the above conduction heat fluxes have to be estimated. To accomplish this a combination of both static measurements and simulation procedures is applied.

In the static measurements the heater was powered below the onset temperature of the engine and the thermal load on the AHX corresponding to

$$\dot{Q}_{A,static} = \dot{Q}_{K1} + \dot{Q}_{K2} - \dot{Q}_{leak} \quad (4)$$



**Figure 5.** The conductive heat flux measured under static measurements for AHX1 (open circles) and AHX2 (full circles). Dotted, dashed and continuous lines are 2<sup>nd</sup> order polynomial fits of the experimental points concerning AHX1, AHX2 and both AHX1 and AHX2 respectively.

was measured through Eq. (2). Results are shown in Fig. 5 where  $\dot{Q}_{A,static}$  is reported as a function of the temperature difference between the heater,  $T_H$ , and the AHX,  $T_s$ , for both AHX1 (open circles) and AHX2 (full circles). The least-squares fit of the two sets of experimental data by a 2<sup>nd</sup> order polynomial of the form  $A_1(T_H - T_s) + A_2(T_H - T_s)^2$  is represented by the dotted (AHX1) and dashes (AHX2) lines. The relative difference between these two curves results lower than 5% according to the fact that the thermal path from the heater to the AHXs is equal in the two cases. So, for subsequent analysis the curve fit of all the experimental points (both AHX1 and AHX2) is considered. The resulting values for the fitting parameters are  $A_1 = 0.09624 \pm 0.00441$  and  $A_2 = (9.43334 \pm 1.54) \times 10^{-5}$ , the coefficient of determination being  $R^2 = 0.988$ . Subtracting now Eq. (4) from Eq. (3) provides

$$\dot{Q} = \dot{Q}_A - \dot{Q}_{A,static} - \dot{Q}_{K3} \quad (5)$$

The heat flux  $\dot{Q}_{K3}$  to be substituted in this equation together with  $\dot{Q}_{A,static}$  for the determination of  $\dot{Q}$  was derived by simulating the energy fluxes in the engine using DeltaEC. The acoustic power

273 flowing downstream the AHX toward the conical duct (on the right of the AHX in Fig. 1) is  
 1274 identified with  $\dot{Q}_{K3}$ .

275 Note that the above method does not require the direct determination of  $\dot{Q}_{leak}$  since this term  
 276 is included both in  $\dot{Q}_A$  and in  $\dot{Q}_{A,static}$  and it elides after Eq. (4) is subtracted from Eq. (3).

277 To make  $\dot{Q}$  consistent with the outlet temperatures of water these last are recalculated as  
 278 follows

$$279 \quad T'_2 = T_2 - \frac{(\dot{Q}_{A,static} + \dot{Q}_{K3})}{\rho_w c_w \dot{V}} \quad (6)$$

280 where  $T'_2$  represents the outlet temperature of water that would be measured if the conduction  
 281 contributions were absent, i.e., if the AHX was uniquely loaded by the hydrodynamic heat flux.

282 The heat transfer and temperature values so determined are then used to calculate the overall  
 283 heat transfer coefficient,  $UA$ , as [25]

$$284 \quad UA = \frac{\dot{Q}}{\theta_{ml}} \quad (7)$$

285 where  $\theta_{ml}$ , the log-mean temperature difference, has the following expression

$$286 \quad \theta_{ml} = \frac{T'_2 - T_1}{\ln\left(\frac{T_m - T_1}{T_m - T'_2}\right)} \quad (8)$$

287 when considering that in oscillatory flows the inlet and outlet temperatures of the primary fluid  
 288 (the gas) are the same ( $T_m$ ).

289 In the case of AHX1 the finned geometry allows the gas-side heat transfer coefficient  $h$  to be  
 290 estimated from the  $UA$  values. A simplified 1D heat transfer model provides, in fact, the following  
 291 expression for  $UA$

$$292 \quad UA = \frac{1}{\frac{1}{h_w S_w} + \frac{d}{K_t S_w} + \frac{1}{h \left[ \sum_i (\Pi L_{fi}) \eta_{fi} + S_b \right]}} \quad (9)$$

293 where  $h_w$  is the water-side heat transfer coefficient,  $S_w$  is the total inner surface of the water tubes,  
 294  $K_t$  is the thermal conductivity of the tube material (copper),  $d$  is the wall thickness of the tube,  $\Pi$   
 295 is the perimeter of the fin cross section,  $L_{fi}$  is half the length of the  $i$ -th fin,  $S_b$  is the total area of  
 296 the unfinned external surface of the tubes and where  $\eta_{fi}$ , the efficiency of the  $i$ -th fin, has the  
 297 following expression

$$298 \quad \eta_{fi} = \frac{\tanh(mL_{fi})}{mL_{fi}} \quad m = \sqrt{\frac{h\Pi}{A_f K_f}} \quad (10)$$

299  $A_f$  and  $K_f$  being the cross-section area and thermal conductivity of the fin respectively.

300 In Eq. (9) the parameter  $h_w$  has to be measured beforehand. To accomplish this the AHX was  
 301 set in isothermal conditions by immersing it in a water bath maintained at 50 °C. A flow  $\dot{V}$  of

water at 25 °C was then sent to the HX inlet section. If the temperature of the fin base  $T_s$  is assumed equal to the temperature of the internal surfaces of the water tube, standard energy balance calculations for the case of internal flow with constant surface temperature [25] provide the following expression of  $h_w$  in terms of measured quantities

$$h_w = \frac{\dot{V} \rho_w c_w}{S_w} \ln \left( \frac{T_s - T_1}{T_s - T_2} \right) \quad (11)$$

Obviously, the effectiveness of this procedure relies on the assumption of constant surface temperature that constitutes the major approximation of the method. However, using a thin tube wall ( $d=1$  mm) and of high thermal conductivity ( $K_f \approx 400$  W/mK) the approximation should be reasonable. For a water flow rate of 60 l/h, for example, the method furnished the value  $h_w = 2496 \pm 21$  W/m<sup>2</sup>K (the indicated accuracy being the standard deviation of measurements).

#### 2.4 Error analysis

To estimate the measurement uncertainty standard error propagation procedures [17] are applied. To reduce the error in the measurement of temperature differences  $\Delta T$  an accurate in situ calibration of the thermocouples was performed by an environmental test chamber. This decreased the error in  $\Delta T$  to  $\pm 0.2$  °C. Analogously, the uncertainty in the measurement of the flow rate of water was decreased to  $\pm 0.3$  l/h after calibration of the rotameter through accurate measurements of the volume of water collected in a precision graduated cylinder in a given time. To reduce the error in the calculation of  $\dot{Q}_A$  and  $\theta_{ml}$  the flow rate of water was decreased gradually from 53.8 l/h at the highest heat transfer rates to 17.3 l/h at the lowest ones. This allowed for relatively large water temperature drop across the AHX ( $> 7$  °C) maintaining, accordingly, the relative uncertainty on  $\dot{Q}_A$  lower than 4.2% and that on  $\theta_{ml}$  lower than 7 %. A higher relative error ( $\sim 10$  %) affects the measurement of the heat flux  $\dot{Q}_{A,static}$  since the low conductive heat transfer rates give rise to smaller temperature differences across the AHXs ( $< 2.2$  °C). From the above values it results that the relative error of the heat fluxes  $\dot{Q}$  as calculated by Eq. (5) varies from about 4% at the highest heat transfer rates to 17 % at the lowest ones. Analogously, the relative error affecting the heat transfer coefficient  $h$  varies from about 8% to 24 %. These trends reflect the decrease in temperature differences across the AHX as the heat transfer rates are reduced. The uncertainty values of the Nusselt number are represented by error bars in Fig. 10.

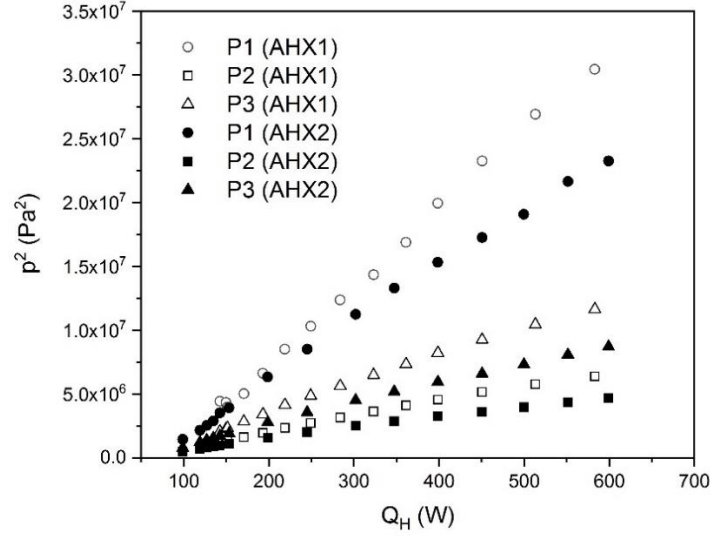
### 3. Results and discussion

#### 3.1 Impact of HXs on engine performance

The impact of the two HXs on the engine performance in converting heat to acoustic power can be deduced from Fig. 6 where the square of acoustic pressure amplitude measured by P1, P2 and P3 is reported as a function of the electric power input to the engine  $\dot{Q}_H$  when a steady state is reached. Results show how AHX1 increases the engine performance compared to AHX2 since the acoustic pressure amplitudes measured at the selected microphone locations are higher for AHX1 than for AHX2 at every input power level.

The difference in performance cannot be fully captured from Fig 6, however, since the

temperature difference across the stack, which influences the generated acoustic power, is different in the two cases as shown in Fig. 7 where  $(T_H - T_s)$  is reported as a function of  $\dot{Q}_H$ .



**Figure 6.** The square of the acoustic pressure measured by P1, P2 and P3 as a function of the heat input to the system.

So, for assessing the impact of the two HXs on the engine performance the thermal-to-acoustic conversion efficiency normalized by the Carnot efficiency is considered as a relevant indicator:

$$\frac{\eta}{\eta_c} = \frac{W_a}{\dot{Q}_H} \left( 1 - \frac{T_s}{T_h} \right)^{-1} \quad (12)$$

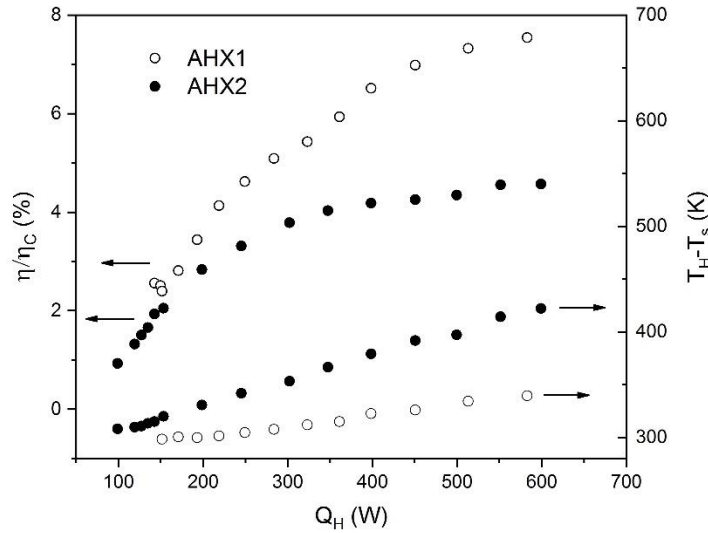
where  $W_a$ , the acoustic power produced by the engine core (the stack/HXs assembly), is calculated by DeltaEC (configured for matching the P1, P2 and P3 values) as the sum of the acoustic energy fluxes leaving the ambient HX and the heater from the sides facing the resonator. Results are shown in Fig. 7 where  $\eta/\eta_c$  is reported as a function of  $\dot{Q}_H$ . The normalized efficiency of the engine integrating AHX1 is reduced on average by 27% (the efficiency by 23%) when AHX2 is installed, despite the fact that in the last case a higher temperature gradient exists in the stack.

The lower performance of AHX2 and the higher operating temperature, seems to indicate that some acoustic loss mechanisms is at play that is more pronounced in AHX2 than AHX1. Furthermore, this loss mechanism grows with the acoustic amplitude since the difference between the temperature gradients of the two HXs increases with  $\dot{Q}_H$ .

Although AHX2 has a smaller heat transfer area compared to AHX1 the viscous dissipation occurring on the HXs surfaces could be, at least partially, responsible of the observed behavior. For a given volumetric flow, in fact, the local velocity amplitude  $v_1$  in AHX2 should be about  $\phi_1/\phi_2 \approx 1.64$  times higher than the velocity amplitude in AHX1. So, the viscous dissipation per unit area of AHX2 should be around  $(\phi_1/\phi_2)^2 \approx 3.28$  times higher than the one on AHX1. Taking into account that AHX2 has surface area  $\phi_2 R_{h1}/\phi_1 R_{h2} \approx 0.61$  times smaller than AHX1 it follows that the dissipation of acoustic power on AHX2 is almost double that on AHX1.

An additional loss mechanism could be caused by the complex flow structures occurring at

the HX-stack and HX-duct junctions due to the difference in porosity. The fluid motion entering and leaving the HX pores is characterized, in fact, by turbulent flow through sharp corners during fluid entrance into the pores and vortex formation and shedding during the fluid ejection from the pores [26]. These effects are likely to be less important for AHX1 whose finned geometry and higher  $\phi$  should minimize eddy production in the acoustic flow [27]. The dissipated power, assumed of the order of  $A_g \rho_m v_1^3$  [18] (where  $\rho_m$  is the mean gas density) should be around 3 times higher in AHX2 than in AHX1.



**Figure 7.** The normalized efficiency (on the left scale) and the temperature difference between the “hot” and “ambient” heat exchanger (on the right scale) as a function of the heat input to the system.

Although the above arguments could not fully account for the excess of the temperature difference ( $T_H - T_s$ ) measured in AHX2 compared to AHX1 they illustrate that the HX pore geometry and porosity could have a non-trivial effect on flow losses, especially when the HX is located in high velocity regions of the acoustic field, as in the present investigation.

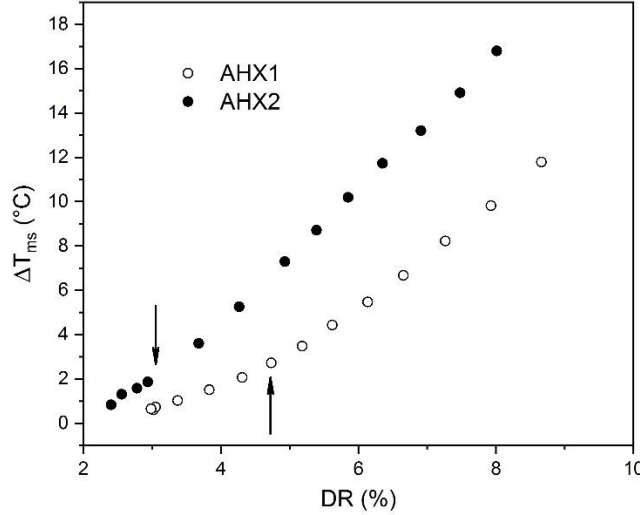
An additional explanation of the improved performance of the engine containing AHX1 is found when taking into account that a figure of merit of a HX reflects its ability to sustain high transfer rates under small gas-solid temperature differences. This favorable property, in fact, decreases the thermal irreversibility associated with the heat transfer and leads to an enhancement of the overall engine performance. The time averaged gas-solid temperature differences  $\Delta T_{ms}$  ( $=T_m - T_s$ ) measured for the two HXs are reported in Fig. 8 as a function of the drive ratio  $DR$  defined as the ratio of the pressure amplitude at the wave pressure antinode  $P_A$  (calculated by DeltaEC when configured for matching the P1, P2 and P3 values) to the mean pressure  $P_m$ .

As expected, AHX1 entails lower  $\Delta T_{ms}$  values as being characterized by a lower  $UA$  factor. The last parameter, calculated by Eq. (7) for both HXs, is plotted in Fig. 9 as a function of the local peak acoustic Reynolds number

$$\text{Re}_{D1} = \frac{\rho_m D_h v_1}{\mu} \quad (13)$$

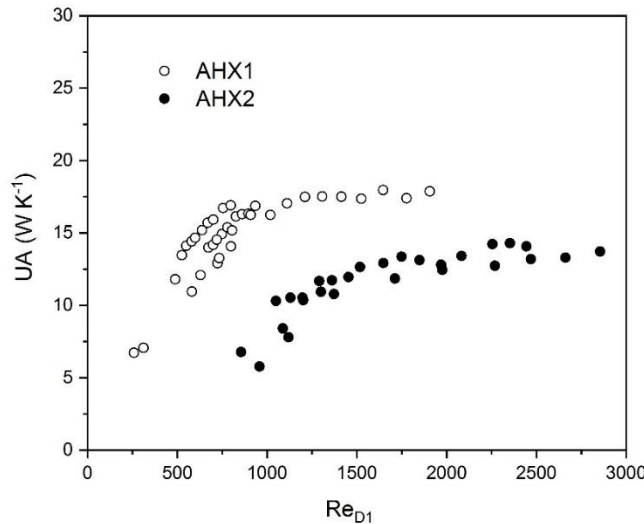
where  $D_h$  is the hydraulic diameter of the HX pore and  $\mu$  the dynamic viscosity. The lower value

of  $UA$  found for AHX2 compared to AHX1 reflects the lower heat transfer area and, presumably, the different influence of the complex flow at the HX junctions on the local heat transfer coefficient. The lower  $UA$  value leads to higher gas-solid temperature differences and so to higher irreversibility associated to heat transfer.



**Figure 8.** The gas-solid temperature difference as a function of drive ratio for both AHXs.

Vertical arrows in Fig. 8 indicate the pressure amplitude for which the peak-to-peak displacement amplitude ( $2\xi_1$ ) equals the HX length. In both curves the growth of  $\Delta T_{ms}$  with  $DR$  seems linear in the  $DR$  interval below the arrow and faster above. This result could be explained on the basis of the BLC model proposed by Swift which just predicts a linear behavior at low acoustic amplitudes and a squared-one at high acoustic amplitudes as stated by the formula



**Figure 9.** The UA coefficient as a function of the peak Reynolds number for both HXs.

$$\Delta T_{ms} = \frac{\dot{Q}y_{eff}}{KS} = \frac{\dot{Q}R_h}{KA_g} \frac{y_{eff}}{x_{eff}} \quad (14)$$

where  $x_{eff} = \min\{2\xi_1, L_{HX}\}$  and  $S$  is the actual gas-solid heat transfer area (proportional to  $x_{eff}$ ). The

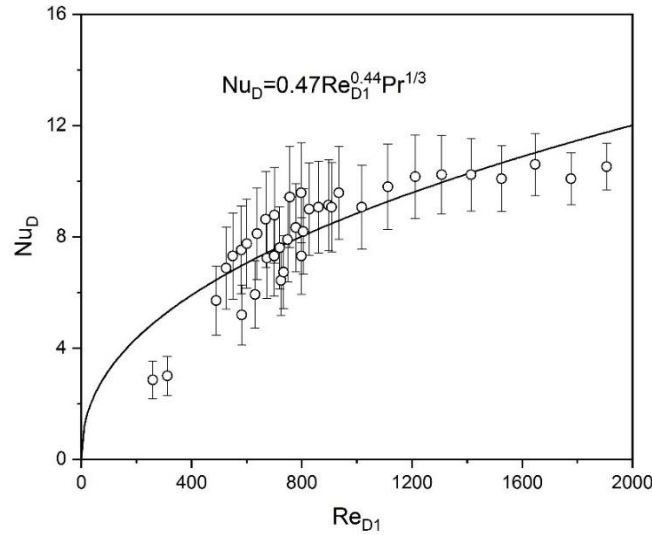
417 predicted behavior takes into account that  $\dot{Q} \propto P_A^2$  and that at low acoustic amplitudes (when  $2\xi_1$   
 1418  $< L_{HX}$ )  $x_{eff}$  grows as  $2\xi_1$  and so proportionally to  $P_A$ . This should make  $\Delta T_{ms}$  to depend linearly on  
 2419  $P_A$ . At high acoustic amplitudes (when  $2\xi_1 > L_{HX}$ )  $x_{eff}$  is constant and equal to  $L_{HX}$  and so  $\Delta T_{ms}$  is  
 4420 expected to exhibit a quadratic dependence on  $P_A$ .

5421 In conclusion, the analysis performed in this subsection evidences how AHX2, due to its pore  
 6422 geometry and lower porosity compared to AHX1, is affected by higher irreversibility, both  
 8423 viscous and thermal, which degrades the engine performance.

### 3.2 Heat transfer correlations

12426 The gas-side heat transfer coefficient estimated as described in section 2.3 and expressed in terms  
 13427 of dimensionless Nusselt number

$$15428 \quad Nu_D = \frac{hD_h}{K} \quad (15)$$



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37 **Figure 10.** Measured gas-side Nusselt number as a function of acoustic Reynolds number  
 38 (open circles) for AHX1 and correlation law obtained from data regression (continuous line).  
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41430 ( $D_h$  being the hydraulic diameter of the HX pores) is reported in Fig. 10 as a function of  $Re_{D1}$   
 42431 (open circles). The graph shows that as  $Nu_D$  increases with  $Re_{D1}$  it reaches a quite constant value  
 44432 above  $Re_{D1} \approx 1200$ , where the gas peak-to-peak gas displacement amplitude equals the fin length.  
 45433 The continuous line is a least-squares fit of the experimental points by the function  $Nu_D = aRe_{D1}^b Pr^{1/3}$   
 47434 with a coefficient of determination  $R^2 = 0.73$ . The resulting correlation law is

$$48435 \quad Nu_D = 0.47 Re_{D1}^{0.44} Pr^{1/3} \quad (16)$$

51437  
52438 In Fig. 11 a comparison with the results of other experimental researches found in literature  
 54439 with regard to HXs of the same configuration (finned-type HXs) is reported.

56440 Nsofor et al. [28] tested a parallel-plate HX made of copper with fin spacing of 0.95 mm and  
 57441 fin thickness of 0.15 mm. The HX worked as the hot HX of a TA refrigerator filled with He at  
 58442 mean pressures ranging from 3 to 8 bar and operation frequencies ranging from 300 to 450 Hz.  
 60443 The heat transfer correlation derived from measurements, after conversion of the rms Re number

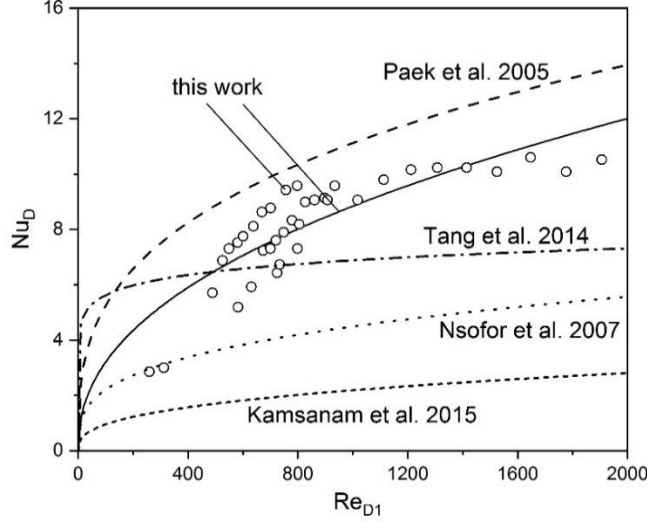
444 to peak Re number, is:

$$Nu_D = 0.548 Re_{D1}^{0.31} Pr^{0.11} \quad (17)$$

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4447 This function is plotted in Fig 11 as a dotted line.

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**Figure 11.** Comparison among the experimental data (open circles) and correlation law (continuous line) obtained in this work for the gas-side Nusselt number and correlation laws experimentally derived by other researchers for heat exchangers of the same type of AHX1.

Paek et al. [29] studied the heat transfer characteristics of finned-tubes HXs made of aluminum with fins spaced 0.54 mm apart. This HX was integrated as cold HX in a TA cooler filled with three He-Ar mixtures (44% He, 33 % He, 22 % He) at three different mean pressures (20, 13.3 and 6.7 bar) and driven near its resonance frequency (136-155.9 Hz). The heat transfer correlation derived from measurements, after conversion of Colburn-*j* factor to Nusselt number, is:

$$Nu_D = 1.303 Re_{D1}^{0.3271} Pr^{1/3} \quad (18)$$

This function is plotted in Fig 11 as a dashed line.

Tang et al. [30] built an experimental apparatus reproducing the operating conditions of pulse tube refrigerators to investigate the heat transfer performance of a finned HX made of copper and cooled by a flow of water through channels surrounding the HX. The fins were 0.75 mm thick, 20 mm long in the flow direction and spaced 0.75 mm apart. The working gas was He at three mean pressures (25, 30 and 35 bar) while the operating frequency varied in the range 40-110 Hz. The test results were summarized in terms of peak Reynolds number and Valensi number (*Va*) as follows

$$Nu_D = 0.43 Re_{D1}^{0.0876} Va^{0.405} \quad (19)$$

where

$$Va = \frac{\rho_m \omega D_h^2}{\mu} \quad (20)$$

This function (with  $Va=211$  for the experimental conditions of the present study) is plotted in Fig. 11 as a dash-dotted line.

Kamsanam et al. [31] performed measurements of heat transfer on coupled finned-tube HXs (without stack) placed side-by-side in a resonator filled with He. Three HXs made of copper with fin thickness of 0.3 mm, fin length of 20 mm and different fin spacing (0.7, 1.4 and 2.1 mm) were investigated. The correlation obtained from regression of their data, after conversion of Colburn- $j$  factor to Nusselt number, is

$$Nu_D = 0.203 Re_{D1}^{0.3606} Pr^{1/3} \left( \frac{t}{D_h} \right)^{-1.2541} \quad (21)$$

$t$  being the fin thickness. This function is plotted in Fig. 11 as a short-dashed line.

The analysis summarized in Fig. 11 reveals that, although all reported correlations refer to the same HX configuration (i.e. a finned-type HX), they are not univocal with very marked deviations which can be as high as 400% as in the case of the correlations proposed by Paek et al. and Kamsanam et al. The lower deviation ( $\sim 32\%$ ) is found between the correlation derived in the present work and the one proposed by Paek et al [29]. This finding seems to indicate that differences in geometrical parameters (even for HX of the same configuration) and differences in operating conditions (mean pressure, frequency, working fluid, mean temperatures) may have a considerable effect on heat transfer in oscillatory flow. Hence, the definition of general correlation laws applicable to different geometrical configurations and over wide ranges of operating conditions could be an arduous task. This circumstance is well evidenced in the study of Kamsanam et al. [32] where it is found that finned-tube HXs differing uniquely by the plate spacing but working under the same operating conditions are characterized by quite different heat transfer correlation laws.

In Fig. 12 a comparison of the obtained Nusselt number with the predictions of heat transfer models currently applied in thermoacoustics is shown. The models considered here are the BLC, TASFE and RMS-Re models.

The BLC model proposed by Swift [3] and integrated in the DeltaEC code, estimates the heat transfer coefficient by the relation

$$h = \frac{K}{\min\{R_h, \delta_\kappa\}} \quad (22)$$

which is plotted in Fig. 12, after conversion into  $Nu_D$  number, as a dotted line.

In the TASFE model classical steady flow heat transfer correlations of the type  $Nu=Nu(Re, Pr)$  are converted to the case of oscillatory flows by taking their time average over an acoustic cycle. In the conversion procedure a sinusoidally oscillating velocity,  $v_1 \sin(\omega t)$ , is assumed and substituted for the velocity appearing in the Reynolds numbers of the selected steady flow correlation. The time average over one-half cycle of the resulting time-dependent Nusselt number is then computed:

$$Nu_{D,TASFE} = \frac{\omega}{\pi} \int_0^{\pi/2} Nu_D(Re_{D1} \sin \omega t, Pr) dt \quad (23)$$

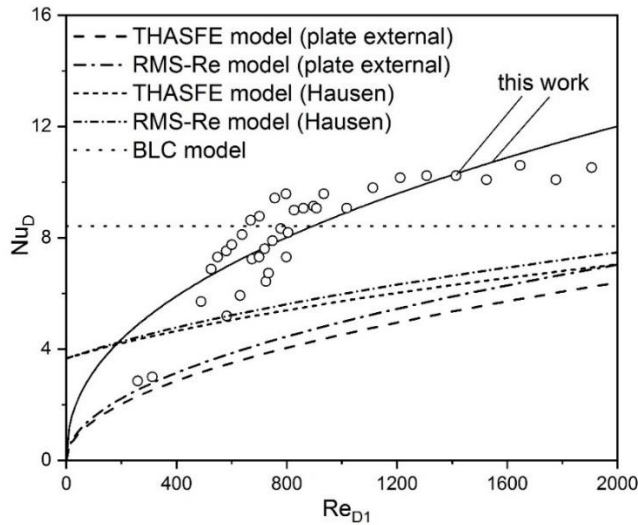
Similarly, in the RMS-Re model the Reynolds numbers appearing in the steady flow

508 correlation are substituted by their rms values:

$$Nu_{D,RMS} = Nu_D(Re_{D1}/\sqrt{2}, Pr) \quad (24)$$

The selection from literature of the steady flow correlations to be substituted in the above equations is based on the evidence that in the investigated acoustic Reynolds number range ( $Re_{D1} < 2000$ ) the flow in the HX pores should be laminar [18]. Therefore, the classical correlation for laminar (external) flow over on isothermal plate of length  $L$  (already considered by Poese and Garrett [33])

$$Nu_L = 0.664 Re_L^{1/2} Pr^{1/3} \quad (25)$$



**Figure 12.** Comparison among the experimental data (open circles) and correlation law (continuous line) obtained in this work for the gas-side Nusselt number and correlation laws predicted by the BLC, THASFE and RMS-Re models (not continuous lines).

and the classical Hausen correlation for laminar (internal) flow in the thermal entry region ( $L_T$ ) of isothermal ducts

$$Nu_D = 3.66 + \frac{0.0668(D_h / L_T) Pr Re_D}{1 + 0.04[(D_h / L_T) Pr Re_D]^{2/3}} \quad (26)$$

are chosen.

Although Eq. (25) refers to external flow it is applied here (with  $L=L_{HX}$ ) because the fins of the investigated HX are not tightly spaced ( $R_h/\delta_k \approx 4$ ). As for Eq. (26) its use could be justified by the fact that, as shown in experimental studies [34], the entrance length for oscillating flows inside stacks is of the order of the displacement amplitude, and so, of the HX length. Based on this evidence, when applying Eq. (26) the heat exchanger fin length has been identified with the thermal entry length ( $L_{HX}=L_T$ ). In Fig 12 the predictions of the TASFE and RMS-Re models are plotted as dashed and dash-dotted lines respectively, as far as the eq. (25) is concerned, and as short-dashed and short-dash dotted lines respectively, as far as eq. (26) is concerned.

The plot shows how the BLC model predicts a constant  $Nu$  value, independent of  $Re_{D1}$ . The model overestimates the experimental data at  $Re_{D1} < 600$  and slightly underestimates them at

533  $Re_{D1} > 600$ . The mean deviation of the predicted  $Nu_D$  values from the experimental data amounts  
1534 to 25%.

2535 The TASFE and RMS-Re models predict the increase of  $Nu$  with  $Re_{D1}$  but underestimate the  
3  
4536 experimental values over almost all the investigated  $Re_{D1}$  range. The mean deviation of the  $Nu_D$   
5537 values predicted by the TASFE model from the experimental data amounts to 48% relative to Eq.  
6  
7538 (25) and to 39 % relative to Eq. (26). The mean deviation of the  $Nu_D$  values predicted by the RMS-  
8539 Re model amounts to 43% relative to Eq. (25) and to 37 % relative to Eq. (26).

9540 In summary, the results of the present study indicate that the BLC model performs better than  
10  
11541 the TASFE and RMS-Re models based on classical steady-flow correlations in predicting the heat  
12542 transfer coefficients in oscillating flows. In addition, the RMS-Re modified Hausen correlation  
13  
14543 works better than the other TASFE and RMS-Re combinations investigated.

15544 Although the BLC model reaches the best agreement with the experimental data of the present  
16545 work the prediction of a constant  $Nu_D$  is in disagreement with the increase of  $Nu_D$  at low  $Re_{D1}$   
17  
18546 values observed in this work and, generally, in all the analogous experimental investigations  
19547 referred to in this section and deserve further considerations.

20  
21548 The dependence of  $Nu$  on  $Re$  is usually explained by observing that an increase of  $Re$  is  
22549 associated to an increase of the displacement amplitude and this entails a larger number of gas  
23  
24550 particles making thermal contact with the HX [28]. This should result in an increase of both the  
25551 heat exchange area and the gas-solid heat transfer coefficient.

26552 An additional explanation could be formulated if the longitudinal distribution of heat transfer  
27  
28553 along the HX is taken into account. The BLC model assumes for HXs that the time-averaged solid  
29554 temperature, gas temperature and thermal gradient along the transverse  $y$  direction at the gas-wall  
30  
31555 interface are constant along the whole extension of the HX (independent of  $x$ ).

32556 These assumption, however, doesn't agree with the results of numerical studies [7, 8, 13]  
33557 which found the transverse thermal gradient, and associated heat flux density, to be dependent on  
34  
35558 the longitudinal direction  $x$ . Precisely, the heat flux density is observed to peak at the side of the  
36559 HX facing the resonator and to change its sign near the HX-stack junction giving rise to local  
37  
38560 reverse fluxes. These reverse fluxes decrease the net heat transfer between the gas and the HXs,  
39561 thus worsening the HX performance. These detriment fluxes are found to be active when  $2\xi_1 <$   
40  
41562  $L_{HX}$ . A way to suppress them is to increase the drive ratio (and associated acoustic oscillation  
42563 amplitude) or, for a fixed drive ratio, to reduce  $L_{HX}$  till the condition  $2\xi_1 > L_{HX}$  is met. Thus, the  
43564 growth of  $Nu_D$  with  $Re_{D1}$  observed in Fig. 10 for  $2\xi_1 < L_{HX}$  could simply reflect the progressive  
44  
45565 reduction of the reverse heat fluxes and the contextual improvement of the HX performance in  
46566 transferring heat, which leads to higher  $h$  (and  $Nu_D$ ) values.

## 50569 5. Conclusions

51  
52570

53571 In this paper an experimental investigation on the thermal performance of two TA heat exchangers  
54  
55572 characterized by a different geometry is performed. With this purpose, a test-rig, consisting of a  
56573 standing wave engine was built and the HX heat transfer rates were measured over a wide range  
57  
58574 of velocity amplitudes of the oscillatory flow. The following conclusions can be drawn from the  
59575 results:

577 – The HX with circular-pore geometry reduces the performance of the engine compared to the  
1578 finned-tube HX by about 23%. Due to its circular pore geometry, lower porosity and lower global  
2579 heat transfer  $UA$  coefficient, in fact, this heat exchanger works under higher gas-solid temperature  
3580 differences, which enhances thermal irreversibility associated with heat transfer, and higher  
5581 acoustic velocity amplitudes, which increases viscous losses and minor losses at the HX-stack and  
6582 HX-resonator junctions. These sources of irreversibility can seriously degrade the global  
8583 performance of TA engines.

9584  
10585 – The comparison of the experimentally derived dimensionless heat transfer coefficient (Nu  
12586 number) with analogous experimental works shows that correlation laws derived for different  
13587 geometrical configurations and operating conditions are not univocal but very marked deviations  
15588 from each other are typical. This makes the definition of general correlation laws applicable over  
16589 wide configuration/operation ranges more difficult and indicates that these phenomenologically  
17590 derived laws may be specific of the investigated case.

19591  
20592 – The comparison of the experimentally derived dimensionless heat transfer coefficient (Nu  
22593 number) with model predictions shows that the TASFE and/or RMS-Re models may introduce  
23594 significant errors (about 40%) in the estimation of the heat transfer coefficient. The BLC model  
24595 exhibits the best agreement with the experimental data, with a mean deviation of 25 %. The BLC  
26596 model also accounts qualitatively for the observed dependence of the gas-solid temperature on  
27597 drive ratio.

29598  
30599 More studies (both theoretical and experimental) are needed to investigate the influence of  
32600 potential reverse heat fluxes at the HX-stack junction on the growth of the heat transfer coefficient  
33601 at low acoustic Reynolds number generally observed in all experimental studies.

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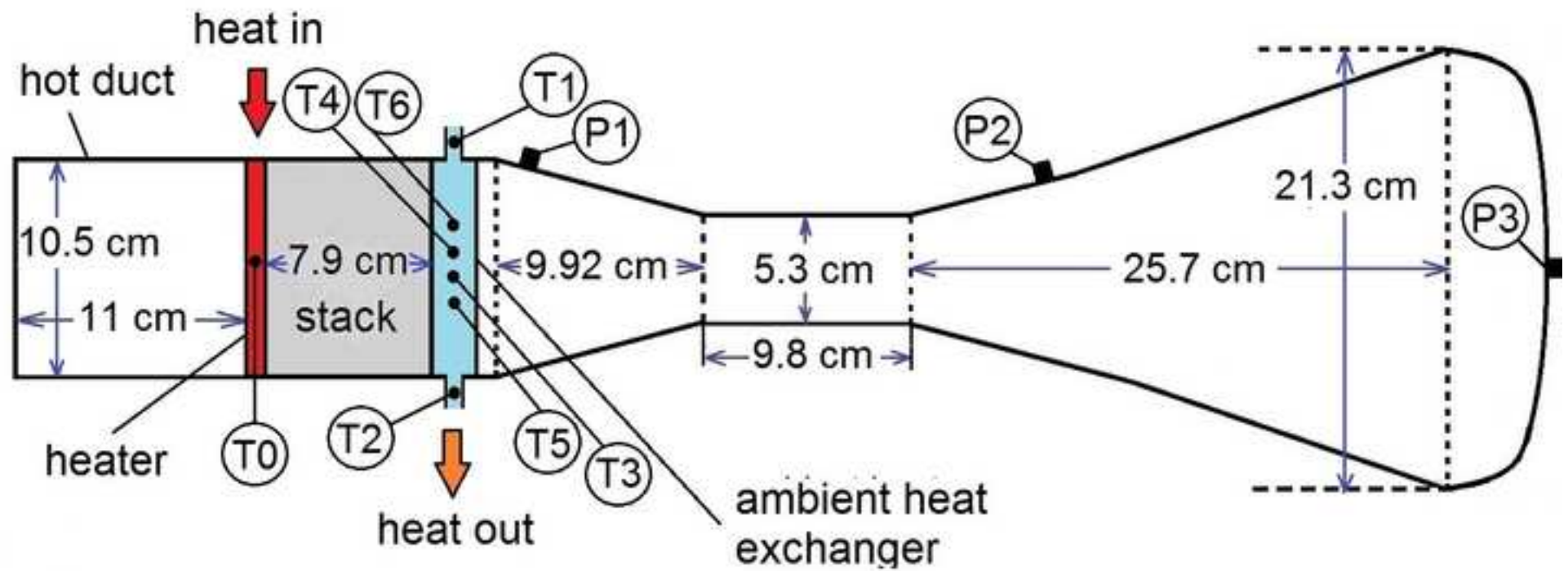
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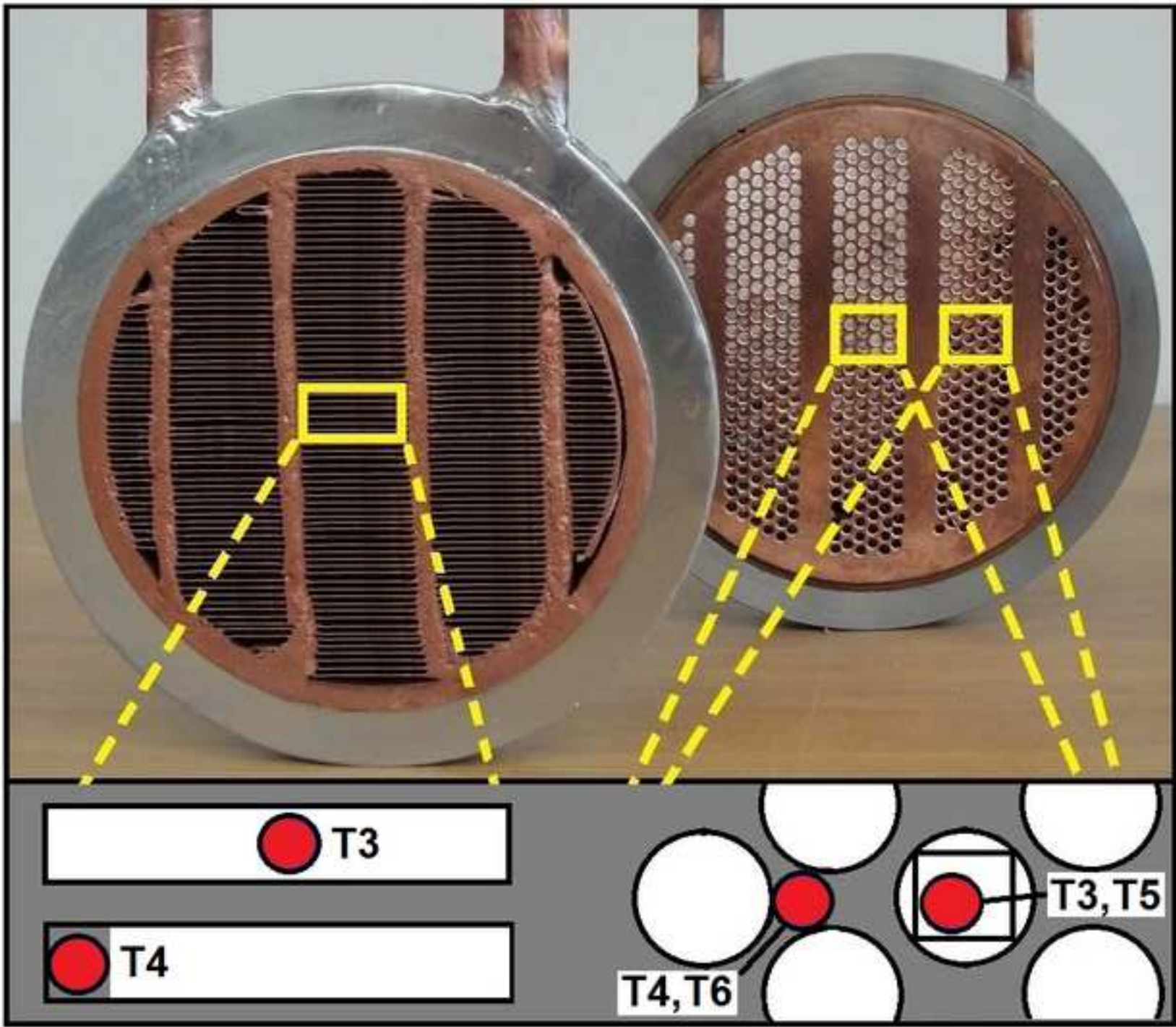
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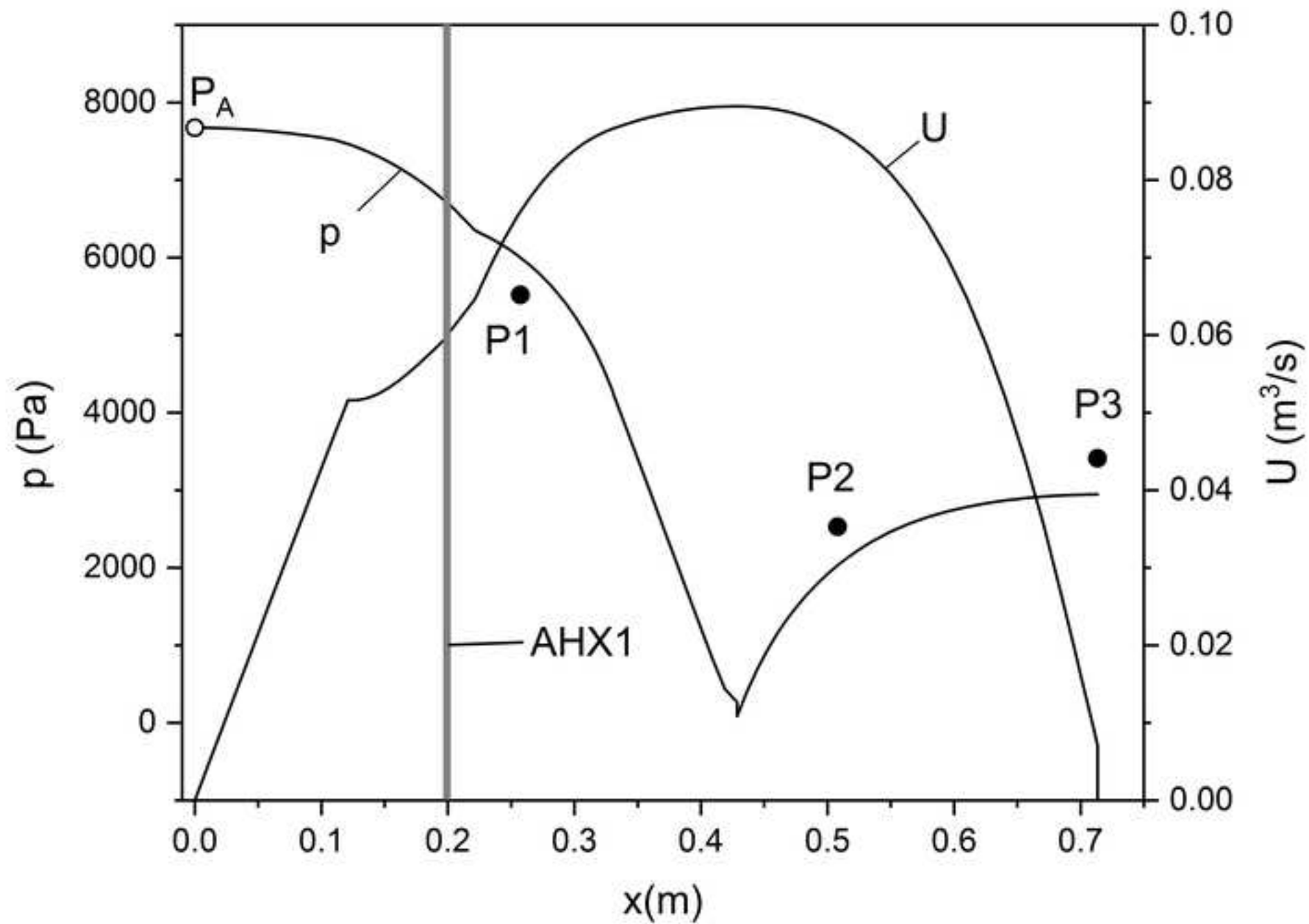
## **Highlights**

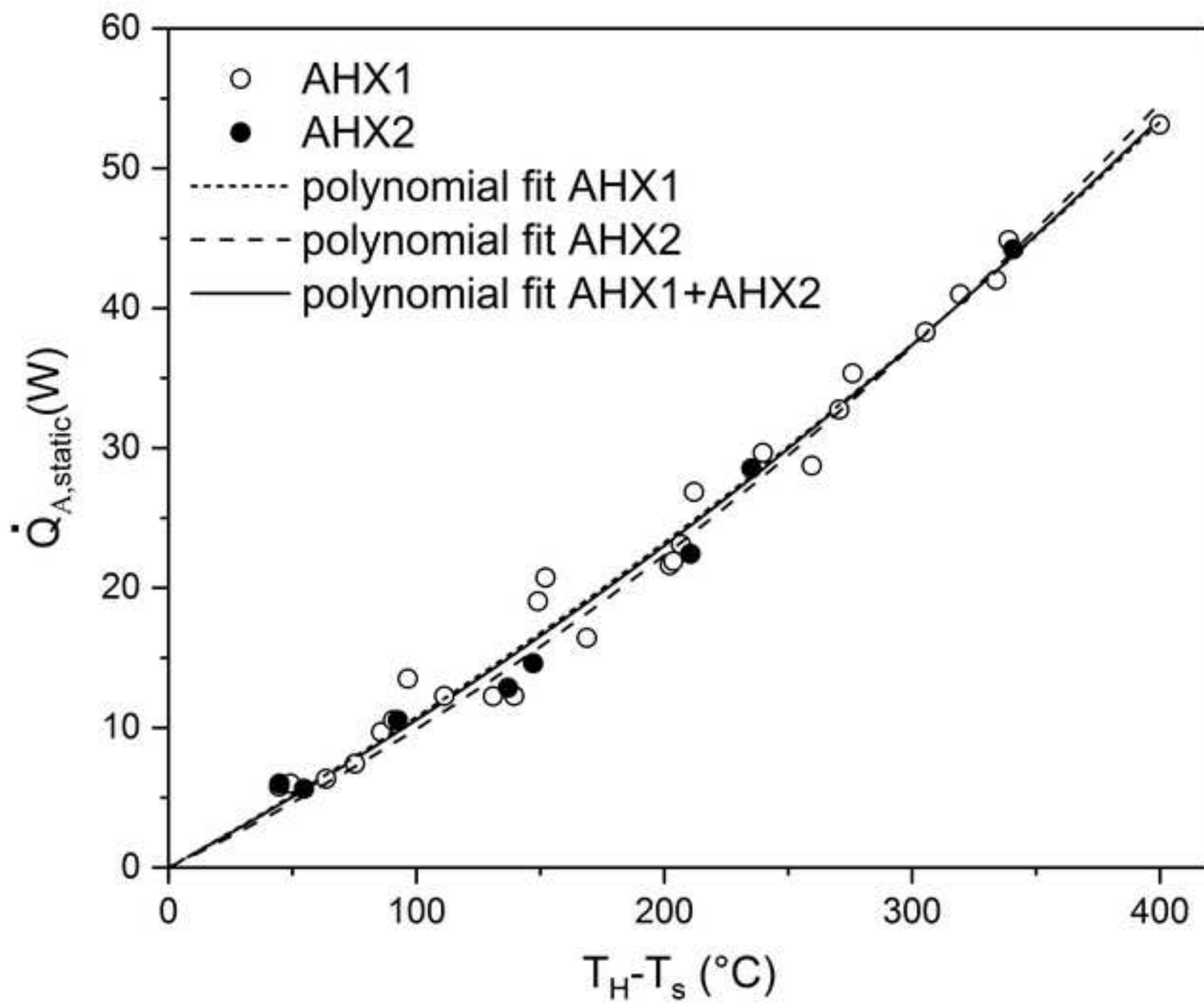
- The thermal performance of finned-tube and circular-pore heat exchangers is studied.
- The effect of pore geometry and porosity on engine performance is assessed.
- Finned-tube heat exchanger improves engine performance due to lower irreversibility.
- The dimensionless heat transfer coefficient vs acoustic Reynolds number is measured.
- The Boundary Layer Conduction model has the best agreement with experimental data.

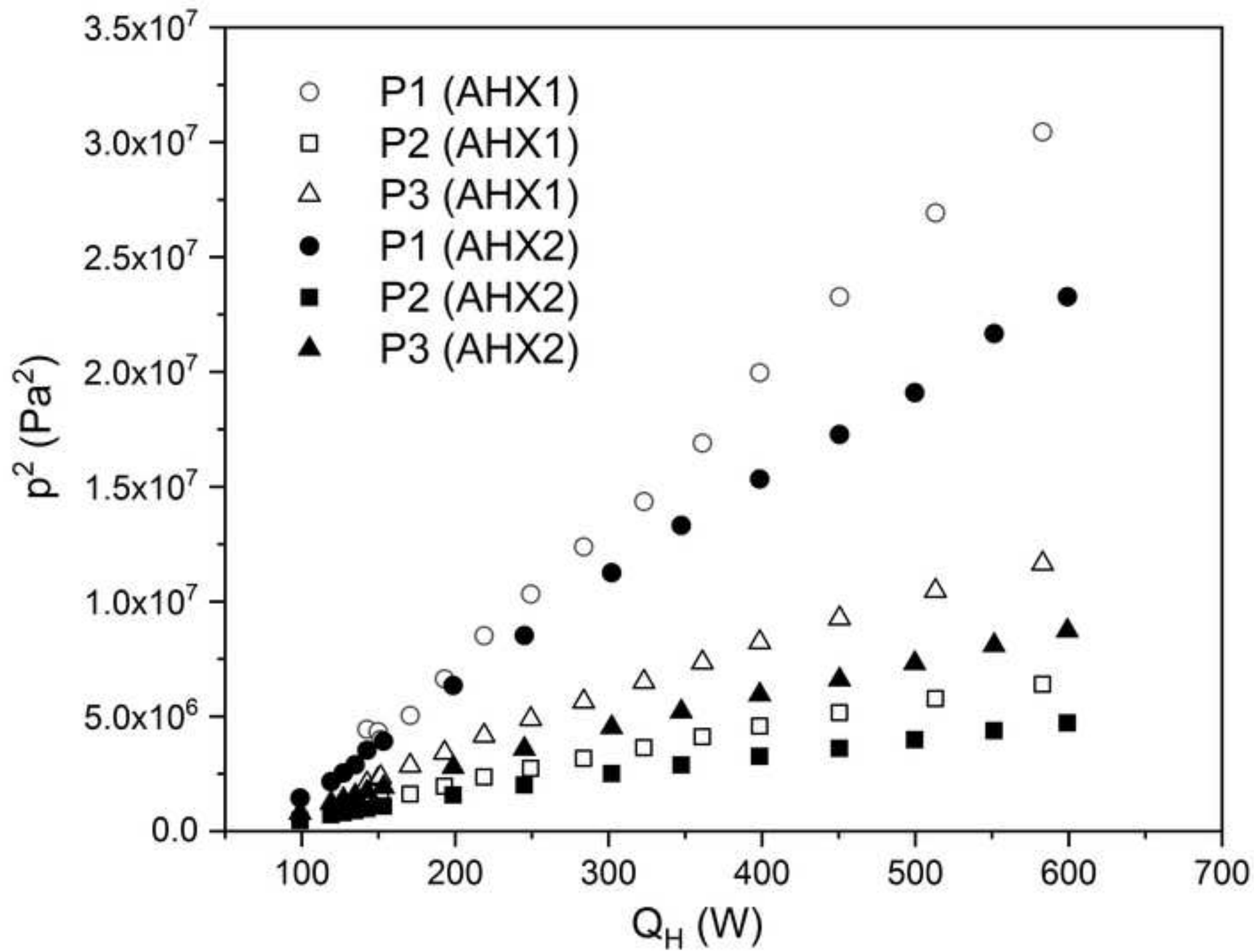


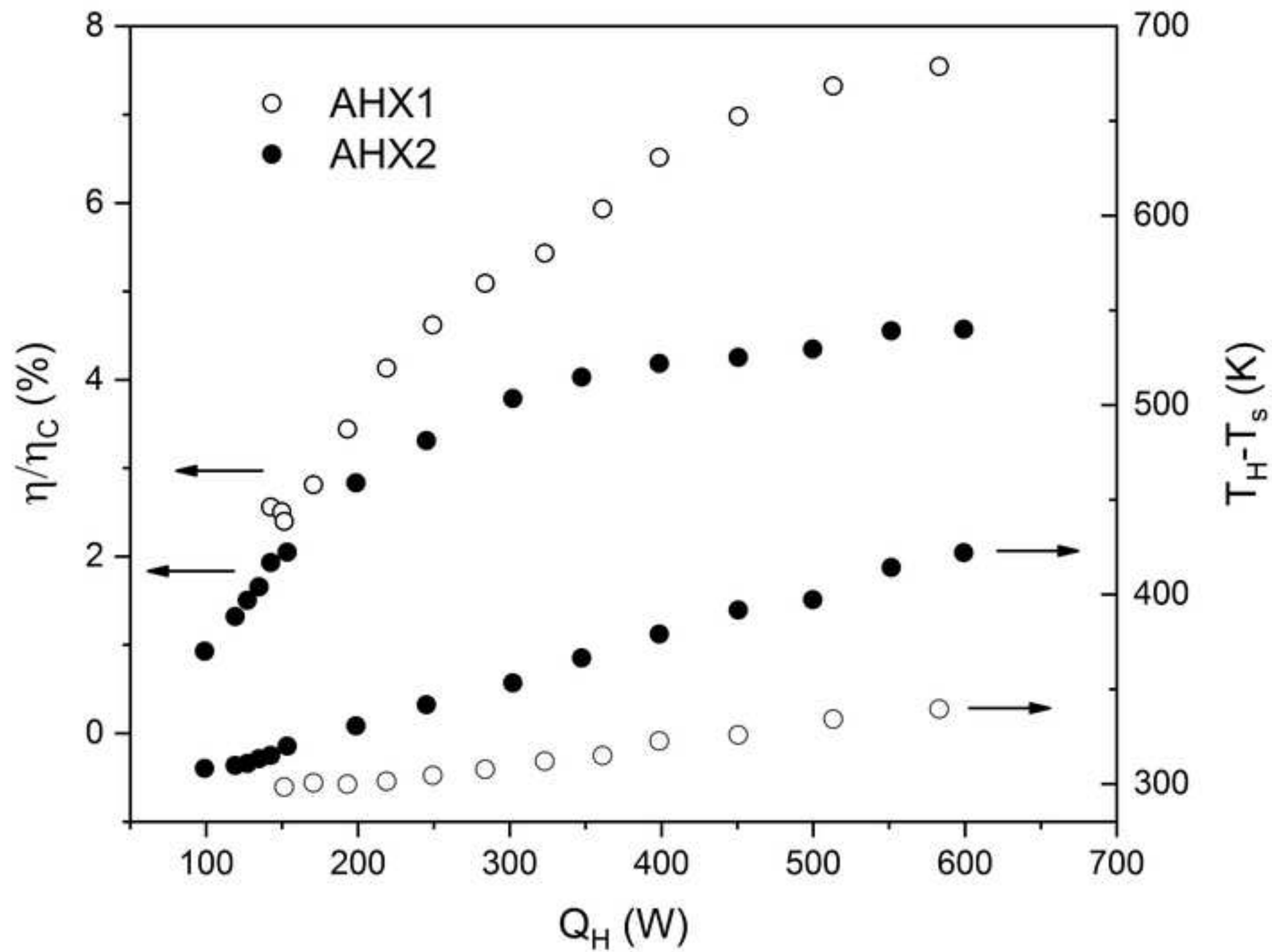


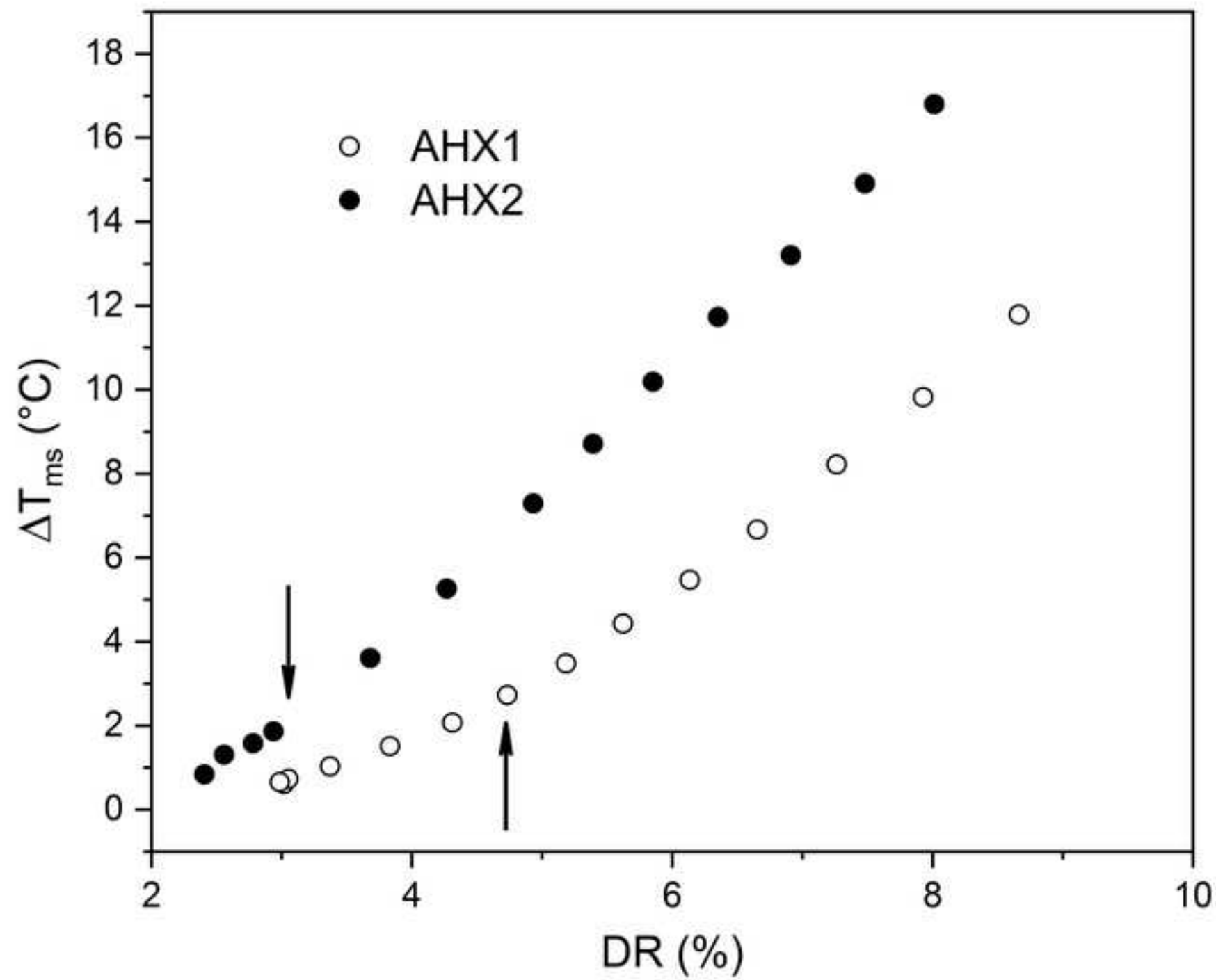


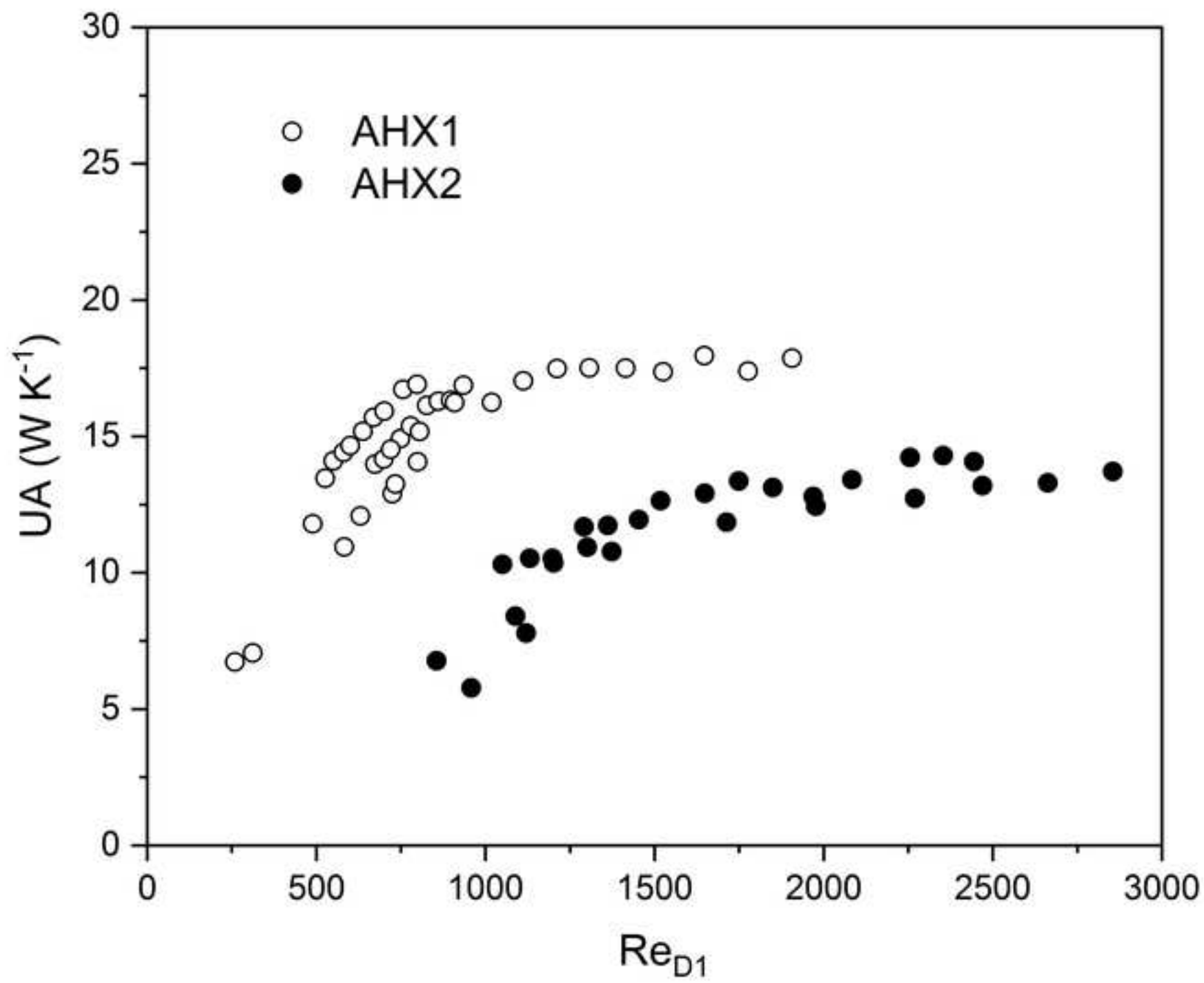


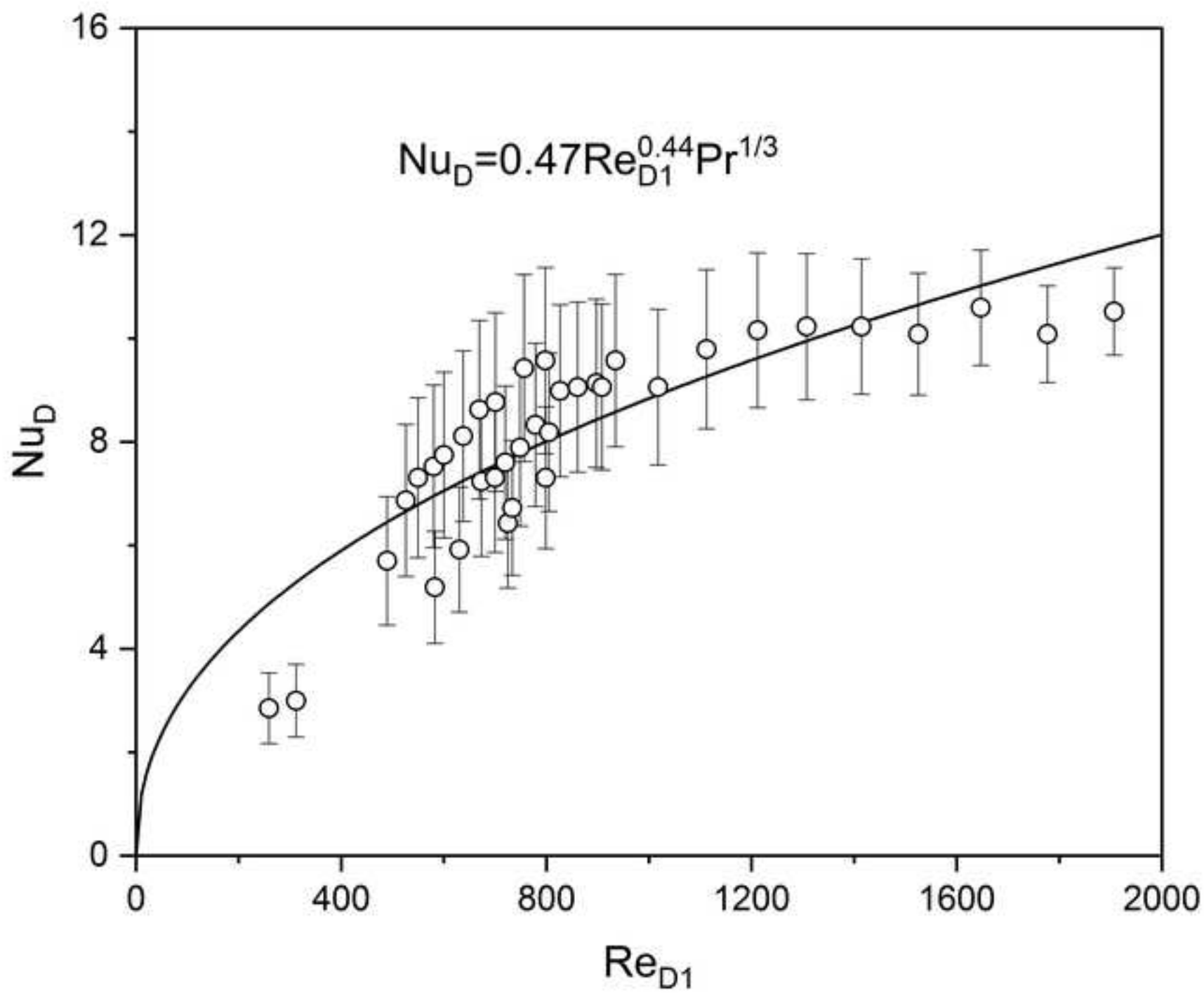


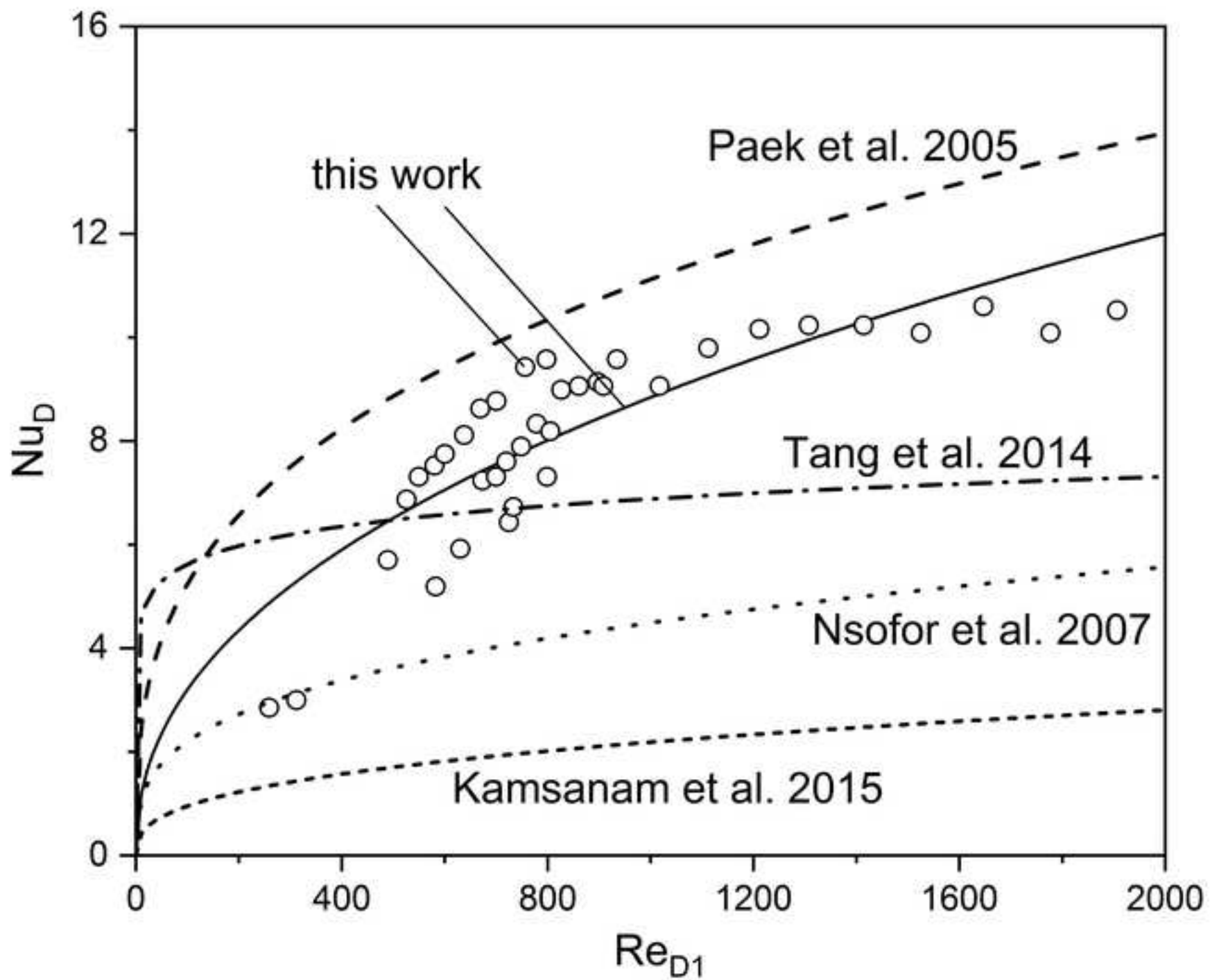


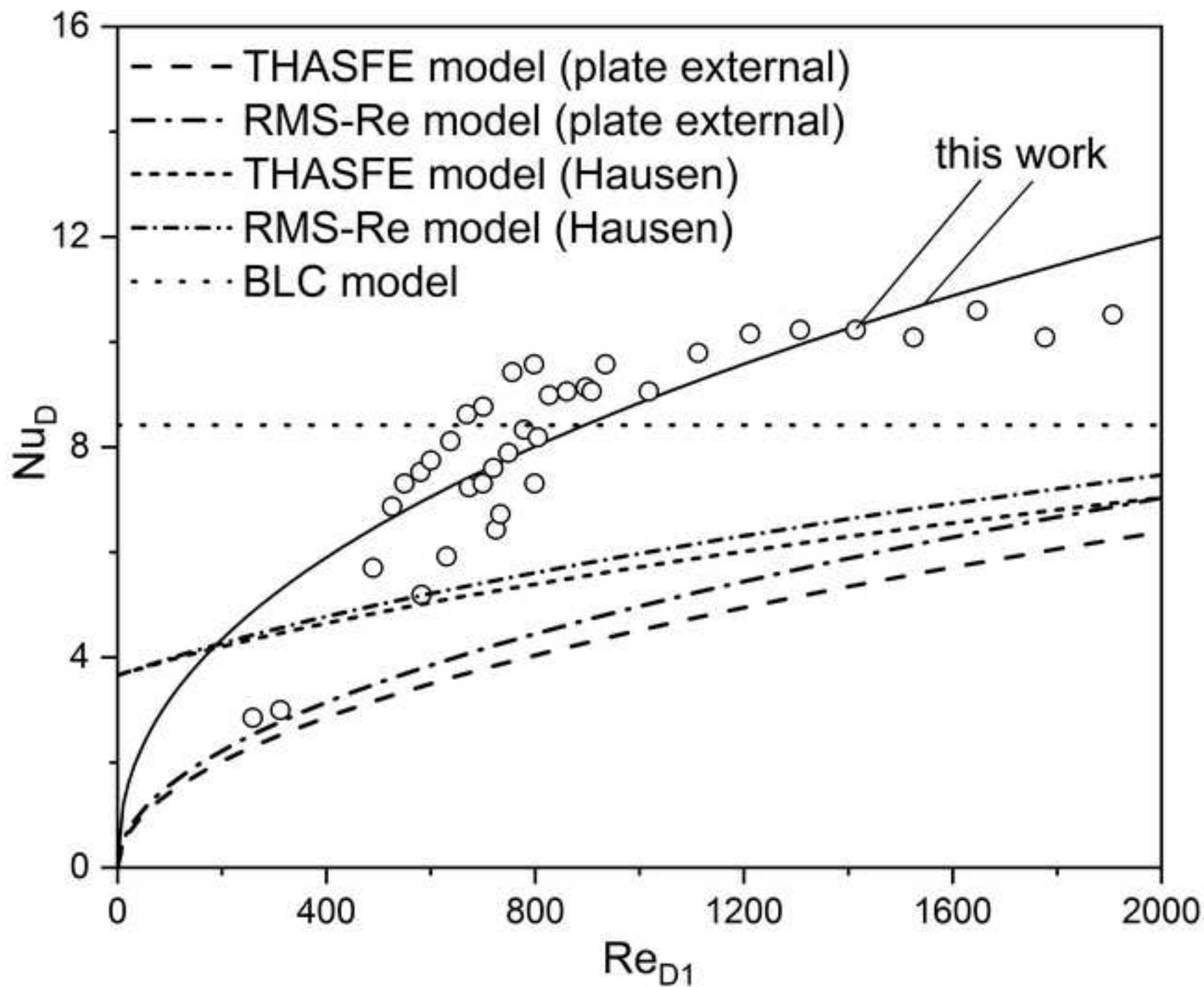












**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

# Experimental study of heat transfer characteristics of finned-tube and circular-pore heat exchangers in oscillatory flow

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**Abstract.** This work is concerned with an experimental investigation of the thermal performance of two thermoacoustic heat exchangers characterized by different pore geometries, namely a circular-pore geometry and a finned-tube geometry. A standing wave engine, where the heat exchangers under test play the role of ambient HXs, is used as test-rig. Heat transfer rates measurements by standard energy balance techniques and dynamic pressure measurements are used to assess the impact of the two heat exchangers on the engine performance. The gas-side heat transfer coefficient, expressed as Nusselt number, is also determined for the finned-tube heat exchanger. The resulting values are compared to the heat transfer coefficients estimated in analogous experimental studies and by predictive models. Results show that the circular-pore heat exchanger reduces the performance of the engine compared to the finned-tube heat exchanger by about 23%, being affected by higher thermal and viscous irreversibility. Moreover, the boundary layer conduction model exhibits a better agreement with the measured heat transfer coefficients compared to other models. A new correlation law, based on regression of the experimental data, is also derived.

**Keywords:** Thermoacoustics; Heat exchangers; Heat transfer; Oscillatory flow

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## Highlights

- The thermal performance of finned-tube and circular-pore heat exchangers is studied.
- The effect of pore geometry and porosity on engine performance is assessed.
- Finned-tube heat exchanger improves engine performance due to lower irreversibility.
- The dimensionless heat transfer coefficient vs acoustic Reynolds number is derived.
- The Boundary Layer Conduction model has the best agreement with experimental data.



|            |  |          |  |
|------------|--|----------|--|
| $S$        | gas-solid heat transfer area, m <sup>2</sup> | $HX$     | heat exchanger                         |
| $S_b$      | unfinned surface area, m <sup>2</sup>        | $L$      | length                                 |
| $S_w$      | inner tube surface, m <sup>2</sup>           | $m$      | mean                                   |
| $t$        | fin thickness, m                             | $RMS$    | Root Mean Square Reynolds<br>Number    |
| $T_H$      | heater temperature, K                        | $static$ | static measurement                     |
| $T_m$      | local gas temperature, K                     | $TASFE$  | Time-Average Steady-Flow<br>Equivalent |
| $T_s$      | temperature at the fin base, K               | $w$      | water                                  |
| $T_1, T_2$ | inlet/outlet water temperature, K            | 1        | first-order acoustic variable          |
| $T_2'$     | corrected outlet temperature of<br>water, K  |          |  |

41

## 42 1. Introduction.

43

44 In thermoacoustic (TA) engines the heat exchanger (HX) plays the fundamental role of providing  
45 the heat transfer between external thermal reservoirs and the core of the engine, namely a porous  
46 media of high thermal capacity (stack/regenerator) where the heat/sound energy conversion takes  
47 place. Although the theoretical foundations of TA energy conversion were formulated by Rott in  
48 the seventies of last century [1] the design methodologies of this component are not yet well-  
49 established.

50 The main issues involved in its design arise from it working under oscillatory flows with short  
51 acoustic displacement. This fact has two important implications: (a) the heat transfer area cannot  
52 be increased by simply increasing the HX length along the direction of the acoustic oscillation;  
53 (b) standard steady flow correlations for compact HXs cannot be directly applied. The first point  
54 demands for clear rules on selection of the optimal geometrical configuration of the HX (length,  
55 pore hydraulic radius etc.) for a given application. The last point demands for reliable heat transfer  
56 correlations specific to oscillatory flows in the dynamic range typical of TA engines. Both  
57 theoretical and experimental approaches were applied to investigate the above issues.

58 As for the first issue, the basic design rule of TA HXs was first given by Swift [2] who argued  
59 that the length of the HXs ( $L_{HX}$ ) along the direction of acoustic oscillation ( $x$ -direction thereafter)  
60 should be of the order of (and not exceed) the peak-to-peak displacement amplitude ( $2\xi_1$ ). In a  
61 later research Swift [3] found experimental evidence of improvement in engines' performance for  
62 HXs of reduced length ( $\sim\xi_1$ ). It is also suggested that the hydraulic radius of the HX pores,  $R_h$ ,  
63 should be of the order of the thermal penetration depth ( $\delta_\kappa$ ), which represents the distance over  
64 which heat diffuses in an acoustic cycle. This statement derives from considering that the gas  
65 responsible for heat transport to/from the stack oscillates at a distance  $\delta_\kappa$  from the gas-solid  
66 boundary. Analogously, Minner et al. [4] found, on the basis of an optimization study of TA  
67 refrigerators, that the optimal value of the normalized distance  $R_h/\delta_\kappa$  should range from 2 to 4.

68 Cao et al. [5] evidenced, through numerical simulation of parallel-plate stacks, that the lengths  
69  $L_{HX}$  and  $R_h$  are correlated: the smaller the pore hydraulic radius the shorter the HX length. This  
70 result, also found in other computational investigations [6-7], indicates that the length of HXs  
71 with small pores ( $R_h/\delta_\kappa < 1$ ) could be shorter than  $2\xi_1$  and about equal to the particle displacement  
72 distance ( $\xi_1$ ). Even lower values ( $L_{HX}/\xi_1 \sim 0.6$ ) were found by Besnoin and Knio [8] although the  
73 same authors found lengths exceeding  $2\xi_1$  in a subsequent study [9].

74 Entropy generation minimization methods [10-12] showed that the above correlation should  
75 result as a trade-off between the thermal irreversibility associated with heat transfer and the  
76 irreversibility associated with viscous and thermal dissipation. This trade-off, producing a  
77 minimum in entropy generation, happens at lower  $L_{HX}$  values as  $R_h$  is reduced due to quadratic  
78 increase of the viscous dissipation with  $R_h$  for  $R_h \rightarrow 0$ .

79 The numerical studies of Besnoin and Knio [8, 9] and Marx and Blanc-Benon [13] evidenced  
80 that, in addition to  $L_{HX}$  and  $R_h$ , the separation gap  $g$  between a stack and the adjacent HX could  
81 have a considerable influence on the HX performance. In a real TA device this gap minimizes  
82 adverse thermal conduction from the hot to the cold HX and prevents the HX to obstruct the  
83 regenerator/stack pores. The optimal gaps found in these studies at the investigated configurations  
84 lie in the range  $0.12-0.2 \xi_1$ .

85 The effect of difference in porosity  $\phi$  (defined as the ratio of cross-section area open to gas

86 flow,  $A_g$ , to total area,  $A$ ) between the HX and adjacent stack is considered [4] to be responsible  
87 of complex turbulent flow patterns which actually increase the friction losses at the junction [3].  
88 To avoid these adverse entrance problems Tijani et al. [14] suggested that the porosity of the HX  
89 should match the porosity of the stack. This recommendation, formulated for standing wave  
90 devices, could not be fully applicable to travelling wave devices where the HX porosity is in some  
91 cases set to produce deliberate phase shifting of the acoustic wave [15].

92 Optimal design of TA HXs entails therefore setting proper values of the four geometrical  
93 parameters  $L_{HX}$ ,  $R_h$ ,  $g$ ,  $\phi$ , but clear and univocal guidelines establishing how these parameters must  
94 be related in an optimized configuration have not been to date formulated.

95 As for the second issue, the calculation of the gas-side heat transfer coefficient  $h$  relies  
96 principally on the model formulated by Swift [3], the so called “Boundary Layer Conduction”  
97 (BLC) model (also integrated in the design code “DeltaEC” [16]). This model states  $h=K/y_{eff}$   
98 where  $K$  is the gas thermal conductivity and  $y_{eff}$  is the minimum between  $R_h$  and  $\delta_\kappa$ .

99 Other relevant models are the “Time-Average Steady-Flow Equivalent” (TASFE) [17] and  
100 the “Root Mean Square Reynolds Number” (RMS-Re) [18] models which similarly try to derive  
101 non-dimensional heat transfer correlations for the TA case by time averaging over an acoustic  
102 cycle classical steady-flow correlations.

103 A number of experimental investigations, involving different HX geometries and test  
104 conditions, were carried out to validate the above models and to define robust correlations for the  
105 estimation of  $h$  in oscillatory flows [19-24]. The results reported in these studies indicate that the  
106 application of the TASFE and RMS-Re models may introduce significant errors in predicting the  
107  $h$  value. Furthermore, the experimentally derived correlations are ambiguous and configuration  
108 dependent.

109 The above discussion show how current knowledge on the design of efficient HXs for TA  
110 applications is not yet exhaustive. As a result, additional research is needed to investigate on the  
111 impact of HX geometry on heat transfer and to develop reliable correlations for the determination  
112 of the gas-side heat transfer coefficient under oscillatory flow conditions.

113 With this purpose in this paper the results of an experimental research aimed at studying the  
114 impact of two HXs characterized by a different geometry (circular and finned) on the global  
115 thermoacoustic system performance and at deriving (in the finned geometry case) proper heat  
116 transfer correlations are presented. The test-rig is a TA engine of the standing-wave type where  
117 the investigated HXs play the role of ambient HXs. Engine performance and HXs heat transfer  
118 rates are determined over a wide range of velocity amplitudes through standard sound pressure  
119 and energy balance measurements. The derived correlation law, expressed in terms of Nusselt  
120 number, is then compared to analogous measurements found in literature and to the predictions  
121 of the models discussed above.

122

123

## 124 **2. Experimental setup and data reduction.**

125

### 126 *2.1 Experimental apparatus*

127 The test-rig considered for this research consists of a standing wave thermoacoustic engine using  
128 air at atmospheric pressure as working fluid. It is characterized by a resonance frequency of 143.2

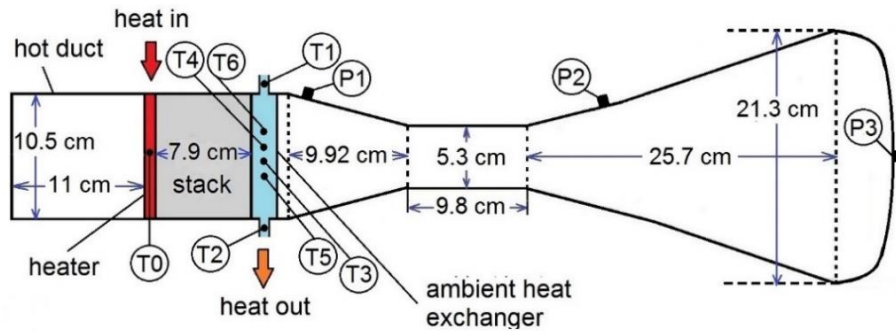
129 Hz and by an onset temperature difference of about 350 °C. A schematic of the engine is shown  
 130 in Fig. 1.

131 The resonator is made of stainless steel and comprises a “hot” duct with a diameter of 10.5  
 132 and 10 cm long, a “stack holder” with a diameter of 10.5 cm and 8 cm long, a conical shaped  
 133 segment (taper angle ~15°), a narrow duct with a diameter of 5.3 cm and 20 cm long and a buffer  
 134 volume (a closed conical shaped duct with taper angle ~15°).

135 The stack is a ceramic (cordierite) honeycomb stack 7.9 cm long in the  $x$  direction. The pores  
 136 have a squared shape with  $R_h=0.28$  mm while the cell density is near 400 cpsi.

137 The electric heater is fabricated by wrapping about 3.7 m of a Ni-Cr wire ( $12 \Omega/m$ ) uniformly  
 138 in and out the pores of a honeycomb ceramic slice 1 cm long in the  $x$  direction (and made of the  
 139 same material of the stack) that served as a support. A 2 cm long stainless-steel ring equipped  
 140 with feedthroughs for power cables is used to accommodate the slice.

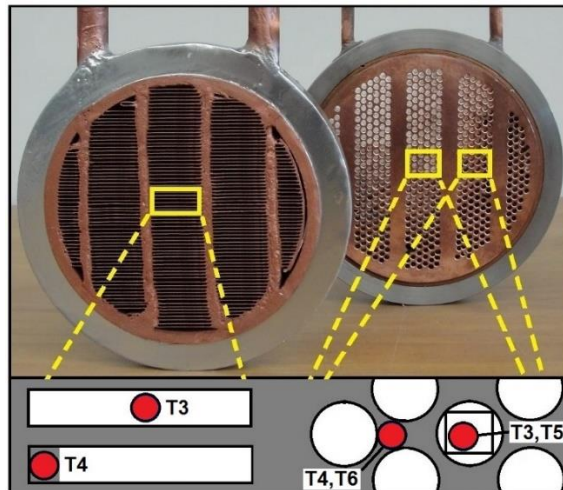
141



**Figure 1.** Schematic of the standing wave test engine. Labels “T” indicate thermocouple location. Labels “P” indicate microphone location.

142 The HXs under test are two cross-flow HXs with four water-tube passes. A picture of the two  
 143 HXs, which in the engine arrangement work as ambient HXs, is shown in Fig. 2.

144



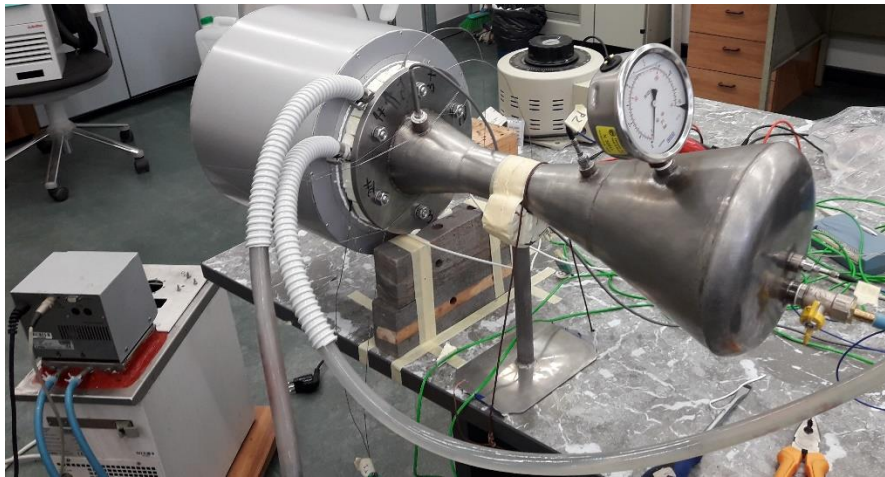
**Figure 2.** The heat exchanger with finned-tube (on the left) and circular-pore (on the right) geometry. Red circles indicate thermocouples.

145 The first HX (AHX1) is of the finned-tube type. It is 2 cm long in the  $x$  direction. For its  
 146 fabrication a copper ring 2 cm thick with an internal radius of 10.5 cm and an external radius of

147 14 cm was used as mechanical support of the HX itself. Just outside the HX the cooling water  
 148 flows through a copper tube with a diameter of 14 mm which has been crushed to obtain a  
 149 rectangular cross section pipe of area 2 cm×0.5 cm. The crushed pipe has then been bent six times  
 150 to allow for four passages in the front section of the HX as shown in Fig. 2. The fins are made of  
 151 strips of laminated copper 0.45 mm thick and 2 cm wide. These strips have been welded to the  
 152 external walls of the pipe with a fin spacing of 1.1 mm (resulting in  $R_h=0.55$  mm). The porosity  
 153 of this HX ( $\phi_1$ ) is calculated to be around 54%.

154 The second ambient heat exchanger (AXH2) is fabricated from a circular copper block and  
 155 is 2 cm long in the  $x$  direction. Gas passages are obtained by drilling 574 holes with a diameter of  
 156 2.5 mm in parallel to its axis. Cooling water passages are obtained by drilling 4 channels with a  
 157 diameter of 5 mm perpendicularly to the HX axis. The water also flows partially around the  
 158 perimeter of the block. The porosity of this HX ( $\phi_2$ ) is about 33%. The hydraulic radius of the  
 159 pores is  $R_h\approx 0.6$  mm. Compared to AHX1 this HX is characterized by a simpler fabrication  
 160 technique but the circular pore geometry hardly allows for porosities to exceed 40 %.

161



**Figure 3.** Photograph of the experimental apparatus.

162 Note that these two HXs are both made of copper, have the same length along the resonator  
 163 axis (2 cm), have pores of almost equal hydraulic radius and have the same flow arrangement  
 164 (cross flow with four water-tube passes). Their comparative study should therefore allow for  
 165 investigating the impact of pore geometry and associated porosity on the engine performance.

166 At the working frequency of the engine ( $f\approx 143$  Hz) the thermal penetration depth of the gas  
 167

168 
$$\delta_{\kappa} = \sqrt{\frac{2\kappa}{\omega}} \quad (1)$$

169  
 170 ( $\kappa$  and  $\omega$  being the gas thermal diffusivity and the angular frequency respectively) amounts  
 171 approximately to  $\delta_{\kappa}\approx 0.23$  mm so that  $R_h/\delta_{\kappa}\approx 2.2$  for AHX1 and  $R_h/\delta_{\kappa}\approx 2.6$  for AHX2. These values  
 172 are compatible with good thermal contact conditions between the gas and the HX solid walls [4].  
 173 Spacers were used to separate the HXs from the stack by a gap of about 1.5 mm.

174 The standing wave engine in place for testing is shown in Fig. 3. The cylindrical sleeve seen  
 175 on the left is filled with rock wool to thermally insulate the “hot” parts of the engine (the hot duct,

176 the heater and the stack holder). A strip of a polystyrene sheet 2 cm thick has been also wrapped  
177 around the ambient HXs for thermal insulation purposes.

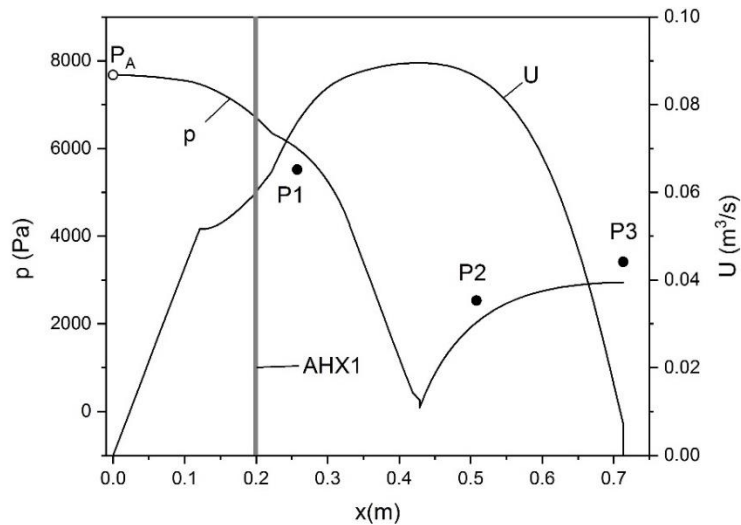
178

## 179 2.2 Measurements

180 For dynamic pressure measurements a microphone (Brüel and Kjær instruments, type 4938,  
181 sensitivity 1.6 mV/Pa) and two piezoelectric pressure transducers (PCB Piezotronics, model  
182 113B28, sensitivity 14.5 mV/kPa) were flush mounted on the resonator walls. The signals  
183 recorded by these sensors (labeled as P1, P2 and P3 in Fig. 1), when combined with simulations  
184 performed using the DeltaEC code (configured for matching the P1, P2 and P3 values) make it  
185 possible to reconstruct the profile of the acoustic wave along the resonator axis and to estimate  
186 parameters of interest such as the amplitude of the dynamic pressure at a pressure antinode ( $P_A$ ),  
187 the amplitude of the oscillatory velocity at the HXs location ( $v_1$ ) and the flow of acoustic energy  
188 across a given section or component. A typical result is shown in Fig. 4 where the axial  
189 distributions of the magnitude of the acoustic pressure,  $p$ , and volumetric velocity,  $U$ , are reported.  
190 It can be seen how the AHX is located around midway between the velocity node and antinode of  
191 the standing wave so that a wide range of velocity amplitudes (and associated gas displacement  
192 amplitudes) can be selected by varying the heat input to the system.

193 To measure the average gas/solid temperature of the heater,  $T_H$ , a type-K thermocouple (error  
194  $\pm 0.3$  °C), labeled as T0 in Fig. 1, was placed inside a pore located at the centre of the heater.

195



**Figure 4.** Axial distributions of the magnitude of the acoustic pressure,  $p$ , and volumetric velocity,  $U$ , when the heat input to the engine (integrating AHX1) is 583 W. Full circles are the pressure values measured by P1, P2 and P3. The vertical grey line indicates the AHX location.

196 As for AHX1, two type-K thermocouples (labeled as T1 and T2) were placed in the water  
197 tubing at the inlet and outlet sections of the HX to measure the local water temperature ( $T_1$  and  $T_2$   
198 respectively). To measure the temperature  $T_m$  of the gas flowing inside a pore a thermocouple  
199 (labeled as T3) was installed through a spacer in the (slightly enlarged) gap between two fins  
200 located at the centre of the HX. To measure the temperature  $T_s$  at the base of the fins a  
201 thermocouple (labeled as T4) was glued on the flat wall of the water pipe by copper spray. A  
202 schematic of the installation of T3 and T4 can be seen in Fig.2.

203 As for AHX2, two type-K thermocouples (labeled as T1 and T2) were placed in the water  
 204 tubing at the inlet and outlet sections of the HX to measure the local water temperature ( $T_1$  and  
 205  $T_2$ , respectively). To measure the temperature  $T_m$  of the gas flowing inside a pore a thermocouple  
 206 (labeled as T3) was inserted into a ceramic sleeve of squared cross section and the assembly was  
 207 then fitted in a (slightly enlarged) pore. Since the contact area between the sleeve and the pore  
 208 walls is restricted to the sleeve corners this probe reasonably measured the temperature of the gas.  
 209 To measure the temperature  $T_s$  of the solid honeycomb matrix a thermocouple (labeled as T4) was  
 210 imbedded in a hole drilled in the copper matrix just near T3. Copper spray was used to enhance  
 211 thermal contact. The remaining two thermocouples (labeled as T5 and T6) have been analogously  
 212 installed to measure  $T_m$  and  $T_s$  but in a more peripheral location compared to T3 and T4 so as to  
 213 get the information on the radial distribution of the measured temperatures. A schematic of the  
 214 installation of T3, T4, T5 and T6 can be seen in Fig.2.

215 The thermocouple signals are acquired by a 8-channel data logger connected to a PC (USB-  
 216 Temp device of Measurement Computing) while the dynamic pressure sensor signals are read,  
 217 after being preamplified, directly on multimeters as output voltages.

218 The input heat flux  $\dot{Q}_H$ , generated by feeding the heater through a variable voltage  
 219 autotransformer, was calculated by measuring the voltage drop across the heater and the flowing  
 220 current.

221 The heat power transferred by the AHX is measured through a closed loop water circuit. The  
 222 network comprises (a) a refrigerated/heating circulator unit pumping the water and controlling its  
 223 temperature, (b) a valve installed downstream the AHX regulating the water flow rate, (c) a  
 224 rotameter (accuracy  $\pm 5$  l/h) installed upstream the AHX measuring the water flow rate and (d) a  
 225 thermal storage system placed outdoors constituted by a copper coil immersed in a water bath.  
 226 The last system was utilized because the circulator is unable to absorb thermal loads on the AHX  
 227 higher than 200 W. The inlet temperature of the water is set at 25 °C in all measurements.

228 To avoid moisture condensation on the solid surfaces of the HXs and stack each measurement  
 229 cycle started by emptying the resonator through a vacuum pump. While the vacuum pump is left  
 230 in operation for at least ½ hour the heater is heated up to about 50÷60 °C and cooling water at 25  
 231 °C is sent into the AHX. Then the vacuum pump is disconnected and external air is left to enter  
 232 the resonator. Finally, the engine is brought to the desired operating point and measurement is  
 233 conducted after thermal equilibrium conditions are reached.

234

### 235 2.3 Data reduction

236 The AHXs' heat transfer rates are estimated from the measured inlet/outlet water temperatures  
 237 and water volume flow rate,  $\dot{V}$ , as

$$238 \quad \dot{Q}_A = \dot{V} \rho_w c_w (T_2 - T_1) \quad (2)$$

239

240  $\rho_w$  and  $c_w$  being the density and specific heat of water respectively.

241 It must be observed that the measured heat flux  $\dot{Q}_A$  comprises different contributions and  
 242 precisely: (1) the thermo-acoustically activated hydrodynamic heat flux  $\dot{Q}$  along the stack (the  
 243 parameter of interest for the estimation of the gas-side heat transfer coefficient), (2) the conduction  
 244 heat flux  $\dot{Q}_{K1}$  transported by the gas and the solid portion of the stack, (3) the conduction heat  
 245 flux  $\dot{Q}_{K2}$  transported by the stack-holder walls and thermal insulating sleeve (4) the conduction  
 246 heat flux  $\dot{Q}_{K3}$  transported by the resonator walls over which dissipation of acoustic power occurs

247 and finally (5) the conduction heat flux  $\dot{Q}_{leak}$  due to heat leakage from the heat exchanger to the  
 248 surrounding environment through the insulation. Heat balance provides the following equation

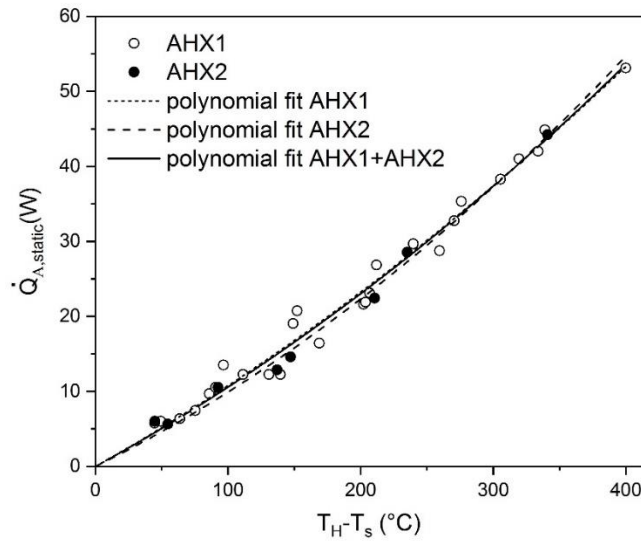
$$249 \quad \dot{Q}_A = \dot{Q} + (\dot{Q}_{K1} + \dot{Q}_{K2} + \dot{Q}_{K3}) - \dot{Q}_{leak} \quad (3)$$

252 Therefore, to calculate  $\dot{Q}$  the above conduction heat fluxes have to be estimated. To accomplish  
 253 this a combination of both static measurements and simulation procedures is applied.

254 In the static measurements the heater was powered below the onset temperature of the engine  
 255 and the thermal load on the AHX corresponding to

$$257 \quad \dot{Q}_{A,static} = \dot{Q}_{K1} + \dot{Q}_{K2} - \dot{Q}_{leak} \quad (4)$$

258



**Figure 5.** The conductive heat flux measured under static measurements for AHX1 (open circles) and AHX2 (full circles). Dotted, dashed and continuous lines are 2<sup>nd</sup> order polynomial fits of the experimental points concerning AHX1, AHX2 and both AHX1 and AHX2 respectively.

259 was measured through Eq. (2). Results are shown in Fig. 5 where  $\dot{Q}_{A,static}$  is reported as a function  
 260 of the temperature difference between the heater,  $T_H$ , and the AHX,  $T_s$ , for both AHX1 (open  
 261 circles) and AHX2 (full circles). The least-squares fit of the two sets of experimental data by a 2<sup>nd</sup>  
 262 order polynomial of the form  $A_1(T_H - T_s) + A_2(T_H - T_s)^2$  is represented by the dotted (AHX1) and  
 263 dashes (AHX2) lines. The relative difference between these two curves results lower than 5%  
 264 according to the fact that the thermal path from the heater to the AHXs is equal in the two cases.  
 265 So, for subsequent analysis the curve fit of all the experimental points (both AHX1 and AHX2)  
 266 is considered. The resulting values for the fitting parameters are  $A_1 = 0.09624 \pm 0.00441$  and  
 267  $A_2 = (9.43334 \pm 1.54) \times 10^{-5}$ , the coefficient of determination being  $R^2 = 0.988$ . Subtracting now Eq.  
 268 (4) from Eq. (3) provides

$$269 \quad \dot{Q} = \dot{Q}_A - \dot{Q}_{A,static} - \dot{Q}_{K3} \quad (5)$$

270

271 The heat flux  $\dot{Q}_{K3}$  to be substituted in this equation together with  $\dot{Q}_{A,static}$  for the determination of  
 272  $\dot{Q}$  was derived by simulating the energy fluxes in the engine using DeltaEC. The acoustic power

273 flowing downstream the AHX toward the conical duct (on the right of the AHX in Fig. 1) is  
 274 identified with  $\dot{Q}_{K3}$ .

275 Note that the above method does not require the direct determination of  $\dot{Q}_{leak}$  since this term  
 276 is included both in  $\dot{Q}_A$  and in  $\dot{Q}_{A,static}$  and it elides after Eq. (4) is subtracted from Eq. (3).

277 To make  $\dot{Q}$  consistent with the outlet temperatures of water these last are recalculated as  
 278 follows

$$279 \quad T'_2 = T_2 - \frac{(\dot{Q}_{A,static} + \dot{Q}_{K3})}{\rho_w c_w \dot{V}} \quad (6)$$

280 where  $T'_2$  represents the outlet temperature of water that would be measured if the conduction  
 281 contributions were absent, i.e., if the AHX was uniquely loaded by the hydrodynamic heat flux.

282 The heat transfer and temperature values so determined are then used to calculate the overall  
 283 heat transfer coefficient,  $UA$ , as [25]

$$284 \quad UA = \frac{\dot{Q}}{\theta_{ml}} \quad (7)$$

285 where  $\theta_{ml}$ , the log-mean temperature difference, has the following expression  
 286

$$287 \quad \theta_{ml} = \frac{T'_2 - T_1}{\ln\left(\frac{T_m - T_1}{T_m - T'_2}\right)} \quad (8)$$

288 when considering that in oscillatory flows the inlet and outlet temperatures of the primary fluid  
 289 (the gas) are the same ( $T_m$ ).

290 In the case of AHX1 the finned geometry allows the gas-side heat transfer coefficient  $h$  to be  
 291 estimated from the  $UA$  values. A simplified 1D heat transfer model provides, in fact, the following  
 292 expression for  $UA$

$$293 \quad UA = \frac{1}{\frac{1}{h_w S_w} + \frac{d}{K_t S_w} + \frac{1}{h \left[ \sum_i (\Pi L_{fi}) \eta_{fi} + S_b \right]}} \quad (9)$$

294 where  $h_w$  is the water-side heat transfer coefficient,  $S_w$  is the total inner surface of the water tubes,  
 295  $K_t$  is the thermal conductivity of the tube material (copper),  $d$  is the wall thickness of the tube,  $\Pi$   
 296 is the perimeter of the fin cross section,  $L_{fi}$  is half the length of the  $i$ -th fin,  $S_b$  is the total area of  
 297 the unfinned external surface of the tubes and where  $\eta_{fi}$ , the efficiency of the  $i$ -th fin, has the  
 298 following expression

$$299 \quad \eta_{fi} = \frac{\tanh(mL_{fi})}{mL_{fi}} \quad m = \sqrt{\frac{h\Pi}{A_f K_f}} \quad (10)$$

300  $A_f$  and  $K_f$  being the cross-section area and thermal conductivity of the fin respectively.

301 In Eq. (9) the parameter  $h_w$  has to be measured beforehand. To accomplish this the AHX was  
 302 set in isothermal conditions by immersing it in a water bath maintained at 50 °C. A flow  $\dot{V}$  of

308 water at 25 °C was then sent to the HX inlet section. If the temperature of the fin base  $T_s$  is assumed  
 309 equal to the temperature of the internal surfaces of the water tube, standard energy balance  
 310 calculations for the case of internal flow with constant surface temperature [25] provide the  
 311 following expression of  $h_w$  in terms of measured quantities

$$312 \quad h_w = \frac{\dot{V} \rho_w c_w}{S_w} \ln \left( \frac{T_s - T_1}{T_s - T_2} \right) \quad (11)$$

314 Obviously, the effectiveness of this procedure relies on the assumption of constant surface  
 315 temperature that constitutes the major approximation of the method. However, using a thin tube  
 316 wall ( $d=1$  mm) and of high thermal conductivity ( $K_f \approx 400$  W/mK) the approximation should be  
 317 reasonable. For a water flow rate of 60 l/h, for example, the method furnished the value  
 318  $h_w = 2496 \pm 21$  W/m<sup>2</sup>K (the indicated accuracy being the standard deviation of measurements).  
 319

320

#### 321 2.4 Error analysis

322 To estimate the measurement uncertainty standard error propagation procedures [17] are applied.  
 323 To reduce the error in the measurement of temperature differences  $\Delta T$  an accurate in situ  
 324 calibration of the thermocouples was performed by an environmental test chamber. This decreased  
 325 the error in  $\Delta T$  to  $\pm 0.2$  °C. Analogously, the uncertainty in the measurement of the flow rate of  
 326 water was decreased to  $\pm 0.3$  l/h after calibration of the rotameter through accurate measurements  
 327 of the volume of water collected in a precision graduated cylinder in a given time. To reduce the  
 328 error in the calculation of  $\dot{Q}_A$  and  $\theta_{ml}$  the flow rate of water was decreased gradually from 53.8  
 329 l/h at the highest heat transfer rates to 17.3 l/h at the lowest ones. This allowed for relatively large  
 330 water temperature drop across the AHX ( $> 7$  °C) maintaining, accordingly, the relative uncertainty  
 331 on  $\dot{Q}_A$  lower than 4.2% and that on  $\theta_{ml}$  lower than 7 %. A higher relative error ( $\sim 10$  %) affects  
 332 the measurement of the heat flux  $\dot{Q}_{A,static}$  since the low conductive heat transfer rates give rise to  
 333 smaller temperature differences across the AHXs ( $< 2.2$  °C). From the above values it results that  
 334 the relative error of the heat fluxes  $\dot{Q}$  as calculated by Eq. (5) varies from about 4% at the highest  
 335 heat transfer rates to 17 % at the lowest ones. Analogously, the relative error affecting the heat  
 336 transfer coefficient  $h$  varies from about 8% to 24 %. These trends reflect the decrease in  
 337 temperature differences across the AHX as the heat transfer rates are reduced. The uncertainty  
 338 values of the Nusselt number are represented by error bars in Fig. 10.

339

340

### 341 3. Results and discussion

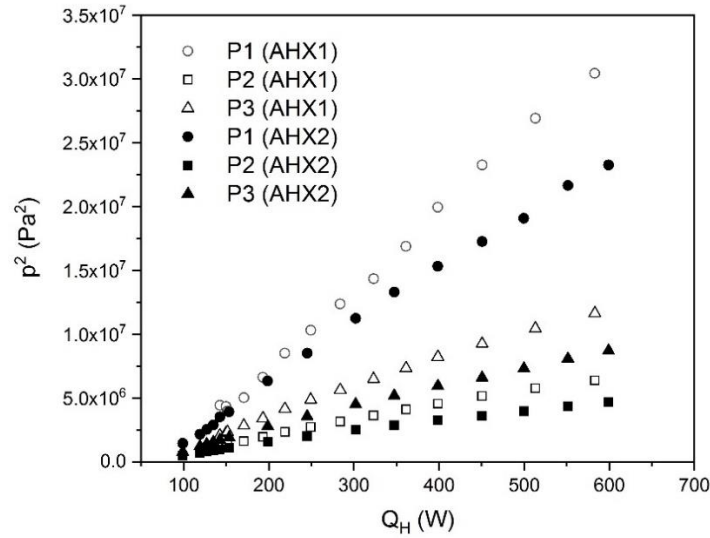
342

#### 343 3.1 Impact of HXs on engine performance

344 The impact of the two HXs on the engine performance in converting heat to acoustic power can  
 345 be deduced from Fig. 6 where the square of acoustic pressure amplitude measured by P1, P2 and  
 346 P3 is reported as a function of the electric power input to the engine  $\dot{Q}_H$  when a steady state is  
 347 reached. Results show how AHX1 increases the engine performance compared to AHX2 since  
 348 the acoustic pressure amplitudes measured at the selected microphone locations are higher for  
 349 AHX1 than for AHX2 at every input power level.

350 The difference in performance cannot be fully captured from Fig 6, however, since the

351 temperature difference across the stack, which influences the generated acoustic power, is  
 352 different in the two cases as shown in Fig. 7 where  $(T_H - T_s)$  is reported as a function of  $\dot{Q}_H$ .  
 353



**Figure 6.** The square of the acoustic pressure measured by P1, P2 and P3 as a function of the heat input to the system.

354 So, for assessing the impact of the two HXs on the engine performance the thermal-to-  
 355 acoustic conversion efficiency normalized by the Carnot efficiency is considered as a relevant  
 356 indicator:

$$357 \quad \frac{\eta}{\eta_c} = \frac{W_a}{\dot{Q}_H} \left( 1 - \frac{T_s}{T_h} \right)^{-1} \quad (12)$$

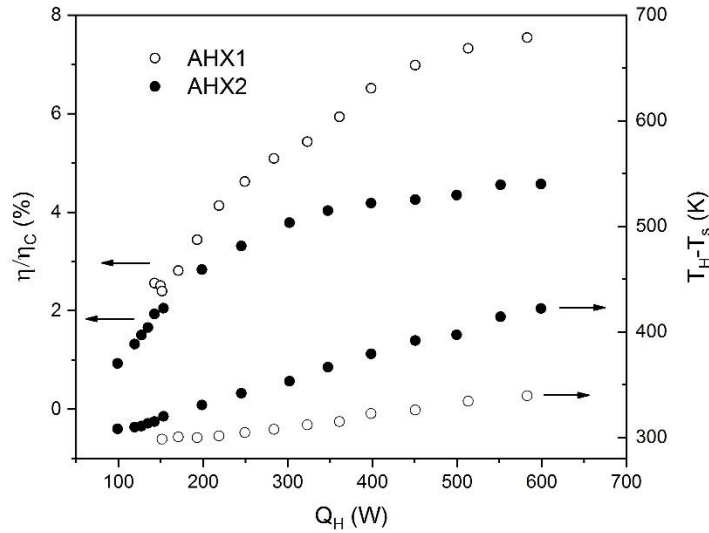
358 where  $W_a$ , the acoustic power produced by the engine core (the stack/HXs assembly), is calculated  
 359 by DeltaEC (configured for matching the P1, P2 and P3 values) as the sum of the acoustic energy  
 360 fluxes leaving the ambient HX and the heater from the sides facing the resonator. Results are  
 361 shown in Fig. 7 where  $\eta/\eta_c$  is reported as a function of  $\dot{Q}_H$ . The normalized efficiency of the  
 362 engine integrating AHX1 is reduced on average by 27% (the efficiency by 23%) when AHX2 is  
 363 installed, despite the fact that in the last case a higher temperature gradient exists in the stack.  
 364

365 The lower performance of AHX2 and the higher operating temperature, seems to indicate that  
 366 some acoustic loss mechanisms is at play that is more pronounced in AHX2 than AHX1.  
 367 Furthermore, this loss mechanism grows with the acoustic amplitude since the difference between  
 368 the temperature gradients of the two HXs increases with  $\dot{Q}_H$ .

369 Although AHX2 has a smaller heat transfer area compared to AHX1 the viscous dissipation  
 370 occurring on the HXs surfaces could be, at least partially, responsible of the observed behavior.  
 371 For a given volumetric flow, in fact, the local velocity amplitude  $v_1$  in AHX2 should be about  
 372  $\phi_1/\phi_2 \approx 1.64$  times higher than the velocity amplitude in AHX1. So, the viscous dissipation per unit  
 373 area of AHX2 should be around  $(\phi_1/\phi_2)^2 \approx 3.28$  times higher than the one on AHX1. Taking into  
 374 account that AHX2 has surface area  $\phi_2 R_{h1}/\phi_1 R_{h2} \approx 0.61$  times smaller than AHX1 it follows that  
 375 the dissipation of acoustic power on AHX2 is almost double that on AHX1.

376 An additional loss mechanism could be caused by the complex flow structures occurring at

377 the HX-stack and HX-duct junctions due to the difference in porosity. The fluid motion entering  
 378 and leaving the HX pores is characterized, in fact, by turbulent flow through sharp corners during  
 379 fluid entrance into the pores and vortex formation and shedding during the fluid ejection from the  
 380 pores [26]. These effects are likely to be less important for AHX1 whose finned geometry and  
 381 higher  $\phi$  should minimize eddy production in the acoustic flow [27]. The dissipated power,  
 382 assumed of the order of  $A_g \rho_m v_1^3$  [18] (where  $\rho_m$  is the mean gas density) should be around 3 times  
 383 higher in AHX2 than in AHX1.  
 384



**Figure 7.** The normalized efficiency (on the left scale) and the temperature difference between the “hot” and “ambient” heat exchanger (on the right scale) as a function of the heat input to the system.

385 Although the above arguments could not fully account for the excess of the temperature  
 386 difference ( $T_H - T_s$ ) measured in AHX2 compared to AHX1 they illustrate that the HX pore  
 387 geometry and porosity could have a non-trivial effect on flow losses, especially when the HX is  
 388 located in high velocity regions of the acoustic field, as in the present investigation.

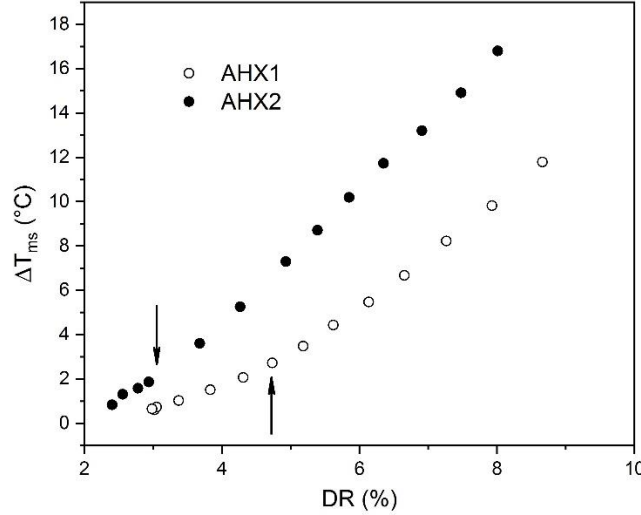
389 An additional explanation of the improved performance of the engine containing AHX1 is  
 390 found when taking into account that a figure of merit of a HX reflects its ability to sustain high  
 391 transfer rates under small gas-solid temperature differences. This favorable property, in fact,  
 392 decreases the thermal irreversibility associated with the heat transfer and leads to an enhancement  
 393 of the overall engine performance. The time averaged gas-solid temperature differences  $\Delta T_{ms}$   
 394 ( $=T_m - T_s$ ) measured for the two HXs are reported in Fig. 8 as a function of the drive ratio  $DR$   
 395 defined as the ratio of the pressure amplitude at the wave pressure antinode  $P_A$  (calculated by  
 396 DeltaEC when configured for matching the P1, P2 and P3 values) to the mean pressure  $P_m$ .

397 As expected, AHX1 entails lower  $\Delta T_{ms}$  values as being characterized by a lower  $UA$  factor.  
 398 The last parameter, calculated by Eq. (7) for both HXs, is plotted in Fig. 9 as a function of the  
 399 local peak acoustic Reynolds number

$$400 \quad \text{Re}_{D1} = \frac{\rho_m D_h v_1}{\mu} \quad (13)$$

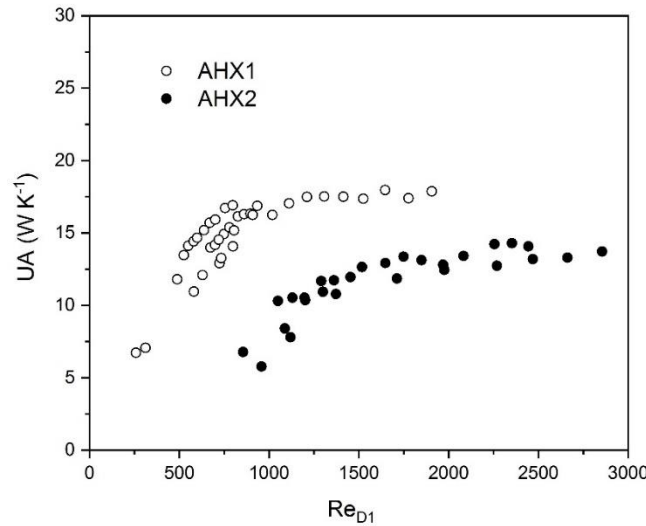
401 where  $D_h$  is the hydraulic diameter of the HX pore and  $\mu$  the dynamic viscosity. The lower value  
 402

403 of  $UA$  found for AHX2 compared to AHX1 reflects the lower heat transfer area and, presumably,  
 404 the different influence of the complex flow at the HX junctions on the local heat transfer  
 405 coefficient. The lower  $UA$  value leads to higher gas-solid temperature differences and so to higher  
 406 irreversibility associated to heat transfer.  
 407



**Figure 8.** The gas-solid temperature difference as a function of drive ratio for both AHXs.

408 Vertical arrows in Fig. 8 indicate the pressure amplitude for which the peak-to-peak  
 409 displacement amplitude ( $2\xi_1$ ) equals the HX length. In both curves the growth of  $\Delta T_{ms}$  with  $DR$   
 410 seems linear in the  $DR$  interval below the arrow and faster above. This result could be explained  
 411 on the basis of the BLC model proposed by Swift which just predicts a linear behavior at low  
 412 acoustic amplitudes and a squared-one at high acoustic amplitudes as stated by the formula  
 413



**Figure 9.** The UA coefficient as a function of the peak Reynolds number for both HXs.

414 
$$\Delta T_{ms} = \frac{\dot{Q}y_{eff}}{KS} = \frac{\dot{Q}R_h}{KA_g} \frac{y_{eff}}{x_{eff}} \quad (14)$$

415 where  $x_{eff} = \min\{2\xi_1, L_{HX}\}$  and  $S$  is the actual gas-solid heat transfer area (proportional to  $x_{eff}$ ). The  
 416

417 predicted behavior takes into account that  $\dot{Q} \propto P_A^2$  and that at low acoustic amplitudes (when  $2\xi_1$   
 418  $< L_{HX}$ )  $x_{eff}$  grows as  $2\xi_1$  and so proportionally to  $P_A$ . This should make  $\Delta T_{ms}$  to depend linearly on  
 419  $P_A$ . At high acoustic amplitudes (when  $2\xi_1 > L_{HX}$ )  $x_{eff}$  is constant and equal to  $L_{HX}$  and so  $\Delta T_{ms}$  is  
 420 expected to exhibit a quadratic dependence on  $P_A$ .

421 In conclusion, the analysis performed in this subsection evidences how AHX2, due to its pore  
 422 geometry and lower porosity compared to AHX1, is affected by higher irreversibility, both  
 423 viscous and thermal, which degrades the engine performance.

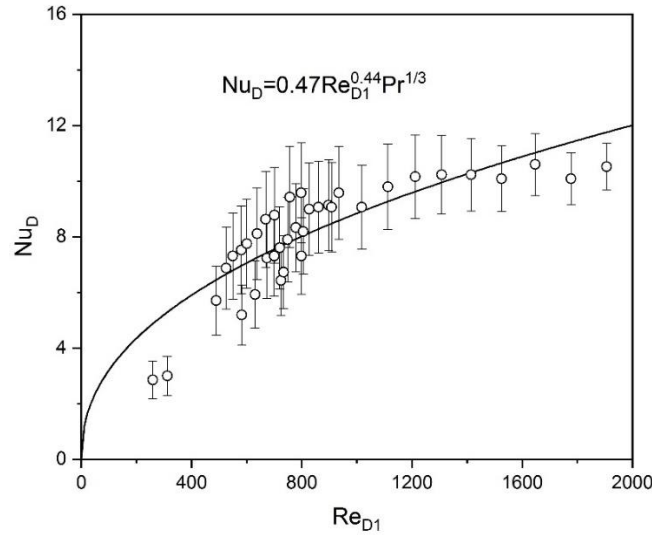
424

### 425 3.2 Heat transfer correlations

426 The gas-side heat transfer coefficient estimated as described in section 2.3 and expressed in terms  
 427 of dimensionless Nusselt number

$$428 \quad \text{Nu}_D = \frac{hD_h}{K} \quad (15)$$

429



**Figure 10.** Measured gas-side Nusselt number as a function of acoustic Reynolds number (open circles) for AHX1 and correlation law obtained from data regression (continuous line).

430 ( $D_h$  being the hydraulic diameter of the HX pores) is reported in Fig. 10 as a function of  $\text{Re}_{D1}$   
 431 (open circles). The graph shows that as  $\text{Nu}_D$  increases with  $\text{Re}_{D1}$  it reaches a quite constant value  
 432 above  $\text{Re}_{D1} \approx 1200$ , where the gas peak-to-peak gas displacement amplitude equals the fin length.  
 433 The continuous line is a least-squares fit of the experimental points by the function  $\text{Nu}_D = a \text{Re}_{D1}^b \text{Pr}^{1/3}$   
 434 with a coefficient of determination  $R^2 = 0.73$ . The resulting correlation law is

435

$$436 \quad \text{Nu}_D = 0.47 \text{Re}_{D1}^{0.44} \text{Pr}^{1/3} \quad (16)$$

437

438 In Fig. 11 a comparison with the results of other experimental researches found in literature  
 439 with regard to HXs of the same configuration (finned-type HXs) is reported.

440 Nsofor et al. [28] tested a parallel-plate HX made of copper with fin spacing of 0.95 mm and  
 441 fin thickness of 0.15 mm. The HX worked as the hot HX of a TA refrigerator filled with He at  
 442 mean pressures ranging from 3 to 8 bar and operation frequencies ranging from 300 to 450 Hz.  
 443 The heat transfer correlation derived from measurements, after conversion of the rms Re number

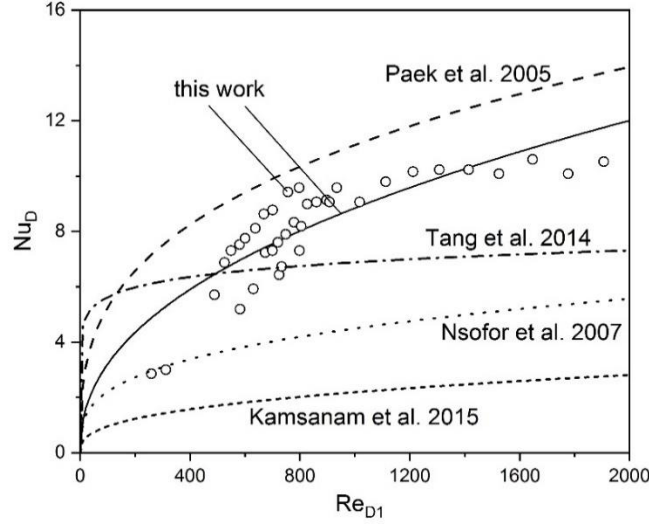
444 to peak Re number, is:

445 
$$\text{Nu}_D = 0.548 \text{Re}_{D1}^{0.31} \text{Pr}^{0.11} \quad (17)$$

446

447 This function is plotted in Fig 11 as a dotted line.

448



**Figure 11.** Comparison among the experimental data (open circles) and correlation law (continuous line) obtained in this work for the gas-side Nusselt number and correlation laws experimentally derived by other researchers for heat exchangers of the same type of AHX1.

449 Paek et al. [29] studied the heat transfer characteristics of finned-tubes HXs made of  
 450 aluminum with fins spaced 0.54 mm apart. This HX was integrated as cold HX in a TA cooler  
 451 filled with three He-Ar mixtures (44% He, 33 % He, 22 % He) at three different mean pressures  
 452 (20, 13.3 and 6.7 bar) and driven near its resonance frequency (136-155.9 Hz). The heat transfer  
 453 correlation derived from measurements, after conversion of Colburn-*j* factor to Nusselt number,  
 454 is:

455 
$$\text{Nu}_D = 1.303 \text{Re}_{D1}^{0.3271} \text{Pr}^{1/3} \quad (18)$$

456

457 This function is plotted in Fig 11 as a dashed line.

458 Tang et al. [30] built an experimental apparatus reproducing the operating conditions of pulse  
 459 tube refrigerators to investigate the heat transfer performance of a finned HX made of copper and  
 460 cooled by a flow of water through channels surrounding the HX. The fins were 0.75 mm thick, 20  
 461 mm long in the flow direction and spaced 0.75 mm apart. The working gas was He at three mean  
 462 pressures (25, 30 and 35 bar) while the operating frequency varied in the range 40-110 Hz. The  
 463 test results were summarized in terms of peak Reynold number and Valensi number (*Va*) as  
 464 follows

465 
$$\text{Nu}_D = 0.43 \text{Re}_{D1}^{0.0876} \text{Va}^{0.405} \quad (19)$$

466 where

467 
$$\text{Va} = \frac{\rho_m \omega D_h^2}{\mu} \quad (20)$$

468 This function (with  $Va=211$  for the experimental conditions of the present study) is plotted in Fig.  
 469 11 as a dash-dotted line.

470 Kamsanam et al. [31] performed measurements of heat transfer on coupled finned-tube HXs  
 471 (without stack) placed side-by-side in a resonator filled with He. Three HXs made of copper with  
 472 fin thickness of 0.3 mm, fin length of 20 mm and different fin spacing (0.7, 1.4 and 2.1 mm) were  
 473 investigated. The correlation obtained from regression of their data, after conversion of Colburn-  
 474  $j$  factor to Nusselt number, is

$$475 \quad Nu_D = 0.203 Re_{D1}^{0.3606} Pr^{1/3} \left( \frac{t}{D_h} \right)^{-1.2541} \quad (21)$$

476  
 477  $t$  being the fin thickness. This function is plotted in Fig. 11 as a short-dashed line.

478 The analysis summarized in Fig. 11 reveals that, although all reported correlations refer to  
 479 the same HX configuration (i.e. a finned-type HX), they are not univocal with very marked  
 480 deviations which can be as high as 400% as in the case of the correlations proposed by Paek et al.  
 481 and Kansanam et al. The lower deviation ( $\sim 32\%$ ) is found between the correlation derived in the  
 482 present work and the one proposed by Paek et al [29]. This finding seems to indicate that  
 483 differences in geometrical parameters (even for HX of the same configuration) and differences in  
 484 operating conditions (mean pressure, frequency, working fluid, mean temperatures) may have a  
 485 considerable effect on heat transfer in oscillatory flow. Hence, the definition of general correlation  
 486 laws applicable to different geometrical configurations and over wide ranges of operating  
 487 conditions could be an arduous task. This circumstance is well evidenced in the study of  
 488 Kamsanam et al. [32] where it is found that finned-tube HXs differing uniquely by the plate  
 489 spacing but working under the same operating conditions are characterized by quite different heat  
 490 transfer correlation laws.

491 In Fig. 12 a comparison of the obtained Nusselt number with the predictions of heat transfer  
 492 models currently applied in thermoacoustics is shown. The models considered here are the BLC,  
 493 TASFE and RMS-Re models.

494 The BLC model proposed by Swift [3] and integrated in the DeltaEC code, estimates the heat  
 495 transfer coefficient by the relation

$$496 \quad h = \frac{K}{\min\{R_h, \delta_\kappa\}} \quad (22)$$

497  
 498 which is plotted in Fig. 12, after conversion into  $Nu_D$  number, as a dotted line.

499 In the TASFE model classical steady flow heat transfer correlations of the type  $Nu=Nu(Re,$   
 500  $Pr)$  are converted to the case of oscillatory flows by taking their time average over an acoustic  
 501 cycle. In the conversion procedure a sinusoidally oscillating velocity,  $v_1 \sin(\omega t)$ , is assumed and  
 502 substituted for the velocity appearing in the Reynolds numbers of the selected steady flow  
 503 correlation. The time average over one-half cycle of the resulting time-dependent Nusselt number  
 504 is then computed:

$$505 \quad Nu_{D,TASFE} = \frac{\omega}{\pi} \int_0^{\pi/2} Nu_D(Re_{D1} \sin \omega t, Pr) dt \quad (23)$$

506  
 507 Similarly, in the RMS-Re model the Reynolds numbers appearing in the steady flow

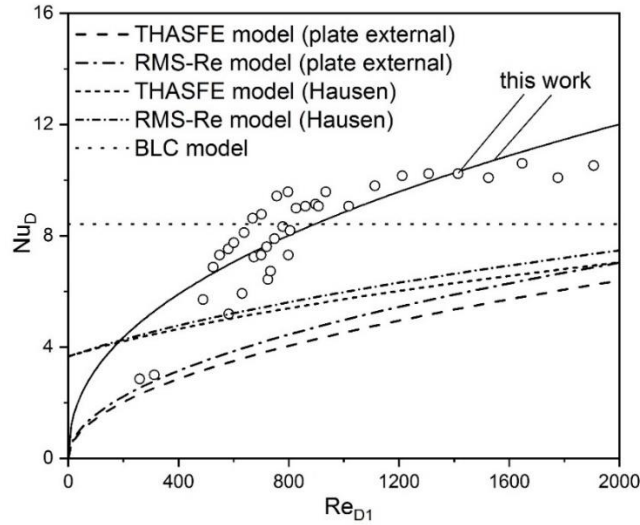
508 correlation are substituted by their rms values:

509  
510 
$$\text{Nu}_{D,RMS} = \text{Nu}_D(\text{Re}_{D1}/\sqrt{2}, \text{Pr}) \quad (24)$$

511  
512 The selection from literature of the steady flow correlations to be substituted in the above  
513 equations is based on the evidence that in the investigated acoustic Reynolds number range  
514 ( $\text{Re}_{D1} < 2000$ ) the flow in the HX pores should be laminar [18]. Therefore, the classical correlation  
515 for laminar (external) flow over on isothermal plate of length  $L$  (already considered by Poese and  
516 Garrett [33])

517 
$$\text{Nu}_L = 0.664 \text{Re}_L^{1/2} \text{Pr}^{1/3} \quad (25)$$

518



**Figure 12.** Comparison among the experimental data (open circles) and correlation law (continuous line) obtained in this work for the gas-side Nusselt number and correlation laws predicted by the BLC, THASFE and RMS-Re models (not continuous lines).

519 and the classical Hausen correlation for laminar (internal) flow in the thermal entry region ( $L_T$ ) of  
520 isothermal ducts

521 
$$\text{Nu}_D = 3.66 + \frac{0.0668(D_h / L_T) \text{Pr} \text{Re}_D}{1 + 0.04[(D_h / L_T) \text{Pr} \text{Re}_D]^{2/3}} \quad (26)$$

522 are chosen.

523 Although Eq. (25) refers to external flow it is applied here (with  $L=L_{HX}$ ) because the fins of  
524 the investigated HX are not tightly spaced ( $R_h/\delta_k \approx 4$ ). As for Eq. (26) its use could be justified by  
525 the fact that, as shown in experimental studies [34], the entrance length for oscillating flows inside  
526 stacks is of the order of the displacement amplitude, and so, of the HX length. Based on this  
527 evidence, when applying Eq. (26) the heat exchanger fin length has been identified with the  
528 thermal entry length ( $L_{HX}=L_T$ ). In Fig 12 the predictions of the TASFE and RMS-Re models are  
529 plotted as dashed and dash-dotted lines respectively, as far as the eq. (25) is concerned, and as  
530 short-dashed and short-dash dotted lines respectively, as far as eq. (26) is concerned.

531 The plot shows how the BLC model predicts a constant  $\text{Nu}$  value, independent of  $\text{Re}_{D1}$ . The  
532 model overestimates the experimental data at  $\text{Re}_{D1} < 600$  and slightly underestimates them at

533  $Re_{D1} > 600$ . The mean deviation of the predicted  $Nu_D$  values from the experimental data amounts  
534 to 25%.

535 The TASFE and RMS-Re models predict the increase of  $Nu$  with  $Re_{D1}$  but underestimate the  
536 experimental values over almost all the investigated  $Re_{D1}$  range. The mean deviation of the  $Nu_D$   
537 values predicted by the TASFE model from the experimental data amounts to 48% relative to Eq.  
538 (25) and to 39 % relative to Eq. (26). The mean deviation of the  $Nu_D$  values predicted by the RMS-  
539 Re model amounts to 43% relative to Eq. (25) and to 37 % relative to Eq. (26).

540 In summary, the results of the present study indicate that the BLC model performs better than  
541 the TASFE and RMS-Re models based on classical steady-flow correlations in predicting the heat  
542 transfer coefficients in oscillating flows. In addition, the RMS-Re modified Hausen correlation  
543 works better than the other TASFE and RMS-Re combinations investigated.

544 Although the BLC model reaches the best agreement with the experimental data of the present  
545 work the prediction of a constant  $Nu_D$  is in disagreement with the increase of  $Nu_D$  at low  $Re_{D1}$   
546 values observed in this work and, generally, in all the analogous experimental investigations  
547 referred to in this section and deserve further considerations.

548 The dependence of  $Nu$  on  $Re$  is usually explained by observing that an increase of  $Re$  is  
549 associated to an increase of the displacement amplitude and this entails a larger number of gas  
550 particles making thermal contact with the HX [28]. This should result in an increase of both the  
551 heat exchange area and the gas-solid heat transfer coefficient.

552 An additional explanation could be formulated if the longitudinal distribution of heat transfer  
553 along the HX is taken into account. The BLC model assumes for HXs that the time-averaged solid  
554 temperature, gas temperature and thermal gradient along the transverse  $y$  direction at the gas-wall  
555 interface are constant along the whole extension of the HX (independent of  $x$ ).

556 These assumption, however, doesn't agree with the results of numerical studies [7, 8, 13]  
557 which found the transverse thermal gradient, and associated heat flux density, to be dependent on  
558 the longitudinal direction  $x$ . Precisely, the heat flux density is observed to peak at the side of the  
559 HX facing the resonator and to change its sign near the HX-stack junction giving rise to local  
560 reverse fluxes. These reverse fluxes decrease the net heat transfer between the gas and the HXs,  
561 thus worsening the HX performance. These detriment fluxes are found to be active when  $2\xi_1 <$   
562  $L_{HX}$ . A way to suppress them is to increase the drive ratio (and associated acoustic oscillation  
563 amplitude) or, for a fixed drive ratio, to reduce  $L_{HX}$  till the condition  $2\xi_1 > L_{HX}$  is met. Thus, the  
564 growth of  $Nu_D$  with  $Re_{D1}$  observed in Fig. 10 for  $2\xi_1 < L_{HX}$  could simply reflect the progressive  
565 reduction of the reverse heat fluxes and the contextual improvement of the HX performance in  
566 transferring heat, which leads to higher  $h$  (and  $Nu_D$ ) values.

567

568

## 569 **5. Conclusions**

570

571 In this paper an experimental investigation on the thermal performance of two TA heat exchangers  
572 characterized by a different geometry is performed. With this purpose, a test-rig, consisting of a  
573 standing wave engine was built and the HX heat transfer rates were measured over a wide range  
574 of velocity amplitudes of the oscillatory flow. The following conclusions can be drawn from the  
575 results:

576

577 – The HX with circular-pore geometry reduces the performance of the engine compared to the  
578 finned-tube HX by about 23%. Due to its circular pore geometry, lower porosity and lower global  
579 heat transfer  $UA$  coefficient, in fact, this heat exchanger works under higher gas-solid temperature  
580 differences, which enhances thermal irreversibility associated with heat transfer, and higher  
581 acoustic velocity amplitudes, which increases viscous losses and minor losses at the HX-stack and  
582 HX-resonator junctions. These sources of irreversibility can seriously degrade the global  
583 performance of TA engines.

584  
585 – The comparison of the experimentally derived dimensionless heat transfer coefficient (Nu  
586 number) with analogous experimental works shows that correlation laws derived for different  
587 geometrical configurations and operating conditions are not univocal but very marked deviations  
588 from each other are typical. This makes the definition of general correlation laws applicable over  
589 wide configuration/operation ranges more difficult and indicates that these phenomenologically  
590 derived laws may be specific of the investigated case.

591  
592 – The comparison of the experimentally derived dimensionless heat transfer coefficient (Nu  
593 number) with model predictions shows that the TASFE and/or RMS-Re models may introduce  
594 significant errors (about 40%) in the estimation of the heat transfer coefficient. The BLC model  
595 exhibits the best agreement with the experimental data, with a mean deviation of 25 %. The BLC  
596 model also accounts qualitatively for the observed dependence of the gas-solid temperature on  
597 drive ratio.

598  
599 More studies (both theoretical and experimental) are needed to investigate the influence of  
600 potential reverse heat fluxes at the HX-stack junction on the growth of the heat transfer coefficient  
601 at low acoustic Reynolds number generally observed in all experimental studies.

602  
603

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608

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610

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