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Clinical applicability of HMGB1 as biomarker and therapeutic target in Respiratory Syncytial Virus infection

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Introduction

Respiratory syncytial virus (RSV), an enveloped, non-segmented, negative-sense RNA virus belonging to the Paramyxoviridae family, is the most common respiratory pathogen in infants and young children worldwide [1]. Studies have suggested a strong association between RSV and lower respiratory tract infection during infancy, and subsequent development of recurrent airway lability in childhood [2, 3]. Nevertheless, a causal link between RSV infection and chronic airway dysfunction remains a matter of debate. Thus, the identification and validation of novel biomarkers, that would allow to predict and monitor the severity and clinical course of RSV infection, could pave the way for research efforts aimed at establishing a causative relationship between early-life RSV infection and childhood airway dysfunction.

On this regard, host-derived "danger-associated molecular patterns" (DAMPs) contribute to innate immune responses and serve as markers of disease progression and severity for inflammatory and infectious diseases. There is accumulating evidence that generation of DAMPs such as oxidized phospholipids and high-mobility-group box 1 (HMGB1) during RSV virus infection leads to acute lung injury [4]. However, changes in systemic HMGB1 kinetics during the course of RSV infection, both *in vitro* and *in vivo* studies, have yet to establish an association of HMGB1 release with RSV infection. To this end, we used HMGB1 gene and protein expression in infected human bronchial epithelial cells (HBEC) *in vitro* and in the lungs of rat pups RSV-infected in the neonatal period. Furthermore, we selectively inhibited HMGB1 activity in RSV-infected cells using glycyrrhizin, a natural HMGB1 antagonist, and studied its effects on viral replication.

In an experimental model, RSV was able to spread across the placenta from the respiratory tract of the mother to the rat pups, and it was also detected postnatally in the lungs throughout development and into adulthood [3]. Vertical RSV infection was associated with dysregulation of critical neurotrophic pathways during fetal development, leading to aberrant innervation and increased airway reactivity after postnatal reinfection with RSV [3].

Supporting the idea that HMGB1 could probably be involved in the development of vertically transmitted RSV infection, the HMGB1 behaviour was investigated in pregnant rats inoculated intratracheally at midterm using recombinant RSV expressing red fluorescent protein (RFP).

In light of these results, the HMGB1's role has been evaluated in serum cord blood in a population of neonates, assessing the potential utility of this alarmin also in humans.

Following the description of the first neonatal case of human RSV infection consistent with vertical transmission from a previously infected mother to her unborn son, we have determined the serologic evidence of anti-RSV immunity in fetal cord blood of offspring with a maternal history of respiratory illness occurring during the third trimester of pregnancy, and also characterized the postnatal clinical outcomes associated with RSV seropositivity. Finally, the RSV-HMGB1 relationship in vertically-infected neonates was also investigated.

The last part of this PhD thesis attempts to summarize the clinical manifestations of this infection in order to provide the reader with the background information necessary to fully appreciate the many challenges presented by the clinical management of young children with bronchiolitis. Also, it has been provided an evidence-based review of the pharmacologic strategies currently available and those being evaluated, intentionally omitting highly experimental approaches not yet tested in clinical trials and, therefore, not likely to become available in the foreseeable future.

Aims

As specific aims, we intended to estimate:

- 1. To investigate the role of HMGB1 for the establishment of productive RSV infection. To this end, we studied its gene and protein expression in human infected bronchial epithelial cells infected *in vitro* and in the lungs of rat pups RSV-infected in the neonatal period. Furthermore, we selectively inhibited HMGB1 activity in RSV-infected cells using glycyrrhizin and studied its effects on viral replication (Paper 1).
- 2. We described a case of RSV infection documented at birth in the peripheral blood of a newborn with onset of severe respiratory distress immediately after delivery from a mother with serological and clinical evidence of RSV infection during pregnancy (Paper 2).
- 3. In order to further investigate the HMGB1's role, this study evaluated serum cord blood HMGB1 levels in a population of neonates, to investigate the potential utility of alarmin as a novel marker, and its connection with mode of delivery (Paper 3).
- 4. To determine serologic evidence of anti-RSV immunity in fetal cord blood of offspring with a maternal history of respiratory illness occurring during the third trimester of pregnancy, and also characterized the postnatal clinical outcomes associated with RSV seropositivity (Paper 4).
- 5. To systematically appraise current guidelines on the management of bronchiolitis, considering the differences and similarities between them and offering recommendations for further research (Paper 5).

Abbreviations

BPD: bronchopulmonary dysplasia

CMM: cubic millimeters

CMV: Cytomegalovirus

CPG: clinical practice guidelines

CRP: C-reactive protein

CS: caesarean section

DAMP: Damage-Associated Molecular Pattern

EBV: Epstein-Barr Virus

ELISA: Enzyme-linked immunosorbent assay

F-344: Fischer 344

FR: Free Radicals

G: grams

GA: glycyrrhetic acid

GBS: Group B Streptococcus

GDPR: General Data Protection Regulation

HBEC: human bronchial epithelial cells

HBsAg: Hepatitis B Surface Antigen

HCV: Hepatitis C Virus

HHV6: Human Herpes Virus 6

HIPAA: Health Insurance Portability and Accountability Act

HIV: Human Immunodeficiency Virus

HMGB1: High Mobility Group Box Type 1

HSV: Herpes Simplex Virus

Ig: immunoglobulin

IIAM: International Institute for the Advancement of Medicine

IL: interleukin

IUGR: intrauterine growth restriction

mg/dl: milligram/deciliter

Min: minutes

N: nucleocapsid

nCPAP: nasal continuous positive airway pressure

NGF: nerve growth factor

NHBE: normal human bronchial epithelial

NICU: Neonatal Intensive Care Unit

NOD: nucleotide-binding oligomerization domain

NLRs: NOD- like receptors

OS: oxidative stress

PRRs: pattern recognition receptors

PVDF: polyvinylidene difluoride

q-PCR: quantitative Real-Time Polymerase Chain Reaction

RAGE: Receptor For Advanced Glycation End-Products

RCT: randomised controlled trials

RDS: respiratory distress syndrome

RFP: red fluorescent protein

RIG-I: retinoic acid-inducible gene

RLRs: RIG-I- like receptors

RSV: Respiratory syncytial virus

TTN: transient tachypnea of newborn

SD: standard deviation

SVD: spontaneous vaginal delivery

Th: T-lymphocyte helper

TLRs: toll like receptors

VZV: Varicella-Zoster Virus

WBC: white blood cell

Papers

Paper 1

Induction of High Mobility Group Box-1 in vitro and in vivo by Respiratory Syncytial Virus

(Published data: Pediatr Res. 2018 May;83[5]:1049-1056)

Introduction

therapeutic strategies.

Respiratory syncytial virus (RSV), an enveloped, non-segmented, negative-sense RNA virus of the Paramyxoviridae family, is the most common respiratory pathogen in infants and young children worldwide [1]. Prospective epidemiologic studies have suggested a strong association between RSV lower respiratory tract infection during infancy and subsequent development of recurrent wheezing and asthma in childhood [2]. Recent research in animal models has shown vertical transmission of RSV from the mother's respiratory tract to the fetal lungs, with postnatal persistence of the virus linked to persistent airway hyperreactivity [3]. Despite many years of research, we still lack reliable biomarkers of disease activity as well as effective vaccines and

Recently, the high mobility group box type 1 (HMGB1) protein has been proposed as a biomarker potentially able to elucidate the link between RSV and chronic airway dysfunction [4, 5]. HMGB1 is an inflammation marker of the alarmins family promoting immediate immune response to tissue damage [6], and is one of the most important damage-associated molecular pattern (DAMP) molecules, initiating and perpetuating immune responses in infectious and non-infectious inflammatory diseases [7]. Its role is to act as a 'danger signal' orchestrating homeostatic defensive responses in damaged tissues [6].

Major structural features of HMGB1, a 30 kDa nuclear and cytosolic ubiquitous protein, are its two DNA-binding domains, termed A and B box, and a negatively charged C-terminal acidic region. HMGB1 contains two nuclear localization sequences, resides in the nucleus, and functions as a non-histone chromatin-binding protein [8]. Early work demonstrated that HMGB1 stabilizes

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chromatin structure and modulates gene transcription by bending the DNA helical structure [9]. However, HMGB1 can also be localized to the cytosolic compartment, implicating that it might also have important functions outside the nucleus [7].

As a consequence of infection or apoptosis HMGB1 is released in the extracellular compartment either by passive release from necrotic cells or active production by macrophages, dendritic cells, and natural killer cells [10]. By binding to toll like receptors (TLR) 2 and 4, and the receptor for advanced glycation end-products (RAGE) [11], HMGB1 upregulates the synthesis of inflammatory cytokines, elicits chemotaxis of inflammatory cells and supports proliferation, chemotaxis, and synthesis of metalloproteinases by stromal fibroblasts [12], thereby contributing to the pathogenesis of both acute and chronic diseases [13].

Although it has been reported that HMGB1 is critically involved in multiple stages of several DNA (herpes simplex virus type 2) and RNA (West Nile virus, Dengue) viral infections, limited data is available on its role during RSV infection [4, 5]. Hou et al. reported increased HMGB1 levels in the lung tissue of RSV-infected mice [5]. Also, HMGB1 in infants with RSV bronchiolitis tends to reach higher concentrations compared to other viral infections [14]. Thus, we hypothesized that HMGB1 is essential for the establishment of productive RSV infection, and to this end, we studied its gene and protein expression in human bronchial epithelial cells infected *in vitro* and in the lungs of rat pups infected in the neonatal period. Furthermore, we selectively inhibited HMGB1 activity in RSV-infected cells using glycyrrhizin and studied its effect on viral replication.

Materials and Methods

Airway epithelial cell culture

16HBE14o-, SV-40 virus-transformed immortalized human bronchial epithelial (called thereafter 16HBE) cells were seeded on collagen coated Transwell inserts (Costar, Corning, NY, USA) or 12-well cell culture plates, and cultured in D-MEM high glucose containing 10% heat-inactivated FCS,

penicillin (100 U/ml), streptomycin (100 μ g/ml), and HEPES (0.015 mol/l) at 37°C in humidified 5% CO₂ atmosphere [15, 16]. Primary normal human bronchial epithelial (NHBE) cells isolated from lungs of de-identified deceased donors, provided by the International Institute for the Advancement of Medicine (IIAM) were grown in defined media and utilized between passages 3-6 [15].

Viral infection of epithelial cell cultures

Recombinant RSV-A₂ expressing the red fluorescent protein (RFP) gene (rrRSV) was kindly provided by Dr. Mark Peeples (Nationwide Children's Hospital, Columbus, OH) and Dr. Peter Collins (National Institutes of Health, Bethesda, MD) [17, 18]. Expression of viable RFP requires successful full-length RSV replication and the rrRSV strain construct (BN1) used in these experiments is described elsewhere [19]. Stock rrRSV was propagated using HEp-2 cells (ATCC CCL-23; American Type Culture Collection, Manassas, VA) in 1X DMEM with 10% fetal bovine serum. HEp-2 cells at 70% confluence were inoculated, harvested and titrated as described previously [3]. To obtain virus-free inoculum, HEp-2 cells were identically cultured and harvested. Also, cells were treated with glycyrrhizin, ammonium salt 5g, EMB Millipore Corp., Billerica, MA, USA. Glycyrrhizin 50/100μM was applied to 16 hbec and human primary cells, both simultaneously and successively virus.

Animals

Ten-week old, pathogen-free Fischer 344 (F-344) rats housed under barrier conditions in a BSL-2 facility were used. Rats were housed in polycarbonate isolation cages on racks providing positive individual ventilation with class-100 air at the rate of one cage change per minute (Lab Products, Seaford, DE). All manipulations were conducted inside class-100 laminar flow hoods. Ten-day old pups were inoculated with 4.0 × 10⁵ PFU of rrRSV or an equal volume of sterile inoculum by intratracheal instillation, as previously described [3]. Rats were sacrificed 5 days after infection and the lungs were removed for analysis. All experimental protocols and procedures utilized in this study were reviewed and approved prior to implementation by the Cleveland Clinic Institutional

Animal Care and Use Committee, and adhered to the NIH Guide for the Care and Use of Laboratory Animals.

Extraction of RNA and quantitative Real-Time Polymerase Chain Reaction Analysis

Total RNA was isolated from epithelial cells using RNeasy Kit (Qiagen) according to manufacturer's instructions. RNA was reverse transcribed into cDNA using the High Capacity cDNA Reverse Transcription Kit (Applied Biosystems, Foster City, CA) and cDNA was used to carry out qPCR reaction using the CFX connect Real Time PCR System (Bio-Rad, Hercules, CA). All experiments were carried out in quadruplicate. Relative expression levels of mRNA were calculated with the 2- $\Delta\Delta$ Ct method and were normalized to actin. The primers pairs for the viral gene were designed on the basis of previously published protocols to discriminate cDNA-generated PCR products from genomic DNA contamination [20]. Random primers were used for the RT reaction. **RSV** primers ſF: 5'-GCGATGTCTAGGTTAGGA-3'; and R: 5'-GCTATGTCCTTGGGTAGT-3] target the sequence 1303–1712 bp of the RSV serotype A2 genome (GenBank accession number M11486), which encodes for the viral nucleocapsid (N) protein. Because the GenBank sequence is missing 34 nucleotides in the 3' leader region, the position of the target sequence on the complete RSV-A2 genome is 1347–1756. The N protein is a structural protein located inside the virion tightly bound to the RNA strand, and is typically targeted to detect the presence of whole virus in infected cells. Primers for human HMGB1 (forward: 5'-TCGGCCTTCTTCT-3'; reverse 5'-CCACATCTCTCC CAGTTTCTTC-3'); and rat 5'AGTTTCCTGAGCAATCCGTAT-3'; 5'-HMGB1 (forward: reverse: TGTATCCCCAAAAGCGTGAG-3') were designed and synthesized by Integrated DNA Technologies (Coralville, IA).

Protein electrophoresis and immunoblotting

Proteins separated on SDS-PAGE gel (Bio-Rad), transferred onto polyvinylidene difluoride (PVDF) membranes, and blocked in Tris-buffered saline containing 0.1% Tween-20 (TTBS) with 5% nonfat dry milk. Membranes were incubated overnight at 4°C with anti-HMGB-1 (Santa Cruz

Biotechnologies, Dallas, TX), followed by anti-rabbit secondary antibody conjugated with horseradish peroxidase (1:2000). Blots were developed with an enhanced chemiluminescence reagent kit (Pierce West Pico; Thermo Scientific, Waltham, MA) according to the manufacturer's instructions.

Immunofluorescence staining

Epithelial cells were fixed in 4% PFA, permeabilized, and probed with anti-HMGB1 antibody, followed by staining with a secondary antibody conjugated with Alexa-488. Nuclei were stained with DAPI. Images were acquired using upright fluorescent or confocal microscope [Leica Microsystems, Wetzlar, Germany] with a 405-diode laser to excite DAPI and a HeNe laser to excite the secondary antibody. Cells were visualized using a 40x or 63x/1.4 oil objective. Densitometry and plot profiles were acquired using the NIH ImageJ software [Bethesda, MD].

Enzyme-linked immunosorbent assay (ELISA)

Supernatant, cell lysates, and rat lung concentrations of HMGB1 were measured with a commercially available ELISA kit (LifeSpan BioSciences, Seattle, WA) according to the manufacturer's instructions. Detection limit for HMGB1 was 0.16-10 ng/ml.

Statistical analysis

All data are expressed as mean ± SEM. Comparisons between 2 groups were performed with unpaired Student's *t*-test. Multiple comparisons were performed by ANOVA followed by post-hoc analysis with the Dunnett's test using the software GraphPad Prism version 5.0 (La Jolla, CA). The densitometry analysis was performed using ImageJ and statistical analysis was performed using Graphpad Prism Version 5 using One Way ANOVA analysis Tukey's Multiple Comparison Test. *P* values less than 0.05 were considered statistically significant.

Results

In vitro: 16HBE cells infected with rrRSV at MOI of 1 showed significant upregulation of HMGB1 gene expression at 3 h post infection (p<0.001), with return to baseline by 6 h (**Figure**

1A). Accordingly, western blot analysis confirmed increased HMGB1 protein in rrRSV-infected 16HBE, peaking at 6 h (p<0.001) and waning by 24 h (Figure 1 B-C). Immunofluorescence of 16HBE cells infected with RSV at MOI of 1 showed localization of HMGB1 into the nuclei with a peak at 6 h (Figure 2°). Densitometry analysis of HMGB1 expression revealed increased overall expression at 6 h before a decline at 24 h (Figure 2B) 16HBE have been frequently used as a model system of the airways for different cell signaling studies. To compare the results obtained with 16HBE, we also used differentiated primary NHBE. Similar to immortalized cells, immunofluorescence staining and confocal microscopy of primary NHBE infected with RSV at MOI of 1 resulted in localization of HMGB1 expression into the nuclei at 3 h and 6 h, and subsequently to the plasma membranes at 24 h (Figure 2C). In particular, densitometry analysis of HMGB1 translocation showed the percentage overlap between nuclear and total HMGB1 translocation at 3, 6, 12, and 24h post-infection (Figures 2D, 2E).

In vivo: qPCR analysis of HMGB1 gene expression in rat lung homogenates measured a doubling of mRNA transcripts 5 d post inoculation of rrRSV, compared with age-matched mock infected controls dosed with virus-free medium (p<0.01; **Figure 3A**). ELISA showed significantly higher HMGB1 protein concentrations in the lungs of rrRSV-infected pups (p<0.01; **Figure 3B**). Western blot analysis confirmed that while HMGB1 protein was weakly detected in pathogen-free lungs, its concentration was 3-fold higher in rrRSV-infected lungs (p<0.001; **Figure 3C-D**).

In vitro: to determine whether HMGB1 plays a causal role during rrRSV infection, we used the specific inhibitor glycyrrhizin to block HMGB1 activity in 16HBE cells and NHBE cells infected simultaneously with rrRSV. Glycyrrhizin decreased the number of RFP positive cells in both 16HBE cells (**Figure 4 A-B**) and NHB cells (**Figure 5A-B**) in a dose-dependent fashion. This profound effect of HMGB1 on rrRSV-infected cells was confirmed in NHBE cells by qPCR (p<0.001; **Figure 5C**). Similarly, western blotting confirmed that glycyrrhizin prevents rrRSV-induced HMGB1 protein upregulation (**Figure 5D**).

Figure and figure legends

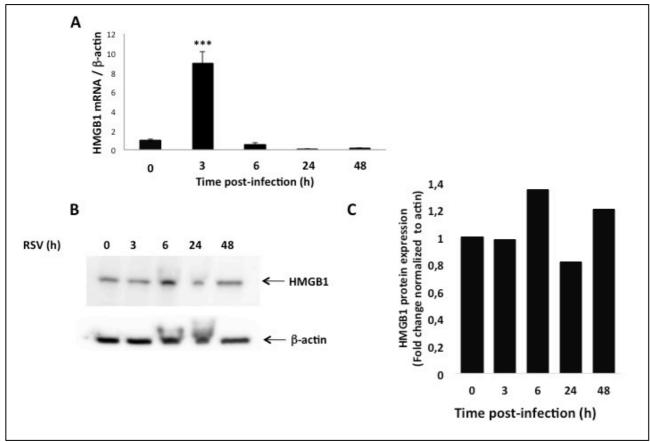


Figure 1. HMGB1 expression in rrRSV-infected 16HBE cells. HMGB1 mRNA transcripts and protein expression were measured by qPCR and Western Blot analysis in human bronchial epithelial cells infected with rrRSV at MOI of 1 for 48 h. [A] HMGB1 gene expression was upregulated at 3 h post-infection, followed by reduced expression at 6, 24 and 48 h. β-actin gene was used as the housekeeping control for transcript normalization. Data are expressed as mean \pm SEM. ***P<0.001 compared with non-infected cells. [B] HMGB1 protein expression was upregulated at 6 h post infection, before returning to baseline at 24 and 48 hours post infection. [C] Densitometric analysis. Data are expressed as mean \pm SEM. ***P<0.001 compared with time point 0 h.

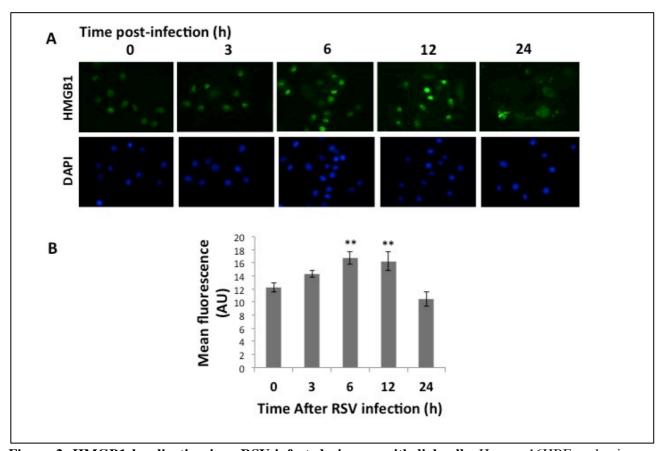


Figure 2. HMGB1 localization in rrRSV-infected airway epithelial cells. Human 16HBE and primary bronchial epithelial cells were infected with rrRSV at an MOI of 1 or with sterile medium at the indicated time points. [A] HMGB1 in 16HBE cells was visualized in cells 24 h post-infection by immunofluorescence showed localization of HMGB1 into the nuclei with a peak at 6 h [B] as evidenced by densitometry, **P<0.01 compared to time zero.

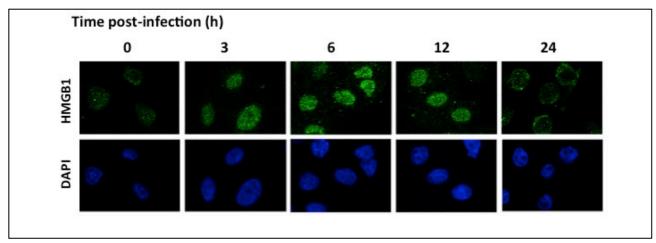


Figure 2. [C] Primary HBE cells by confocal microscopy showed localization of HMGB1 expression into the nuclei at 3 h and 6 h, in the cytoplasm starting at 12 h, and on plasma membranes at 24 h.

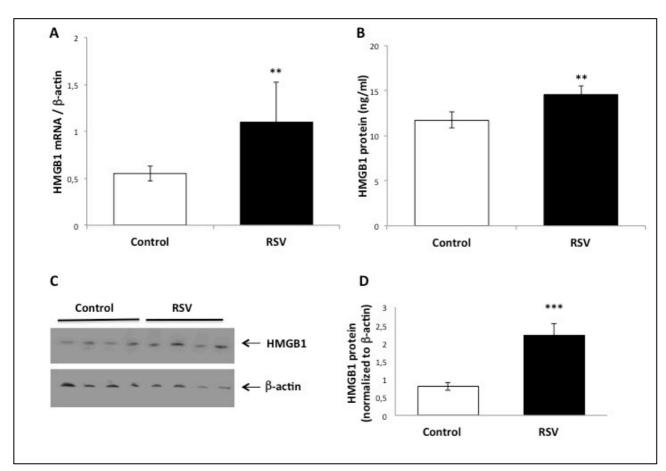


Figure 3. HMGB1 expression in rat lungs. [A] qPCR and **[B]** ELISA analysis were performed to determine respectively mRNA and protein expression of HMGB1 in lung tissues of rat pups infected with rrRSV at 10 d of life and killed 5 d later. Both HMGB1 mRNA and protein increased significantly in the lungs of rrRSV-infected rats compared to pathogen-free controls. **[C]** Increased HMGB1 protein expression in rrRSV-infected rat lungs was confirmed by Western blot. **[D]** Densitometry analysis. Data are expressed as mean \pm SEM. **P<0.01 compared to non-infected cells.

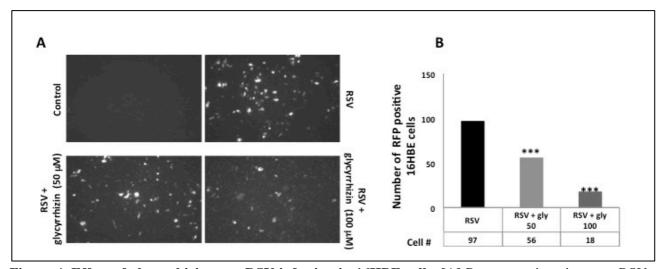


Figure 4. Effect of glycyrrhizin on rrRSV infection in 16HBE cells. [A] Representative pictures rrRSV-infected 16HBE and non-infected controls treated with the HMGB1 antagonist glycyrrhizin [50 or 100 μ M] or vehicle. The density of cells exhibiting red fluorescence produced by active viral replication was

significantly reduced by glycyrrhizin in a dose-dependent fashion. [B] Bar graph showing the number of rrRSV-positive cell in each group. Data are expressed as mean \pm SEM. ***P<0.001 compared to RSV group.

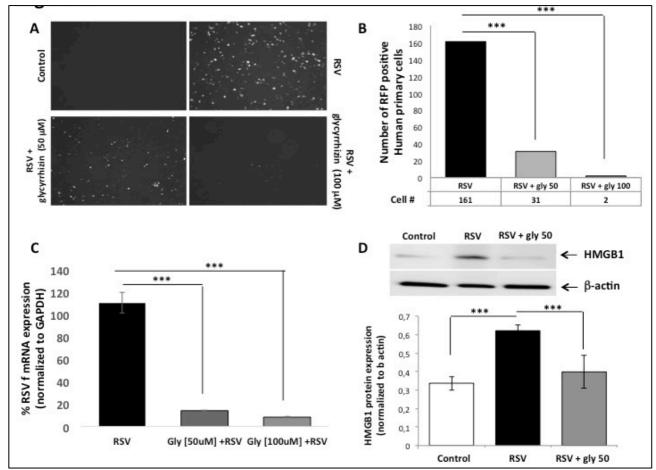


Figure 5. Effect of glycyrrhizin on rrRSV infection in human primary cells. [A] Fluorescent microscopy of human primary cells treated with the HMGB1 antagonist glycyrrhizin [50 μ M or 100 μ M]. [B] The number of cells exhibiting the red fluorescence produced by active viral replication was significantly reduced by glycyrrhizin in a dose-dependent fashion. [C] Consistently, qPCR measured a significant reduction in RSV f RNA levels in response to treatment with glycyrrhizin. [D] Western blot analysis with corresponding densitometry demonstrated downregulation of HMGB1 protein expression upon glycyrrhizin treatment. Data are expressed as mean \pm SEM. ***P<0.001 compared to RSV group.

Discussion

This study shows that HMGB1 expression increases both in vitro and in vivo as a function of RSV infection and its localization reflects different phases of the replicative cycle, which may allow the use of local or systemic levels as a biomarker of disease activity. More importantly, this is the first study indicating that HMGB1 synthesis is an essential step of RSV replication. Consequently,

selective inhibition of this protein in infected human bronchial epithelium drastically reduces the number of RSV-infected cells, thereby providing a novel therapeutic target for this common infection. Through the activity of the G and F glycoproteins, RSV attaches to and enters host cells, and 4-6 h after infection, begins transcription and replication of viral genome, reaching a peak approximately 20 h after infection. Synthesis and release of viral cytoplasmic particles containing RSV RNA and proteins start 12 h after viral entry and persist up to 48 h after infection.

In airway epithelial and immune cells, RSV is detected by 3 types of pattern recognition receptors (PRRs), including retinoic acid-inducible gene (RIG)-I-like receptors (RLRs), nucleotide-binding oligomerization domain (NOD)-like receptors (NLRs), and TLRs, all promoting and sustaining non-specific and/or specific immune response against RSV [21]. TLRs induce immediate release of proinflammatory cytokines and inflammasome components that, in turn, amplify the immune response via late synthesis of alarmins, such as HMGB1 [22]. The different timing of conventional cytokines and HMGB1 expression explains how the former mediate acute inflammation whereas the latter is not only involved in the early inflammatory phase but also maintains chronic inflammatory response [23]. In particular, a previous study reported that HMGB1 expression in vivo starts within 3-8 h after inflammatory and/or infectious stimuli, and then increases progressively from 16 to 32 h [24]. In accordance with these findings, we noted in vitro that: 1) HMGB1 mRNA levels sharply increased at 3 h, during viral entry into living cells; 2) nuclear HMGB1 localization peaked approximately at 6 h, in parallel with viral transcription and replication; and 3) 24 h after infection, during the release of viral cytoplasmic particles, HMGB1 migrated from the nucleus to the cytosol and plasma membranes.

Depending on its localization, HMGB1 exerts different time-dependent effects during viral infections. When expressed in the nucleus, HMGB1 acts as a nuclear enhancer for transcription factors and viral rolling circle replication as seen during adenovirus [25], and parvovirus [26] infections. When in the cytoplasm, HMGB1 is detrimental to the host response, reducing resistance to infections like influenza A [27], and H1N1 [28]. Moving toward the plasma membrane, HMGB1

binds RAGE, a receptor also expressed in epithelial cell cultures [29] and able to exacerbate RSV disease by amplifying the expression of proinflammatory agents. RAGE deficiency has been associated with viral-induced asthma phenotype in a mouse model [30]. Our data also confirmed nuclear HMGB1 localization during the early phase of infection, which has been shown to be a critical initial event for efficient viral cycle [4].

Supporting a pathogenic role of HMGB1 in RSV infection, we found increased HMGB1 expression in lung tissues of rat pups infected with RSV. It has been previously argued that an exogenous or endogenous immunogenic stimulus will activate the innate immune system only if able to induce release of alarmins, like HMGB1 [31]. By binding to its cognate receptors, HMGB1 modifies cell functions not only with direct autocrine/paracrine activity [32], but also through indirect potentiation of other inflammatory pathways, e.g., activation of multiple pattern-recognition receptors like TLR2 and TLR4 [32]; release of proinflammatory cytokines like IL-1, IL-6, and IL-8 [33]; and interference with T cell responses inducing TH1 polarization [34]. Furthermore, Lei et al. [35] reported that increased HMGB1 levels are associated with overexpression of NGF, the prototypical neurotrophic factor known to be responsible for the development of neurogenic inflammation and airway hyperreactivity during and after early-life infection by RSV [36]. Therefore, HMGB1 might play a pivotal role in initiating and amplifying the neurogenic inflammatory cascade. We speculate that HMGB1 promotes neurogenic inflammation in the early stage of the infection and, successively, contributes to the pathogenesis of post-infection airway hyperreactivity by modulating epithelial mesenchymal transition, and airway remodeling [37]. Critical evidence supporting the involvement of HMGB1 in the pathophysiology of RSV infection derives from the novel observation that selective inhibition of this molecule exerts a potent antiviral effect in human bronchial cells. Glycyrrhizin, a glycoside alkaloid extracted from Glycyrrhiza glabra roots, is made of the biologically active principle glycyrrhetic acid (GA) and two inactive components of glucuronic acid [38]. By binding to the hydrophobic residues of HMGB1 box A and B, glycyrrhizin inhibits the chemotactic and mitogenic function of HMGB1 and prevents HMGB1DNA binding thereby exerting anti-inflammatory and immuno-regulatory effects in several non-infectious [39] and infectious [40] diseases. In particular, glycyrrhizin inhibits viral gene expression and replication, proinflammatory cells recruitment, and T lymphocyte responses [39].

In conclusion, our findings suggest that HMGB1 plays a critical role in the initiation and maintenance of RSV replication in human bronchial epithelia and it is a promising target for monitoring and managing the infection in infants and children with bronchiolitis and pneumonia. The potential therapeutic effects of glycyrrhizin merit further exploration, especially in light of its safety profile, which lacks cytotoxicity even at high concentrations according to both experimental and clinical studies [41].

Paper 2

Detection of respiratory syncytial virus (RSV) at birth in a newborn with respiratory distress

(Published data: Pediatr Pulmonol. 2017 Oct;52(10):E81-E84)

Introduction

Respiratory syncytial virus (RSV), an enveloped, non-segmented, negative-sense RNA virus

belonging to the Paramyxoviridae family, is the most common respiratory pathogen in infants and

young children [1], resulting in approximately 24 hospitalizations per 1000 infants and an estimated

66.000-199.000 annual deaths worldwide in children younger than 5 years, with 99% of these

deaths occurring in developing countries [42]. After entering the host through the nasopharyngeal

or conjunctival mucosa, RSV spreads to the lower respiratory tract where it can cause acute disease

characterized by edema and necrosis of the respiratory mucosa leading to airflow obstruction [43].

The incubation period ranges from 2 to 8 days but usually is 4 to 6 days [1]. In addition to its well-

documented tropism for the human airway epithelium, RSV is able to spread hematogenously from

the primary site of infection to remote extra-pulmonary tissues [44, 45], particularly the bone

marrow stromal cells that may provide the virus with an immunologically privileged sanctuary, and

allow its persistence in latent state [46]. Expanding on these findings, RSV has been shown recently

to spread across the rodent placenta from the respiratory tract of an infected dam to the lungs of the

fetus [3, 47, 48]. However, so far vertical transmission of this virus has been demonstrated in

animal models, but never in humans. Herein, we describe a case of RSV infection documented at

birth in the peripheral blood of a human newborn with onset of severe respiratory distress

immediately after delivery from a mother with serological and clinical evidence of RSV infection

during pregnancy

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Case report

Patient was a baby boy born in September 2016 at 35 weeks gestational age via emergency caesarean section performed for reduced fetal movements. Maternal serologic screening for TORCH infections (Toxoplasmosis, Syphilis, Varicella-Zoster Virus (VZV), Parvovirus B19, Rubella, Cytomegalovirus (CMV), and Herpes Simplex Virus (HSV), Hepatitis C Virus (HCV), Hepatitis B Surface Antigen (HBsAg), and Human Immunodeficiency Virus (HIV), as well as screening test for Group B Streptococcus were all negative. The newborn was second born of nonconsanguineous parents and family history was unremarkable. Birth weight was 3550 grams (g) (>95%ile) and Apgar score was 8 at both 1- and 5-min time points. Clinical examination was normal for gestational age, but postnatal cardiorespiratory adaptation appeared suboptimal because of nasal flaring and severe chest retractions. Therefore, positive pressure ventilation was started with FiO2 of 0.21. Physical examination revealed a newborn with grunting respiration, rales in both lungs, tachycardia (185/min), tachypnea (60/min), and oxygen saturation of 92% on room air (Silverman-Andersen score = 4). Umbilical arterial blood analysis revealed a pH of 7.18, partial O2 pressure of 32mm Hg, partial CO₂ pressure of 68mm Hg, and a base deficit of -11.8 mmol/L. Chest radiography at birth showed diffuse fine and granular opacities, and mild perihilar linear opacities bilaterally suggestive of respiratory distress syndrome. Broad-spectrum antibiotic coverage and minimal enteral feeding via gavage were started, and the patient was transferred to the Neonatal Intensive Care Unit for non-invasive ventilation via nasal continuous positive airway pressure (nCPAP) at 5 cm H₂O. In light of the clinical and laboratory findings, an umbilical venous catheter was placed. Complete blood counts and serum chemistry tests yielded normal values, and all blood and urine cultures were negative. After 1 week of nCPAP, the patient still required ventilatory support. Therefore, new chest radiography was obtained at 10 days of life, which revealed the presence of an area of consolidation in the parahilar region of the right lung. At the same time, cultural and serological microbiological tests were undertaken, which detected weakly positive anti-RSV IgM (1/20) and markedly positive anti-RSV IgA (1/60) and anti-RSV IgG (1/160) titers.

Furthermore, RSV RNA was amplified from the newborn's peripheral blood obtained on the first day of life using standard operating procedures to safeguard the sterility of the sample and analyzed with a high-sensitivity quantitative real-time RT-PCR assay (Argene® Respiratory Multi Well System; BioMérieux, Marcy-l'Etoile, France) according to the manufacturer's instructions. The analytical sensitivity threshold of this test is 250 copies/mL, and thus results are interpreted as positive if the RSV copy number detected in the sample is above threshold. This test also ruled out the presence of more than 40 other common viral and bacterial respiratory pathogens, including: Influenza (A, B), Human Coronavirus (229E, NL63, HKU1, OC43), Human Metapneumovirus (A, B), Parainfluenza virus (1, 2, 3, 4), Rhinovirus (A, B, C), Enterovirus (A, B, C, D), Adenovirus (A, B, C, D, E, F, G), Bocavirus (1, 2, 3, 4), Chlamydophila pneumoniae, Mycoplasma pneumoniae, Bordetella pertussis, Bordetella parapertussis, Legionella pneumophila, HSV, VZV, CMV, Epstein-Barr Virus (EBV), and Human Herpes Virus 6 (HHV6).

In light of clinical and microbiological findings in the newborn, maternal serologic tests were also performed and showed elevated anti-RSV IgM (1/40), IgA (1/20), and IgG (1/60) titers. Reassessment of maternal prenatal and familial history, revealed that the newborn's mother and other family members had complained of cough during the second trimester of gestation. All other diagnostic tests performed on the newborn during the course of hospitalization, including brain and renal ultrasound, electrocardiography, echocardiography, and auditory/visual function screening, showed normal findings. At 17 days of life, the patient's respiratory status improved and in parallel serologic RSV test became negative (IgM: negative; IgA: negative; IgG 1/80). Patient was discharged home in good health on day 22 of life.

Discussion

RSV is the major respiratory pathogen in young children, causing substantial morbidity and mortality worldwide [42]. The clinical course of the first infection varies from mild upper respiratory tract symptoms to severe lower respiratory tract disease (bronchiolitis or pneumonia)

leading to hospitalization in 2-3% of cases [49]. Reinfections are common, but usually less severe or asymptomatic [50]. The mode of transmission of this infection has always been thought to be horizontal (interpersonal) and through direct contact with infected secretions. However, recent experimental evidence has suggested that also vertical transplacental transmission may occur. Due to ethical constrains, vertical transmission of RSV infection so far has been demonstrated only in experimental animal models [3]. The present report is relevant in that it describes a neonatal case of human RSV infection consistent with vertical transmission from a previously infected mother to her unborn son. In this newborn with symptoms consistent with viral pneumonia since birth, microbiological tests revealed high serum titers of anti-RSV IgM, IgA, and IgG, as well as presence of RSV RNA in blood samples obtained with sterile procedure on the first day of life. Serologic tests for RSV were also positive in the mother and correlated with a history of respiratory symptoms during gestation in several members of the immediate family, suggesting an infectious etiology.

Previously, only the possibility of antenatal RSV sensitization has been investigated [51], showing that RSV-specific neutralizing antibodies are not only efficiently transferred via the placenta to the newborn [52], but also protect the newborn against RSV infection during the first months of life [53, 54]. Piedimonte et al. [3] published an animal study showing that the same RSV strain used to infect pregnant rats was subsequently detected in 30% of fetuses exposed in utero, as well as in lung tissues from 40% of newborn rats and 25% of adult rats born from infected pregnant dams.9 Importantly, prenatal RSV exposure modified the expression of genes encoding growth factors critical for development of the peripheral nervous system, particularly affecting the cholinergic innervation of the airways and leading to bronchial hyperreactivity [55].

The case described in this report may be the first clinical description of vertical transmission of RSV in a newborn, reproducing the experimental conditions used in Piedimonte's rodent model [3]. Indeed, the newborn's mother reported cough during the pregnancy, newborn showed evidence of fetal distress in utero and was born in respiratory distress with onset of the respiratory symptoms

immediately after birth in September, that is, before the peak of RSV epidemic generally occurring between October-November and May-June in Southern Italy. As the pre- and peri-natal history of our patient was unremarkable for all possible etiologies of fetal and neonatal respiratory distress, vertical RSV infection appears to be the only plausible explanation of the clinical manifestations. It should be noted that the incubation period for RSV ranges from 2 to 8 days, after which the clinical infection starts with signs and symptoms of mucosal inflammation and irritation of the upper respiratory tract (congestion, rhinorrhea, sneezing). Over the next several days, the clinical status evolves with involvement of the lower respiratory tract manifested by cough and increased work of breathing with use of accessory respiratory muscles to overcome the increased resistance of obstructed airways. In the case described, the lower respiratory tract symptoms were already present at birth, which is not consistent with infection after birth but rather suggest that the infection had occurred before birth. The viral etiology was confirmed in the newborn both by serology and PCR, and corresponded to positive serology for the same virus in the mother. Thus, all historical, clinical, and diagnostic information converge in suggesting vertical transmission of RSV from the mother to the offspring. When considering direct transmission of viral pathogens from mother to offspring, it is essential to understand that the pathological consequences may be significant despite preexisting maternal seroimmunity, as demonstrated by the relevant congenital morbidity, and mortality observed after secondary infections with other viruses, such as CMV and pestivirus [56, 57]. Furthermore, in our previous studies performed in rodent models, all RSV-infected dams developed measurable anti-RSV antibody titers, and yet they were often able to transfer the infection to their fetuses [3], and these models have been highly predictive of human pathology for several other vertically transmitted viruses, such as CMV, arenaviruses, or parvoviruses [58].

Finally, our finding of vertical transmission in animals provides the only plausible explanation for the repeated isolation of blood-borne RSV in the first days of life of unexposed human newborns [59].

As in all case reports, several limitations caution against generalization of our findings. In particular, RSV serology is not standardized and generally not used to make definitive diagnosis of acute infection. Specifically, as IgG cross the placenta, neonatal anti-RSV IgG were likely of maternal origin, and IgM can produce false positives (interference with high titer IgG and other similar viruses). However, several studies have previously demonstrated that the IgA detected in neonatal blood is primarily of fetal origin [60-67]. In normal development, fetal IgA is either undetectable or rises very slowly during gestation and fetal levels at term remain approximately 1000 times lower than concentrations in the maternal circulation.28 Secretory IgA is then transferred to the newborn by breastfeeding [68], but our patient did not receive breast milk in the NICU. Thus, a positive IgG titer indicates that the fetus has "inherited" maternal antibodies, but positive IgA—especially at the high titers found in our patient (1:60) —together with positive IgM at birth suggest strongly that the fetus had been vertically infected. At any rate, these serology results were confirmed in our patient by the detection of clinically relevant amounts of RSV RNA. In addition, to exclude co-infections and/or superinfections, we performed extensive serological tests as well as detection of other RNA viruses (eg., adenovirus, rhinovirus, coronavirus, virus influenza, virus parainfluenza, metapneumovirus, and enterovirus) that resulted all negative. Another potential limitation is that we do not have a nasopharyngeal swab or bronchoalveolar lavage for RSV detection in the respiratory tract of this newborn. Nevertheless, given the extremely low chance of horizontal transmission, it is reasonable to think that the infection had started prenatally. If so, the studies by Piedimonte et al. [3] indicated that pup sex posed to RSV infection in utero develop strong bronchial hyperreactivity to either electrical nerve stimulation or methacholine challenge after postnatal reinfectionwith the same virus. Therefore, newborns with early respiratory distress associated with evidence of RSV infectionwarrant closer follow-up for the possible recurrence of wheezing. More importantly, the possibility that RSV is transmitted vertically from mother to fetus has the potential of changing our strategies for the prevention and therapy of this highly prevalent infection and its chronic sequelae. The most important implication is that the passive prophylaxis with humanized monoclonal antibodies currently offered only to infants at high risk for severe infection should probably be anticipated to expecting mothers in order to prevent prenatal infections. Such protection could be provided in a perhaps more effective, safer, and less expensive way by actively immunizing pregnant women with a suitable vaccine, which could be provided to large segments of the population even in third world countries where RSV is still an important cause of infant mortality.

Paper 3

Different concentration of human cord blood HMGB1 according to delivery and labour: A

pilot study

(Published data: Cytokine. 2018 Mar 20;108:53-56)

Introduction

The injury mediated by oxidative stress (OS) is one of the major pathogenic protagonists in the

onset of several conditions relating to newborns, which are commonly referred to as "oxygen

radical diseases of neonatology" [69, 70]. The accumulation of Free Radicals (FR), beyond the

capacity of the endogenous antioxidant defence system to scavenge them, results in damage to

DNA, proteins and lipids which compromises cellular function, leading to cell death via apoptosis

or necrosis. Increasingly emerging evidences from literature reveal that OS-mediated pathways

contribute sharply to the preeclampsia, early pregnancy loss, foetal growth restriction and, preterm

labour pathogenesis [71]. To date, it is not clear whether also the foetus is subject to OS during the

process of labour and birth. Labour is also the result of leukocyte activation which, following

uterine invasion, promotes the release of uterotrophins (e.g. cytokines and chemokines) triggering

and supporting a myometrial contraction. Whether these events occur early, a preterm labour takes

place. Very few literature data are available on changes in OS levels in newborns in relation to

delivery mode [71]. When compared to babies born by elective caesarean section (CS), newborns

from both spontaneous vaginal delivery (SVD) and emergency CS show more higher oxidative

products levels in the umbilical arterial blood, probably due to delivery-related OS [72]. Also, it

could be the expression of a condition of prenatal oxidative status [72].

High Mobility Group Box 1 (HMGB1) is a DNA-binding nonhistone protein (25 kDA) expressed

both in intracellular site (nucleus, cytosol, mitochondria, and cell surface membranes) and in

extracellular space. Following a specific biochemical stimulus (e.g. antigen presentation, OS,

cytokines secretion, tissue damage) HMGB1 can be passively secreted from damaged or necrotic

27

cells [73]. HMGB1 takes part in numerous medical conditions, including pregnancy [74, 75], both in early events, primarily embryo implantation, and in later events, including labour and delivery. The release of alarmin, subsequent to tissue damage, aging cell and/or other stress factors, is known to be involved in pathologies of pregnancy, especially in isolated or recurrent abortion, intrauterine growth restriction (IUGR), and preterm labour [76]. It is known that many inflammatory mediators, among which HMGB1, are involved from the beginning of pregnancy to birth of the infant. Changes in amniotic fluid HMGB1 levels both of non-labouring and labouring (term and preterm) pregnant women have highlighted that HMGB1 can be detected into amniotic fluid [77]. In order to further investigate the HMGB1's role, this study evaluated serum cord blood HMGB1 levels in a population of neonates, to investigate the potential utility of alarmin as a novel marker, and its connection with mode of delivery, in babies born both by SVD and CS (elective or

Materials and Methods

emergency), as well as the influence of labour.

Subjects

The study subjects included 325 newborns delivered at the Department of Obstetrics, at University Hospital "G. Martino" of Messina, Italy, over an 18-month period. Following cord separation, venous blood sampling was performed on umbelical cords.

Exclusion criteria were: born dead, donation of the umbilical cordon and cord preservation.

Pregnant women admitted for labour were informed about the aims of the research and fully informed about study protocol. Participation in this study was voluntary and enrolment occurred at the same moment of admission for delivery. Prior to start the study, written informed consent was obtained from the pregnant women.

The study was conducted in accordance with the Declaration of Helsinki and was approved by the local Ethics Committee of the University Hospital of Messina (approval number 69/17).

The recruited patients were divided into 3 groups related to mode of delivery: SVD (group A), elective CS (group B), emergency CS (group C).

Regarding labour, subjects were divided into 3 groups: spontaneous labour (Group S), induced labour (Group I), absent labour (Group O).

Within 5 minutes after birth, blood samples from the umbilical vein were collected. Blood was left to clot for 5 minutes at room temperature. Then, blood samples were centrifugated and serum aliquots were stored at 80 °C until use. Serum HMGB1 was assessed using ELISA Kit following recommended protocol (Phoenix Pharmaceutical; Belmont, CA. ST51011 HMGB1 ELISA 96). Absorbance was measured using a microplate reader (Bio-Rad, Milan, Italy), and standard curves were constructed by the Bio-Rad Microplate Manager program V.5.1.

Other aliquots of the collected cord blood samples obtained in heparin-washed syringes were used to perform blood gas analysis.

Birth body weight, gestational age, and all maternal characteristics were recorded.

Statistical Analysis

Numerical data are expressed as median and range (minimum and maximum). The non-parametric approach was used since the numerical variables were not normally distributed, as verified by the Kolmogorov Smirnov test. The Kruskall Wallis test was applied to compare HMGB1 among the 3 different kinds of delivery; since it was highly significant, we performed pair wise comparisons between groups using the Mann Whitney test. The same analysis was performed to compare the different kinds of labour. For these multiple comparisons, we had to apply Bonferroni's correction, for which the significance alpha level 0.050 has to be divided by the number of the possible comparisons; thus, the new "adjusted" significance level for this analysis is equal to 0.050/6 = 0.008. The non-parametric Spearman correlation test was applied in order to assess the existence of any significant interdependence between HMGB1 and numerical parameters. Statistical analyses were performed using SPSS 17.0 for Window package. P < 0.05 two sides was considered to be statistically significant.

Results

Clinical findings of enrolled population

From among the enrolled 325 subjects, only 295 were included in this analysis. Thirty neonates with evident hemolysis in umbilical cord blood were excluded from the study.

All neonates were born healthy, as estimated by Apgar scores at 1 and 5 minutes and pH values of blood gas performed at birth. The mean \pm standard deviation (\pm SD) gestational age was 38.6 \pm 1.8 weeks, mean birth weight 3100 \pm 500 g. Characteristics of infants and their mothers are summarized in Table 1.

HMGB1 levels, obstetric history, clinical and laboratory findings of enrolled population

Statistical analysis performed to identify any potential significant interdependence between umbilical vein blood HMGB1 levels and obstetric history (e.g. personal history of acute and chronic disease or infectious diseases; taking drugs during pregnancy; smoking during pregnancy; weight gain during pregnancy; abortion threats; premature birth threats; presence and length of labour), clinical characteristics (gestational age (p=0.213); Apgar Index at 1' and 5'; birth weight (p=0.612); need of aspiration of airways, oxygen supplementation, positive pressure ventilation, emergency tracheal intubation, chest compressions, umbilical vein catheterization, and drugs administration), and laboratory findings (arterial blood gas test) of enrolled population failed. Correlations between HMGB1 levels, clinical features, and laboratory findings are summarized in Table 1, but nothing statistical differences were shown.

HMGB1 levels and mode of delivery and labour

Subjects were divided into the following 3 groups related to mode of delivery: SVD (group A, n=196), elective CS (group B, n=49), emergency CS (group C, n=42). Serum HMGB1 levels significantly and directly were correlated with mode of delivery. In the cord venous blood, we found HMGB1 values significantly more elevated in spontaneous vaginal group when compared to elective or emergency caesarean section group (p=0.004). While there was no significant HMGB1

difference between groups of neonates born by caesarean delivery, both elective or emergency (Group B and C) (p=0.046).

Regarding labour, subjects were divided into 3 groups: spontaneous labour (Group S, n=131), induced labour (Group I, n=99), absent labour (Group O, n=55). In the cord venous blood, we found HMGB1 values significantly more elevated in the two groups characterised by presence of labour, both spontaneous and induced (Group S and I), with concentrations significantly higher than the group without labour (Group O) (p=0.010).

Over the years, research on HMGB1 has been quite thorough and other properties have been revealed. HMGB1 has resulted to be a leading mediator in both acute and chronic inflammation, and plays a crucial role in several medical conditions, including autoimmune diseases, cancer, hepatitis, malaria, myocardial ischemia, infection-elicited inflammatory diseases [78].

It is known how inflammation is essential in maintaining uterine homeostasis, for successful embryo implantation and delivery. Even though inflammation is needed for a successful reproduction, early and uncontrolled activation of inflammatory proceedings can cause important adverse effects on childbearing outcomes, including preterm birth [79, 80]. Recent findings by Romero et al. have highlighted the importance of HMGB1 in amniotic fluid sterile inflammation [76]. Authors reported that amniotic fluid levels of HMGB1 were also higher in women who underwent spontaneous preterm labor with intra-amniotic infection or a condition of inflammation, than in those without these clinical conditions [77]. Several pathways have been reported to be initiators of term parturition. Inflammatory overload triggering delivery is a well-documented and known mechanism, and phlogosis may have originated from both foetal and maternal compartments. Alarmin release, caused by tissue injury, oxidative damage, or other injuries, is implicated in several diseases of pregnancy independently of the presence of a coexistent infection. In these years, literature data have associated placental dysfunction with elevated alarmin concentrations, which determines the massive amplification of the effects of placental inflammation, with a high-risk of related complications [81]. Although most of the data regarding a

connection between a rise in alarmin levels and the onset of preterm labour have been reported, causal and mechanistic data have not been documented. Moreover, HMGB1 and its principal receptors, such as RAGE, TLR2 and TLR4 are revealed in the cervix and an increased extra-nuclear fraction of HMGB1 with labour onset, both at term and preterm, has been signalized [82], suggesting that HMGB1 could play a role in the maturation of cervix. Buhimschi et al. [73] proposed that HMGB1, together with sRAGE and S100, are crucial elements involved in cellular damage of foetuses and in preterm birth triggered by inflammation. Authors reported that many stimuli that mimic a situation of infection or pro-inflammatory mediators, such as cytokines, are responsible for a cascade of events that determine the activation of immune cells, cell surface expression of HMGB1 and its secretion via non-classical secretion pathways [73]. Transition into a receptive and active uterus incorporates cellular changes in the endometrium and the modulated expression of different molecules, such as transcription factors, growth factors, endometrial cytokines. These signals are responsible of the transition from a silent myometrium to an active stage, characterized by increasingly close and intense contractions, until the culmination of labour. Our data, supported by the evidence of others more detailed in-depth in vivo and in vitro studies that elucidate molecular and cellular mechanisms of HMGB1, could reveal a possible action of this alarmin related to mode of delivery and to the presence of labour. All these mechanisms, synergistically to others many inflammatory pathways, could take part to determine decidual modifications for the transition of the endometrium from the non-receptive to receptive stage, that lead to moment of birth. Recently, it has been reported that the alarmin HMGB1 mediates its many activities via a reactive oxygen species (ROS)-dependent mechanism [83]. HMGB1 is a known target of such redox reaction molecules, in fact, it contains two redox sensitive cysteine moieties at positions C23 and C45 whose redox states affect strongly HMGB1 activities. However, as OS worsens and these thiols are oxidized to form a disulfide bond, the HMGB1 function shifts to boost inflammation. Increased HMGB1 concentrations was also showed with ischemic reperfusion injury associated with some modes of delivery, suggesting the great usefulness of measuring HMGB1 to

facilitate the early diagnosis of hypoxic-ischemic encephalopathy, an element significantly impacting the prognosis and neurological outcomes of affected neonates [83].

In conclusion, we believe that the dosage of circulating values of HMGB1 could be useful for its potential diagnostic and/or prognostic role in the prediction of the degree of oxidative damage in newborns, although more detailed in-depth *in vivo* and *in vitro* studies are needed to better elucidate molecular and cellular mechanisms underpinning the role of HMGB1 in associated FRs-related maternal, foetal and neonatal diseases.

Table 1. Obstetric data, clinical and laboratory findings of enrolled population

Obstetric data	N* / Mean [±SD]
Personal history of acute or chronic diseases	47
Smoking during pregnancy	2.5±5.8
Weight gain during pregnancy	12.6±2.8
Abortion threats	26
Premature birth threats	38
Mode of labor $[O^*, S^*, I^*]$	O=55, S=131, I=99
Hours of labor	5.2±5.8
Mode of delivery [VD*/ElCS*/EmCS*]	VD=196, ElCS=49, EmCS=42

Clinical findings	N* / Mean [±SD]	
Patients recruited the study	325	
Patients completed the study	295	
Gestational age [weeks]	38.6±1.8	
A.I.* 1' A.I.* 5'	9±1 9.6±0.7	
Gender [Male/Female]	141/154	
Weight Birth [g]	3100±500	
Need of Aspiration of airways	84	
Need of Oxygen supplementation	15	
Need of PPV*	14	
Need of ETI*	0	
Need of chest compressions	0	
Need of UVC* or Drugs administration	0	

Laboratory findings	Values [ng/mL]			$P_{ m value} *$
HMGB1values in accordance to MD*	VD 47.8934±41.49215	EICS 39.8435±48.70908	EmCS 54.0282±41.43005	0.029
HMGB1 values in accordance to ML*	O 42.0135±46.72025	S 50.0809±40.75395	I 50.3576±43.23343	0.026

*N: number; O: absent, S: spontaneus; I: induced; VD: vaginal delivery; ElCS: elective caesarean section; EmCS: emergency caesarean section; A.I.: Apgar Index; PPV: positive pressure ventilation; ETI: emergency tracheal intubation; UVC: umbilical vein catheterization; MD: mode of delivery; ML: mode of labor. Data are expressed in absolute values; mean \pm standard deviation [SD]. $P_{\text{value}} < 0.05$.

Paper 4

Respiratory Syncytial Virus seropositivity at birth associates with adverse respiratory outcomes

(Data under review: Journal of Pediatric Infectious Disease Society)

Introduction

Even with repeated exposure to Respiratory Syncytial Virus (RSV) infection, immunologic protection is usually short-lived and incomplete, allowing RSV to re-infect the host throughout life [84]. In addition, studies of primary RSV infections show the neutralizing antibody response rapidly declines to pre-infection levels within months [85]. Given this evidence, it is not surprising that serological studies of adults generally find neutralizing antibody concentrations below the threshold needed to achieve immune protection. Furthermore, the role of viral respiratory illness during pregnancy is not well-defined outside influenza [86]. Several studies have shown that RSV disease may occur in mothers at any trimester of pregnancy [87-89], but the consequences of this infection for the offspring remain unclear. Yet, mothers with respiratory illness are more likely to have poor maternal and perinatal outcomes than those without respiratory illness [90].

There is growing evidence pointing to extra-pulmonary involvement of RSV infection, including the detection of both viral antigens and genome in peripheral blood mononuclear cells of infected individuals [45, 91-93]. As a result, recent studies have explored whether RSV can cross the placenta leading to vertical transmission of the infection from the mother's respiratory tract to the fetus [94, 95]. First, Piedimonte et al. used recombinant RSV to infect pregnant rats and detected RSV genome and transgene expression in pulmonary tissues of 40% of their offspring [3]. The virus then persisted into adulthood in 25% of congenitally exposed rats. Importantly, the intrauterine RSV infection influenced expression and function of key neurotrophic pathways and affected the development of cholinergic nerves in the airways and lung tissues, leading to persistent bronchial hyperreactivity [3]. Subsequently, the same group reported the first documented case of

congenital infection caused by vertical transmission of RSV from a mother with history of upper respiratory infection to her son born with acute bronchiolitis [95], while Fonceca et al. reported the presence of RSV genome in cord blood mononucleocytes and identified these cells as a potential cellular reservoir for RSV [96].

Nevertheless, the ability of RSV to cross the human placenta and its impact on the respiratory outcomes of newborns needs further clarification. In this study we sought to determine serologic evidence of anti-RSV immunity in fetal cord blood of offspring with a maternal history of respiratory illness occurring during the third trimester of pregnancy, and also characterized the postnatal clinical outcomes associated with RSV seropositivity.

Methods

Study subjects - Between September 2016 and April 2017, women presenting for delivery to the Departments of Obstetrics and Gynecology at either University of Messina or University of Catania medical centers in Italy were screened for history of respiratory illness. Informed consent was obtained from mothers who reported ≥2 of the following symptoms during the third trimester of pregnancy: fever, influenza-like illnesses, cough, and sore throat. The Institutional Review Boards of the University of Messina, University of Catania, and Cleveland Clinic Foundation in Cleveland, Ohio approved the study protocol. Data were securely stored and managed using the REDCap electronic data capture tools hosted at the Cleveland Clinic. Patient privacy was protected in compliance with the United States Health Insurance Portability and Accountability Act (HIPAA) and European Union General Data Protection Regulation (GDPR).

Participants provided comprehensive medical history information through completion of a detailed demographic and medical questionnaire. Thereafter, all subjects underwent routine obstetric examination. Maternal serologic screening for Hepatitis C Virus (HCV), Hepatitis B Surface Antigen (HBsAg), Human Immunodeficiency Virus (HIV), and Group B Streptococcus (GBS) were obtained. Mothers were excluded if they had diagnosis of any other infection, history of

severe immunosuppression (e.g., HIV infection, transplantation, or malignancy), or used immunosuppressive medications.

Clinical definitions

Demographic, laboratory, radiologic, and clinical data of participating newborns were collected during their entire hospitalization. Previously published definitions for prematurity, low birth weight, intrauterine growth restriction (IUGR), atopy, transient tachypnea of newborn (TTN), respiratory distress syndrome (RDS), and bronchopulmonary dysplasia (BPD) were used [97-105]. *RSV serology*

After delivery, fetal cord blood were collected for RSV serology as described previously [106]. Briefly, the last 10–15 cm of the umbilical cord was disinfected with iodine prior to removing the clamp and 5 ml of blood was collected by gravity less than 10 min after the cord was sectioned with disinfected scissors. Serum was prepared by centrifugation at 2,650 g for 20 min, and aliquots were stored at -80°C until use. Anti-RSV IgA, IgM, and IgG antibodies were quantified using an immunofluorescence assay (Euroimmun, Padova, Italy) following the manufacturer's instructions. Positivity for RSV antibodies was determined based on previously published criteria: <1/20 dilution was considered negative, ≥1/20 positive, and ≥1/140 strongly positive [107]. Cord blood serum samples with positive RSV IgM and/or IgA in addition to positive IgG were considered seropositive for this study. This definition of neonatal seropositivity is similar to those used for diagnosis of other congenial infections including rubella, toxoplasmosis and parvovirus [108-110].

Statistical analysis

Data are expressed as median (range) for continuous variables and count (percentage) for categorical variables. The Agresti-Coull method was used to estimate 95% confidence intervals for the prevalence of RSV antibodies. Associations between antibody titers [negative vs. positive] and neonatal clinical outcomes were analyzed using the Kruskal-Wallis and Fisher's Exact tests for continuous and categorical variables respectively. All tests were two-tailed and performed at a significance level of 0.05. SAS 9.4 software (SAS Institute, Cary, NC) was used for all analyses.

Results

Between September 1, 2016 and April 30, 2017, a total of 22 pregnant women were enrolled in the study with a history of respiratory illness occurring in the third trimester of pregnancy. The majority of infants (82%) were born after 36 weeks gestation with 3 infants born between 34 and 35 weeks gestation and one infant born at 29 weeks gestation. Clinical characteristics and outcomes of the offspring are summarized in Table 1.

Table 1. Newborns' characteristics and outcomes.

Length of Stay (days)	4 (3, 42)						
Gender:							
. Female	8 (36)						
. Male	14 (64)						
Gestational age (weeks)	38 (29, 41)						
Multiple Births	2 (9)						
Mode of Delivery:							
. Vaginal	5 (23)						
. C-Section	17 (77)						
Birth Weight (kg)	2.9 (1.2, 4.1)						
Birth Length (cm)	49 (36, 54)						
Birth Head Circumference (cm)	33.5 (28, 36)						

Data are expressed as median (min, max) or N (%).

RSV immunity - Newborns born to mothers with a history of respiratory illness during pregnancy had serologic evidence of RSV immunity. All cord blood samples had titers for anti-RSV IgG ≥1:20 (95% CI = 82-100%), while 16 (73%; 95% CI = 52-87%) also had positive titers for either anti-RSV IgA or IgM, thereby meeting our criteria for RSV seropositivity (Table 2).

Table 2. Prevalence of RSV antibodies in cord blood specimens

Antibody class	Number of positive subjects	Prevalence (95% CI)
IgA	12	55% (35%, 73%)
IgM	10	45% (27%, 65%)
IgA or IgM	16	73% (52%, 87%)
IgA and IgM	6	27% (13%, 48%)
IgG	22	100% (82%, 100%)

The cord blood samples of 6 newborns (27%) were positive for both anti-RSV IgA and IgM. Samples positive for either IgA or IgM were more likely to have strongly positive IgG titers, with 14/16 (88%) having IgG titers $\geq 1/140$, compared to 2/6 (33%) of those negative for IgA and IgM (p=0.025).

Clinical outcomes

Newborns born to mothers with a history of respiratory illness during pregnancy had adverse clinical and laboratory outcomes. Eight (50%) RSV seropositive newborns developed respiratory problems including RDS (N=8), TTN (N=5), apnea (N=5), respiratory failure (N=3), and pneumonia (N=1), whereas none of the newborns in the RSV seronegative group was diagnosed with any respiratory pathology (Table 3).

Table 3. Newborns' characteristics and outcomes by RSV seropositivity

		RSV Serop	ositivity	
	Total	No	Yes	
	(N=22)	(N = 6)	(N = 16)	<i>p</i> -value
Length of Stay (days)	4 (3, 42)	3 (3, 20)	6 (3, 42)	0.12ª
Days on Oxygen	0 (0, 14)	0(0,0)	1 (0, 14)	0.025^{a}
Pneumonia	1 (5)	0 (0)	1 (6)	0.99^{b}
Respiratory Distress Syndrome	8 (36)	0 (0)	8 (50)	0.051^{b}
Respiratory Failure	3 (14)	0 (0)	3 (19)	0.53^{b}
Transient Tachypnea of Newborn	5 (23)	0 (0)	5 (31)	$0.27^{\rm b}$
Apnea	5 (23)	0 (0)	5 (31)	$0.27^{\rm b}$
C-Reactive Protein (mg/dl)	4 (1, 7)	2 (1, 3)	4 (3, 7)	<0.001°
White Blood Cells (cmm)	15 (13, 18)	14 (13, 16)	16 (13,18)	0.047^{a}

Data expressed as median (min, max) or N (%). p-values: a = Kruskal-Wallis test; b = Fisher's Exact test.

Also, 9 of the 16 (56%) seropositive newborns required supplemental oxygen, whereas none of the 6 seronegative newborns required oxygen (p=0.025). Furthermore, white blood cell (WBC) count and serum C-reactive protein (CRP) concentration were significantly higher in seropositive newborns compared to seronegative newborns (p=0.047 and p<0.001, respectively). None of the patients included in this study received surfactant or required invasive ventilation.

Discussion

In this study, we show new evidence of RSV seropositivity in newborns born to mothers with influenza-like symptoms during the third trimester of pregnancy. While all newborns showed positive titers for anti-RSV IgG, which likely represent transplacental transfer of maternal antibodies, 73% of cord blood samples were also positive for either anti-RSV IgA or IgM. This serologic pattern is highly suggestive of intrauterine exposure to RSV.

Many respiratory viruses, including orthomyxoviruses [58], coronaviruses [111], and rhinoviruses [112], can lead to transient viremia that is occasionally associated with severe or extrapulmonary disease. Likewise, RSV has been found in a variety of extrapulmonary human

tissues both in immune competent and immune compromised subjects [46, 113]. Therefore, it is reasonable to consider that transient RSV viremia during pregnancy might lead to passage of live virions through the placenta with subsequent access to the fetus. Previous studies have provided proof of concept that vertical transmission of RSV infection is possible in an *in vivo* animal model, with detection of RSV genome, antigens, and transgene expression in the lung buds of fetuses born to dams infected with recombinant RSV at mid-gestation [3].

Maternal-to-fetal transfer of replicating RSV predisposes the offspring lungs to develop aberrant cholinergic innervation and smooth muscle contractility, leading to non-specific airway hyperreactivity. Furthermore, exposure of the preimmune fetus to viral capsid proteins induces immune tolerance resulting in depressed Th1 and T-cell mediated anti-RSV immunity during early-life reinfection [47]. Importantly, our group has recently documented that vertical transmission of RSV is possible in humans by reporting the case of a newborn admitted to the intensive care unit with respiratory distress. In this case, serology studies revealed that both mother and son were positive for anti-RSV IgG, IgA and IgM, while RSV RNA was amplified from the newborn's peripheral blood immediately after birth, strongly suggesting prenatal transmission of the infection [95].

Given that RSV has a short incubation period, we focused on maternal disease occurring during the last trimester of pregnancy to assess the impact of RSV infection on the offspring when acquisition would be more clinically and serologically evident. Determining outcomes originating from maternal symptoms occurring in the first or second trimester would be difficult to discern, but findings in our rodent model suggest that the implications for the fetus and offspring could be more severe due to the induction of immune tolerance by exposure to viral antigens during the pre-immune phase of ontogenesis [47]. Other congenital infections occurring during fetal development are known to induce immune tolerance or altered immune response [115, 116].

Another novel and important finding of this study is that newborns with evidence of prenatal RSV exposure tend to have adverse pulmonary outcomes in the neonatal period. Indeed, we found

that RSV seropositivity in the cord blood was associated with risk of pneumonia, RDS, and respiratory failure. Moreover, WBC count and serum CRP concentration were significantly higher in RSV seropositive newborns compared to seronegative controls. Further longitudinal studies are needed to understand whether RSV exposure *in utero* leads to long-lasting consequences from direct injury or modified immune responses upon re-challenge.

There are several notable limitations to our study. In particular, we define as seropositive those newborns with high cord blood titers of anti-RSV IgA or IgM. The presence of IgA and IgM antibodies in cord blood has been used for determining presence of congenital infection to several pathogens [108-110]. However, there is a notably high false positive rate associated with IgM, and to a lesser degree IgA, due to interference from high-titer IgG or cross reaction with proteins containing similar epitopes. In addition, cord blood seropositivity is not conclusive evidence of neonatal infection. For example, HIV IgA and IgM can be found in non-infected infants, especially soon after birth [46, 116]. However, in our study we found that IgA and IgM positive cord blood samples are significantly more likely to have higher IgG titer. While maternal IgG can cross the placenta, IgM does not cross the placenta and is typical of more recent exposures. Additionally, several studies have demonstrated that IgA detected in neonatal blood is primarily of fetal origin [65]. In normal development, fetal IgA is either undetectable or rises very slowly during gestation and fetal levels at term remain approximately 1,000 times lower than concentrations in the maternal circulation [67]. Therefore, positive IgA together with positive IgM at birth suggests that the fetus might have been vertically exposed. Convalescent serology at 4-6 weeks, detection of RSV genome or antigens in cord blood, as well as pairing of maternal to cord blood serology would aid in confirming in utero RSV exposure.

In conclusion, this study provides new evidence of acute seropositivity against RSV in the cord blood of newborns born to mothers with a history of respiratory illness during late gestation.

RSV seropositivity at birth is associated with adverse clinical and laboratory outcomes in the

neonatal period. More studies are needed to further define the direct and indirect effects of RSV infection occurring during intrauterine life, and its association with long-term respiratory sequelae.

Paper 5

A systematic review of clinical practice guidelines for the diagnosis and management of bronchiolitis

(Data not published)

Introduction

Bronchiolitis is the leading cause of admission in infants less than one year old [117]. Though not usually associated with significant mortality in developed countries, it is a major cause of morbidity in both the inpatient and outpatient setting, with nearly 20% of children under 5 receiving medical attention due to respiratory syncytial virus (RSV)-related bronchiolitis. It carries a heavy burden to the healthcare system, estimated to cause 1 in 13 primary care visits and 1 in 38 visits to the emergency department [118]. In patients with risk factors, including prematurity, congenital heart or chronic lung disease, and other co-morbidities, RSV can be life-threatening [49]. In a cohort study at a tertiary hospital in the United Kingdom, overall mortality for children with pre-existing disease was 8.6% [119]. Globally, an estimated 66,000-199,000 children younger than five died of RSV-related acute lower respiratory tract infection in 2005, with 99% of those occurring in developing countries [42].

Bronchiolitis is most commonly caused by RSV, an enveloped RNA paramyxovirus. A seasonal virus, it has a distinct peak in incidence during the winter months, though this varies regionally and annually [120]. After an incubation period of 2 to 8 days, the virus replicates in the nasopharyngeal epithelium with further spread to the lower respiratory tract. All aspects of the immune system are involved in mounting a response, including recruitment of neutrophils, macrophages, and cells of adaptive immunity [121]. The resulting inflammation is characterized by necrosis and sloughing of the respiratory epithelium and subsequent oedema, increased secretion of mucus, and obstruction of the small airways [122]. RSV accounts for 50-80% of cases of bronchiolitis, though other viruses including rhinovirus and parainfluenza have been identified

[123-125]. However, it is usually difficult to distinguish the viral aetiologies based on clinical findings [124].

Clinically, bronchiolitis most commonly presents in the first year of life and can be identified as a constellation of signs and symptoms [54]. Increased work of breathing is common, manifesting as nasal flaring, grunting, and intercostal and/or subcostal retractions [1]. Cough, wheezing, and rhinorrhoea may also be seen [126]. In neonates and young infants, RSV may be associated with or present as apnoeic episodes, the mechanism of which is not clear [127, 128]. Further, patients with RSV infection have an increased risk of developing acute otitis media [129].

Many interventions have been implicated, including bronchodilators, corticosteroids, and antivirals, though no specific therapy is available, making the management of bronchiolitis difficult to define [130]. As clinical practice guidelines (CPG) can help guide clinicians and benefit patients by reducing unnecessary tests and unproven treatments [131-133], it is important that they provide clear and appropriate guidance [133]. However, guidelines may vary significantly, due to varying methods of searching the literature, differing populations and definitions, and different interpretations of the evidence. As far as we know, no study has yet attempted to compare the CPGs concerning bronchiolitis.

Because of this gap in literature, the aim of this review is to systematically appraise current guidelines on the management of bronchiolitis, considering the differences and similarities between them and offering recommendations for further research.

Methods

Search Strategy

This systematic review considered CPGs that provided recommendations on the diagnosis and management of bronchiolitis for otherwise healthy children. An electronic search of the literature was performed through the databases: Medline, Excerpta Medical Database (EMBASE), Global Health (CABI), and Web of Science. Table 1 shows the detailed search terms used. The search was

performed on 16 March 2016. A manual search of the literature was also performed, searching web-based resources including physician and surgical organizations as well as other sources such as National Guideline Clearinghouse, BMJ Best Practice, TripDatabase, National Institute for Health and Care Excellence, Scottish Intercollegiate Guidelines Network, and the World Health Organization.

Table 1. Search strategy

- 1. Respiratory Syncytial Virus Infections/
- 2. respiratory syncytial viruses/ or respiratory syncytial virus, human/
- 3. rsv.ti.ab.
- **4.** "respiratory syncytial virus".ti,ab.
- **5.** bronchiolitis/ or bronchiolitis, viral/
- **6.** "bronchiolitis".ti,ab.
- 7. 1 or 2 or 3 or 4 or 5 or 6
- **8.** guideline/ or practice guideline/
- **9.** guidelines as topic/ or practice guidelines as topic/
- **10.** [guideline* or algorithm* or standard*].ti,ab.
- 11. "best practice*".ti,ab.
- 12. "clinical pathway*".ti,ab.
- **13.** 8 or 9 or 10 or 11 or 12
- **14.** 7 and 13

Selection Criteria

We selected papers that were produced by global, national, or regional bodies. As part of our inclusion criteria, guidelines were required to be published in English, give recommendations on diagnosis and/or management of bronchiolitis, and be published within the last 20 years [from 1996]. If multiple guidelines were published from the same author, only the most recent guideline was considered.

Exclusion Criteria:

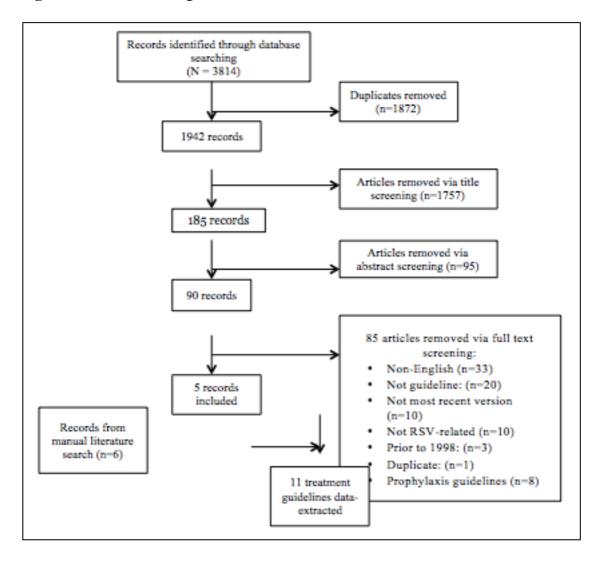
The exclusion criteria were:

- ➤ Hospital-based guidelines
- > Guidelines that solely gave recommendations for RSV prophylaxis and/or use of palivizumab
- ➤ Recommendations for specific or high-risk patient populations

Results

Figure 1 displays the flow diagram for this search. A total of 3,814 articles were identified through searching the literature. After removing duplicates and title and abstract screening, there were 90 records for full-text review. After analysis of the 90 studies, five fulfilled the criteria to be included in this review. Through manually searching the literature, a further six papers were identified, giving a total of 11 guidelines for analysis.

Figure 1. Search flow diagram



Characteristics of the guidelines

Table 2 presents the characteristics of the guidelines in chronological order. The guidelines were published between 2006 and 2015. Of the selected guidelines two studies were from North America [117, 134], three were from Australia [135-137], four were European [138-141], one was from Africa [142], and one was from Asia [143]. Of the 11 total studies, 10 were produced by professional scientific organizations [117, 134-141, 143], while the South African guideline was endorsed by regional medical bodies [142]. Two studies were updates from previous guidelines [117, 135], and nine were new guidelines [134, 136-143].

Guideline	Authors and Year	Organization	Country	Туре	Target Population	Disease/ Condition[s]	Method of evidence search	Care Level	Scope
SIGN [2006]	Cunningham et al., [2006] [139]	Scottish Intercollegiate Guidelines Network	Scotland	New	Infants less than 12 months of age, infants less than 24 months if premature or with congenital heart disease or underlying respiratory disease	Bronchiolitis	Systematic review	Primary, secondary	Diagnosis, Treatment, Management, Prevention
HFK [2008]	Turner et al. [2008] [137]	Health for Kids [HFK] Guideline Development Group	Australia	New	Healthy children ≤18 months who present with bronchiolitis	Bronchiolitis	Not stated	Primary	Diagnosis, Treatment, Management
HKCP [2009]	Tam et al. [2009] [143]	Hong Kong College of Paediatricians	Hong Kong	New	Children ≤24 months with acute bronchiolitis	Bronchiolitis	Not stated	Primary, secondary	Diagnosis, Treatment, Management
SATS [2010]	Green et al. [2010] [142]	Not stated; endorsed by South African Thoracic Society, South African Society of Paediatric Infectious Diseases, United South African Neonatal Association	South Africa	New	Children with bronchiolitis, high-risk children	Acute viral bronchiolitis	Detailed literature review	Primary, secondary	Diagnosis, Treatment, Management, Prevention
SNHS [2010]	Working Group of the Clinical Practice guideline on Acute Bronchiolitis [2010] [141]	Spanish National Healthcare System	Spain	New	Children under 24 months with acute bronchiolitis	Bronchiolitis	Systematic search	Primary, secondary	Diagnosis, Treatment, Management, Prevention
NSW [2012]	Whitehall et al. [2012] [135]	NSW Kids and Families	New South Wales, Australia	Updat e	Infants and children with bronchiolitis	Bronchiolitis	Not stated	Primary, secondary	Diagnosis, Treatment, Management
SA [2012]	Working party representative s from various specialities [2012] [136]	SA Child Health Clinical Network	South Australia	New	Children with bronchiolitis	Bronchiolitis	Review of current guidelines	Primary, secondary, tertiary	Diagnosis, Treatment, Management
AAP [2014]	Ralston et al. [2014] [117]	American Academy of Pediatrics	United States	Updat e	Children from 1-23 months of age	Bronchiolitis	Systematic search	Primary, secondary	Diagnosis, Treatment, Management, Prevention
CPS [2014]	Friedman et al. [2014] [134]	Canadian Paediatric Society	Canada	New	Generally healthy children ≤2 years with bronchiolitis	Bronchiolitis	Not stated	Primary, secondary	Diagnosis, Treatment, Management
FMSD [2015]	Tapiainen et al. [2015]	Working group	Finland	New	Children with lower	Laryngitis, wheezing	Systematic search	Primary	Treatment

	[140]	established by the Finnish Medical Society Duodecim and the Finnish Pediatric Society			respiratory tract infections	bronchitis, bronchiolitis			
NICE [2015]	Bourke et al. [2015] [138]	National Institute for Health and Care Excellence [NICE]	United Kingdom	New	Children with bronchiolitis, certain patient subgroups at increased risk of severe bronchiolitis	Bronchiolitis	Systematic search, economic analysis	Primary, secondary	Diagnosis, Treatment, Management, Economic aspects

Table 2. Characteristics of included guidelines

Conflicts of interest were disclosed in three studies: the AAP guideline disclosed four authors publishing research related to bronchiolitis [117], four of the five authors of the South African guideline had involvement in pharmaceutical companies [142], and three members of the working group for the Spanish National Healthcare System guideline had associations with pharmaceutical companies [141]. The target population was infants and children. Three guidelines specified they must be less than two years of age [117, 141, 143], while SIGN guidelines limited their recommendations to infants less than 12 months and Health for Kids guidelines limited theirs to less than 18 months [137, 139].

Clinical practice guideline recommendations

Table 3 summarizes the recommendations made regarding investigations for diagnosis and management of bronchiolitis. Regarding diagnostic investigations, routine chest X-rays were not recommended by any guideline. Virological testing was only recommended on the basis of organizing cohort arrangements for infection control. Six studies suggested arterial blood gases might be considered in severe cases or in impending respiratory failure [134, 135, 137, 139, 141, 143]. Other investigations were not recommended as routine tests.

	SIGN [2006]	HFK	HKCP [2009]	SATS	SNHS	NSW	SA [2012]	AAP	CPS [2014]	FMSD	NICE
iagnosis:		[2008]		[2010]	[2010]	[2012]		[2014]		[2015]	[2015
outine chest X-	No	No	No	No	No	No	No	No	No	×	No
irological sting	To cohort patients	No	No	No	To cohort patients	To cohort patients	No	No	To cohort patients	×	No
lood culture	No	No	No	No	No	If toxic	No	×	No	×	No
ull blood count	No	×	No	No	No	If toxic	×	×	No	×	No
rea and ectrolytes	Consider if severe	×	×	No	×	If on IV fluids	If on IV fluids	×	×	×	No
rterial blood	If respiratory	If severe;	If severe	No	Consider in	Consider	No	×	If concern	×	No
ases	distress or entering RF	consider in moderate disease	respiratory distress, exhaustion, hypoxia		severe respiratory difficult or RF	if severe			of RF		
rine culture	Consider in febrile infants <60 days old	No	×	×	No	If toxic	×	×	×	×	×
lanagement:											
xygen	≤92%	<90-92%	Yes	<92/90 %	<92%	<95%	<93%	<90%	<90%	×	<92%
ronchodilators s.g. β ₂ -agonist]	No	Not routinely; consider trial in patients >9 months	Consider a trial	Trial in hypoxi c infant	No	May be considere d if 6-12 months and asthma a possibilit v	Consider trial if >6 months age	No	No	No	No
ypertonic dine	×	×	×	Trial in hypoxi c infant	Yes	×	Yes	In hospitalized children	Equivocal	No	No
orticosteroids	No	No	May consider systemic steroid as outpatient or if severe disease	No	No	No, unless asthma a possibilit y	No	No	No	No	No
drenaline	No	No	Consider trial in outpatient setting	Trial in hypoxi c infant	No	In some infants if there has been an establishe d response	×	No	Equivocal	No	No
ntivirals	No	No	No	No	No	No	×	×	No	×	×
lontelukast	No	×	×	No	No	×	×	×	×	×	No
ntibiotics	No	No	No	Consid er if severe	No	No	×	No	No	×	No
uctioning	Yes	May be trialled	No	×	Yes	×	Yes, if required prior to feeding	No	Equivocal	×	If respire ory distres , feedin diffici ties or apnoe c
hest hysiotherapy	No	No	No	No	No	No	If prolonged recovery O ₂ or atelectasis	No	No	×	Only clearing secret ons difficut due comorbi ities
list/steam otonic saline	×	No	No	×	No	×	×	×	No	No	×
ral feeding	Small	Encourage	×	×	Positional	Offer	Small	NG or IV	Frequent	×	Yes

	frequent feeds	small frequent feeds			measures; Feeds broken up and/or thickened	smaller, frequent feeds if tolerating	frequent feeds if tolerating	fluids if cannot tolerate oral	feeds, support breast feeding		
asogastric eding	If not maintaining oral intake or hydration	Yes	×	×	If dehydrated or respiratory difficulties	For infants with poor oral intake	If not tolerating oral feeds but not severely unwell	Yes	Yes	×	If not toleral ng ora feeds
itravenous uids	×	Yes	If severe respiratory distress	×	If very severe	If not tolerating oral or NG feeds	If severe or not tolerating oral/NG feeds; 0.45 or 0.9% NaCl/5% dextrose	Yes; use isotonic IV fluid	0.9% NaCl/5% dextrose preferred	×	Isoton c fluic if not tolera ng NG/O G or impen ing respir ory failure
lternative edicine	×	×	Chinese medicine warrants further research	×	No	No	×	×	×	×	×
/hen to start PAP	Discuss with PICU; consider if severe respiratory distress or apnoeic	×	×	×	Severe respiratory difficulty, hypercapni a, recurrent apnoea	Consider if severe or life threateni ng	×	×	x	×	Consi er if impen ing Rl
enefit of reastfeeding	×	×	×	Yes	>4 months	Yes	×	Exclusive, ≥6 months	×	×	Some evidei ce
ifection Control:		l .	1		1	I	<u> </u>	I	I		
and washing	Yes	×	×	Yes	Yes	Yes	×	Yes	×	×	×
loves	Yes	×	×	×	Yes	×	×	Yes	×	×	×
owns	Yes	×	×	×	Yes	×	×	Yes	×	×	×
olation/cohorti	Yes	×	×	Yes	Yes	Yes	×	×	×	×	×

Table 3. Diagnosis and management of bronchiolitis

Concerning treatment, oxygen is the principal therapy that was recommended, though the threshold for administration varied from <90% to <95%. Antivirals, montelukast and antibiotics should not be given, as they were not recommended. Recommendations for other therapies were inconsistent. Five studies recommend that a trial of bronchodilator may be considered, while the other six state that bronchodilators are not indicated. Of the seven papers that mentioned hypertonic saline, it was recommended in four papers [117, 136, 141, 142] and recommended against in two [138, 140]. The Hong Kong College of Paediatricians was the only group to recommend corticosteroids as a therapy [143]. Weak recommendations were given by three guidelines for adrenaline use [135, 142, 143],

while six recommended that it should not be used to manage bronchiolitis [117, 137-141]. Of the eight guidelines that considered suctioning, five suggested that suctioning was indicated in some scenarios [136-139, 141], and two did not advocate its use [117, 143]. The Canadian Paediatric Society guidelines state that the evidence was equivocal for hypertonic saline, adrenaline, and suctioning [134]. Only the South Australian and NICE guidelines recommended chest physiotherapy in certain situations [136, 138].

Risk factors for severe disease are displayed in Table 4. All guidelines included prematurity, younger age at presentation, significant congenital heart disease, and chronic lung disease as risk factors for severe disease. Other risk factors mentioned include neurological disorder, immunodeficiency, and tobacco smoke exposure.

	SIGN [2006]	HFK [2008]	HKCP [2009]	SATS [2010]	SNHS [2010]	NSW [2012]	SA [2012]	AAP [2014]	CPS [2014]	FMSD [2015]	NICE [2015]
Prematurity	Yes	Yes	<36 weeks	Yes	Yes	Yes or SGA	Yes	Yes	<35 weeks	Yes	Particularly if <32 weeks
Age at presentation	Younger infants	Very young infant	<6 weeks	<24 weeks	<12 weeks	<12 months	<6 weeks	<12 weeks	<12 weeks	<8 weeks	<12 weeks
Congenital heart disease	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Chronic lung disease	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Immunodeficiency	×	×	Yes	Yes	Yes	×	Yes	Yes	Yes	Yes	Yes
Tobacco exposure	Yes	×	Yes	Yes	×	×	×	Yes	×	×	×
Neurological disorder	×	×	Yes	Yes	×	×	Yes	×	×	Yes	Yes
Poverty	Yes	×	×	Yes	×	×	×	×	×	×	×
Pollution	×	×	Yes	Yes	×	×	×	×	×	×	×

Table 4. Risk factors for severe disease

Table 5 compares indications for hospital admission between CPGs. Inability to maintain oxygen saturations was a common indication as was worsening respiratory status or increased work of breathing. Poor nutritional status, cyanosis, and history of apnoeic episodes were other common indications. A minority of guidelines included social circumstances, severe malnutrition and uncertain diagnosis as an indication for hospital admission.

	SIGN [2006]	HFK [2008]	HKCP [2009]	SATS [2010]	SNHS [2010]	NSW [2012]	SA [2012]	AAP [2014]	CPS [2014]	FMSD [2015]	NICE [2015]
espiratory atus	Nasal flaring and/or grunting, severe chest recession	Accessory muscle use	Signs of distress or exhaustion	Severe distress	Moderate- severe distress [grunting, nasal flaring, retractions]	If mild- severe respiratory distress	Accessory muscle use, chest recession	×	Signs of respiratory distress	×	Persisting severe distress
espiratory ate [per ninute]	>70	Increased	×	×	Increased	×	Increased	×	>70	×	>70
xygen aturation on room air]	≤94%	<95%	Yes	<92% [inland]; <90% [coast]	<92%	<90-95%	<93%	×	Supplement al O ₂ needed to keep >90%	Decreas ed	<92%
oor feeding/ ehydration	Yes	Yes	Yes	Yes	Yes	Yes	Yes	×	Yes	×	Yes
yanosis/ ypoxemia	Yes	×	Yes	×	Yes	Yes	Yes	×	Yes	×	×
listory of pnoea	Yes	x	Yes	Yes	Yes	Yes	Yes	×	Yes	×	Yes
ligh-risk ıfants	×	×	Yes	Yes	Yes	×	Consider	×	Yes	Yes [young infants]	Consider
ocial rcumstance	×	×	Yes	Yes	Consider	×	×	×	Yes	×	Consider
evere alnutrition	×	×	×	Yes	×	×	×	×	×	×	×
ncertain iagnosis	Yes	×	×	×	Yes	×	×	×	×	×	×

 Table 5. Indications for hospital admission

Recommendations for when to discharge are displayed in Table 6. In the guidelines that did include discharge criteria, there was little variation between them. Similar to indications for hospital admission, respiratory effort, oxygen saturation, and nutrition status were important factors.

	SIGN [2006]	HFK [2008]	HKCP [2009]	SATS [2010]	SNHS [2010]	NSW [2012]	SA [2012]	AAP [2014]	CPS [2014]	FMSD [2015]	NICE [2015]
Improved respiratory effort	×	×	×	×	Yes	Yes	Yes	×	Yes	×	Yes [clinically stable]
O ₂ saturations	>94% in air	×	×	×	>94% in air	≥92% in air	≥93% in air	×	>90% in air; stable for home O_2 therapy	×	>92 in air for 4 hours, including period of sleep
Adequate oral feeding	>75% of usual intake	×	×	×	Yes	Yes	Yes	×	Yes	×	Yes
Ability of carer	×	×	×	×	Able to clean airways	×	Consider	×	×	×	Consider
Parental education	×	×	×	×	Yes	Yes	×	×	Yes	×	Yes
Follow-up arranged	×	×	×	×	If needed	Yes	Not needed if uncomplicated	×	Yes	×	×

Table 6. Discharge criteria

Discussion

This study analysed the clinical practice guidelines for the diagnosis and management of bronchiolitis, noting consistencies and discrepancies between them. 11 studies were identified through a systematic and manual search of the literature and were published between 2006 and 2015.

The guidelines gave relatively similar recommendations with some notable exceptions. Regarding diagnosis, bronchiolitis is a clinical diagnosis, and no diagnostic investigations were recommended. They are often unhelpful and may be harmful for patients with bronchiolitis; chest x-rays do not improve time to recovery and may subject the patient to unnecessary antibiotics [144, 145]. Arterial blood gases were recommended to assess severity and predict respiratory failure in some guidelines.

Recommended treatment is largely supportive, with supplemental oxygen and nutritional support being the mainstay. Studies offered varying thresholds for oxygen administration, ranging from 90% to 95% but provided little evidence for their recommendations. This may be due to the fact that little evidence and no randomised controlled trials (RCT) investigating oxygen saturation targets in bronchiolitis had been published prior to development of the guidelines [146, 147]. When deciding threshold for oxygen administration, the AAP and NICE guidelines considered the sinusoidal shape of the oxyhemoglobin dissociation curve and the large partial pressure of oxygen needed to increase oxygen saturations if saturations fell below 90% [117, 138]. An RCT published in 2015 suggests that management of infants to a target saturation of 90% or higher is as safe and clinically effective as a target of 94% [147]. Thus, the variations in thresholds for oxygen administration may not result in differences in clinical outcome.

Use of bronchodilators was a source of contention; five papers recommend its use in certain situations while six papers recommend against it. The three Australian guidelines recommended its use in older infants (older than six or nine months) and the Hong Kong and South African CPGs recommended that a trial could be undertaken. The guidelines admitted that the evidence for its use was limited, so it should be discontinued if not effective. Two guidelines cited a systematic review that found that bronchodilators caused no improvement in oxygenation or admission and could cause potential harm [130]. The 2006 Cochrane systematic review was also cited, showing small increases in clinical scores with bronchodilator use but with no improvement in rate or duration of hospitalization [148]. The most recent 2014 Cochrane review concludes that bronchodilators are not effective in both inpatient and outpatient management of bronchiolitis and should not be recommended [149]. The most current evidence does not appear to support bronchodilators, though further trials with larger sample sizes need to be done.

Nebulised hypertonic saline is a topic of increasing research. In physiologic studies, it has been shown to increase mucociliary clearance and improve the rheologic properties of mucous [150, 151]. As bronchiolitis involves increased mucous production, airway oedema, and mucous plugging, hypertonic saline should theoretically be of benefit [117, 152, 153]. The AAP, South Australian, South African, and Spanish guidelines recommend hypertonic saline while the Finnish and NICE guidelines rejected its use. A Cochrane review in 2013 involving 11 trials concluded that hypertonic saline resulted in a significantly shorter length of stay and improved clinical scores with few side effects [154]. However, following the 2013 review, multiple studies reported conflicting results [152, 155], including the multicentre UK-based [SABRE] trial that found no difference in length of hospital admission with hypertonic saline administration [156]. The most recent systematic review by Zhang et al. in 2015 included 24 trials and showed a modest benefit in reducing both risk and length of admission [157]. Further trials are needed to determine its true role in bronchiolitis management, given the conflicting evidence and guideline recommendations.

The Hong Kong guideline was the only guideline to recommend corticosteroids, giving Grade A recommendations for use of systemic steroids in the outpatient setting in those with acute bronchiolitis [143]. Its recommendation was based on three RCTs that showed benefit in reducing severity, reducing hospitalization, and decreasing symptom duration [158-160]. These recommendations differ the most recent Cochrane systematic review that showed no difference in outpatient admission or length of hospitalization compared to placebo. The review was performed in 2013 and included 17 trials with 2596 participants and included two of the three RCTs cited in the Hong Kong CPG [161]. Additionally, other guidelines published around the same time failed to recommend inhaled or systemic corticosteroids due to lack of evidence; both the SIGN and Australian HFK guidelines cited the 2004 Cochrane review, which showed no clinical benefit of systemic steroids in terms of length of stay or clinical score [162]. There is an obvious discrepancy in evidence interpretation, though it does appear that current evidence does not support routine use of corticosteroid monotherapy. There is, however, some evidence for the combined use of epinephrine (or adrenaline) and dexamethasone, giving nebulized epinephrine and oral dexamethasone [117, 134]. One large Canadian trial in 800 infants showed potential synergistic

properties of administering both therapies: unadjusted analysis revealed that infants in the epinephrine-dexamethasone group had a relative risk for hospitalization of 0.65 (95% confidence interval 0.45 to 0.95, p=0.02). However, after adjusting for multiple comparisons, the results were rendered insignificant [163]. More research is needed in determining risks and benefits, and dosing schedules before it can be recommended.

It is clear from this analysis that important differences in recommendations exist. The reason for this is likely multifactorial. This may be partly due to insufficient or incomplete evidence; for example, the current evidence for hypertonic saline is contradicting, so it is unsurprising that differences in evidence interpretation exist. Differences in date of publication will affect what evidence is included in forming the basis of the recommendations. Similarly, the method in which the evidence was searched will affect what studies are included in the guidelines. Many CPGs did not disclose the details of their literature search [see Table 2].

Limitations

This analysis had several limitations. Guidelines must be valid and periodically updated to provide clinicians with recommendations that reflect current evidence [164, 165]. As a general rule, guidelines should be reassessed every three years [166]. Eight of the 11 guidelines we included were published greater than three years ago, and a significant body of evidence has been published between 2006 and 2015 [117, 134]. It is likely that many of the studies in our review are not up to date and may not be valid. Further, comparing recommendations that are published at different timeframes may be inaccurate due to discrepancies in available evidence.

his review only considered guidelines published in English. This was a source of bias, as it limited the review to primarily Western studies. Management of bronchiolitis in Asia, South America, and much of Africa and continental Europe was not included. Thus, the studies included may not be an accurate representation of bronchiolitis diagnosis and management worldwide. A list of excluded non-English studies identified through systematic literature searching is included in **Appendix A**.

An assessment of guideline quality was not performed, as it was beyond the scope of this review. The potential benefit that a guideline can offer is dependent on the quality and rigour of its development. Though it is clear that the guidelines in this study had a wide range of methodological quality, this was not formally measured. Various instruments exist for the appraisal of guideline quality, including the AGREE II tool [167] and the iCAHE Guideline Quality Checklist [168], which could be the focus of future research.

Another limitation is that guidelines may not accurately represent clinical practice. CPGs serve as tools for clinicians to help guide management but are one of many resources that are available to stay up to date with current evidence. Guidelines do play a significant role [133, 169] but should not be interpreted as representing true clinical practice. Thus, the comparisons made in this review are comparisons of clinical guidelines and not of clinical practice.

Further research

Many aspects of bronchiolitis management that require further research. Recommendations were conflicting for bronchodilators, hypertonic saline, adrenaline, suctioning, and chest physiotherapy, so studies of higher quality are needed to determine their true role in clinical practice. Particularly, the benefit of combined epinephrine and dexamethasone is still uncertain and requires more research. These studies should use similar definitions and outcome measures to allow comparison between them. Research should focus on management in low-income countries, as this is where the highest mortality is [42]. Oxygen monitoring and administration are other issues that require research. The choice between intermittent versus continuous monitoring is controversial, and best practice is still unclear. There are many approaches to oxygen delivery, including high-flow nasal cannula and home oxygen, which require further study before recommendations can be made. The methodological quality was not formally assessed in this analysis but doing so would provide

helpful information when determining which guidelines were most valid. As previously mentioned,

the AGREE-II tool [167] and iCAHE Checklist [168] are evidence-based instruments that may be

used to appraise guidelines. CPGs should also be reviewed and updated regularly to ensure that up to date evidence is considered.

Given the large burden caused by RSV, prevention is a focus of on-going research and further research is needed in determining use of palivizumab, a monoclonal antibody, and development of an RSV vaccine.

Discussion

Despite fundamental advances in the research on RSV since its initial identification almost 60 years ago, recurring failures in developing vaccines and pharmacologic strategies effective in controlling the infection have allowed RSV to become a leading cause of global infant morbidity and mortality [47].

To date, immunologic research has focused mostly on foreign antigens as a source of immune recognition. However, it is now increasingly clear that along with well-established PAMPs, endogenous DAMPs also drive immune responses and that DAMP release may serve as a marker of disease progression and/or severity or dictate possible complications at later stages of infection [4]. One of the best-known examples of DAMPs, is HMGB1, first studied both for its role as a DNA-binding protein facilitating gene transcription and as a chemokine facilitating movement of immune cells to sites of infection or further activates other immune cells to secrete proinflammatory cytokines, aggravating the inflammatory response.

Since the temporal changes in systemic HMGB1 levels during the course of RSV infection have not been previously examined, we sought to establish whether an association of HMGB1 release with RSV infection progression existed and, if it did, whether this information could be used to define subsequent therapies. This study showed that HMGB1 expression increased both *in vitro* and *in vivo* as a function of RSV infection and its localization reflected different phases of the replicative cycle, which may allow the use of local or systemic levels as a biomarker of disease activity. More importantly, this was the first study indicating that HMGB1 synthesis is an essential

step of RSV replication. Consequently, selective inhibition of this protein in infected human bronchial epithelium drastically reduced the number of RSV-infected cells, thereby providing a novel therapeutic target for this common infection.

The mode of transmission of RSV infection has always been thought to be horizontal (interpersonal) and through direct contact with infected secretions. Previously, only the possibility of antenatal RSV sensitization has been investigated [51], showing that RSV-specific neutralizing antibodies are not only efficiently transferred via the placenta to the newborn [52], but also protect the newborn against RSV infection during the first months of life [53, 54]. Piedimonte et al. [3] published an animal study showing that also vertical transplacental transmission may occur. Herein, the reported case report is relevant in that it describes a neonatal case of human RSV infection consistent with vertical transmission from a previously infected mother to her unborn son. In this newborn with symptoms consistent with viral pneumonia since birth, microbiological tests revealed high serum titers of anti-RSV IgM, IgA, and IgG, as well as presence of RSV RNA in blood samples obtained with sterile procedure on the first day of life. Serologic tests for RSV were also positive in the mother and correlated with a history of respiratory symptoms during gestation in several members of the immediate family, suggesting an infectious etiology.

The possibility that RSV was transmitted vertically from mother to fetus led us to determine serologic evidence of anti-RSV immunity in fetal cord blood of offspring with a maternal history of respiratory illness occurring during the third trimester of pregnancy, and also characterized the postnatal clinical outcomes associated with RSV seropositivity. We showed new evidence of RSV seropositivity in newborns born to mothers with influenza-like symptoms during the third trimester of pregnancy. Maternal-to-fetal transfer of replicating RSV predisposes the offspring lungs to develop adverse pulmonary outcomes in the neonatal period. Indeed, we found that RSV seropositivity in the cord blood was associated with risk of pneumonia, RDS, and respiratory failure. However, more studies are needed to further define the direct and indirect effects of RSV infection occurring during intrauterine life, and its association with long-term respiratory sequelae.

Lastly, in light of the evidence of vertical RSV transmission as well as the detection of increased cord blood HMGB1 levels in newborns led us to investigate the potential relationship occurring between RSV seropositivity and HMGB1, revealing also in humans a significant correlation among them and suggesting that HMGB1 could be useful for its potential diagnostic and/or prognostic role in the prediction of the degree of disease severity.

The systematic review analysed the clinical practice guidelines for the diagnosis and management of bronchiolitis, noting consistencies and discrepancies between them.

The guidelines gave relatively similar recommendations with some notable exceptions.

Regarding diagnosis, bronchiolitis is a clinical diagnosis, and no diagnostic investigations were recommended.

It is clear from our analysis that important differences in recommended treatment exist. The reason for this is likely multifactorial. This may be partly due to insufficient or incomplete evidence. Differences in date of publication will affect what evidence is included in forming the basis of the recommendations. Similarly, the method in which the evidence was searched will affect what studies are included in the guidelines. More research is needed in determining risks and benefits, and dosing schedules before it can be recommended.

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Appendix A

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