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***Absence of Formyl Peptide Receptor (FPR)-1  
improves the outcome in mouse models  
of acute and chronic inflammation***

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## INTRODUCTION

Formyl peptide receptors (FPRs) are a family of G protein-coupled receptors, whose principal function is to detect the presence of pathogen-associated molecules or harmful endogenous ligands, including also typical biomarkers of immune activation and inflammation [1, 2]. In humans, the FPR family is constituted by FPR1, FPR2, and FPR3, all three functional receptors encoded by three genes, while in rodents, three genes encode functional receptors and six genes encode orphan receptors [3]. In particular, FPR1 was the first neutrophil chemoattractant receptor to be characterized biochemically [4] and has been shown to be a key player in innate immunity and host defence [5]. FPR1 is principally expressed on immune cells with chemotactic or phagocytic activity like monocytes, neutrophils and macrophages [6, 7]. Although FPR1 is expressed in many types of immune cells, studies on FPR1 functions mostly focus on neutrophils. In particular, once the receptor is activated, neutrophils migrate toward the site of injury, initiating the inflammatory response by releasing a number of proinflammatory mediators, thus amplifying the immune response [8]. Moreover, it is expressed also on non-phagocytic and non-mobile sentinel cells like endothelial cells, epithelial cells, neurons and glia [3, 9]. Additionally, FPRs family is able to bind several structurally distinct ligands including endogenous and bacterial derived peptides, lipids and small non-peptide molecules [2]. In details, the principal agonists of FPR1 are mitochondrial and pro-inflammatory bacterial N-formylpeptides, but also bind anti-inflammatory agonists lipoxin A4 (LXA4) and annexin-A1 (ANXA1) [5, 10]. These ligands for FPR1 were detected during inflammatory processes and may stimulates numerous responses such as phagocytosis, chemotactic migration, degranulation and free oxygen species production [11]. Furthermore, inflammatory cells expressing FPRs, once they are recruited at the lesion site, are activated and trigger multiple pathways, e.g., the increase of gene transcription, the assembly of intracellular pro-inflammatory complexes, and the release of reactive oxygen species (ROS) and nitric oxide [12].

FPRs represents a family of interesting pharmacological targets for the management of organ-specific or systemic inflammatory conditions [11, 13, 14], such as arthritis, inflammatory lung and inflammatory bowel disease, ischemia-reperfusion (I/R) injury, sepsis, and wound healing. High levels of FPR expression also has found in the central (CNS) and peripheral (PNS) nervous system [15, 16]; in particular their implication in the process of neurogenesis and neuronal differentiation. Thus, FPRs may exert a distinctive role in the response to pathogens because they signal at two levels; firstly at the level of CNS to alert the host of dangers and secondly at the level of the immune system by starting a protective inflammatory response [7].

Despite promising findings from in vitro studies, at present, there has been few animal experimentation targeting FPR pathway for inflammatory resolution or neuronal regeneration. In recent years, the critical roles of FPR receptors in disease progression are increasingly recognized, thanks also to the availability of genetically engineered mouse strains deficient in one or more Fprs [6]. Studies with Fpr knockout (KO) mice had been demonstrated that deletion of the Fpr gene reduced tissue damage and inflammation in several experimental models, such as endometriosis, colitis and depression [17-19].

Therefore, targeting FPRs has strong potential, and once supported by a greater knowledge of the functional role of FPR receptors, may bring about novel options in treatment of acute and chronic inflammation.

## **CHAPTER 1: N-formyl peptide receptors (FPRs)**

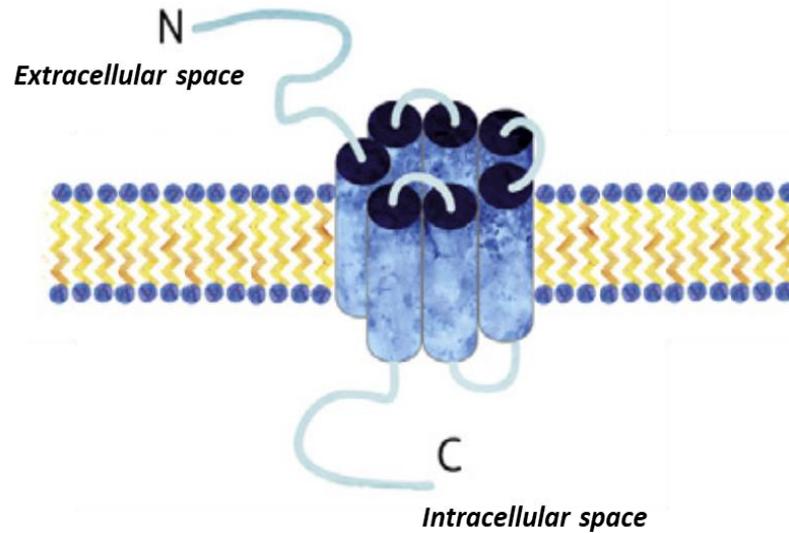
### **1.1 Introduction**

FPRs are a family of chemoattractant receptors (GPCRs) coupled to G-protein and play a critical role on host defence and modulation of inflammation [1]. This family of receptors is so-called since initial studies identified the chemotaxis activity of receptor-mediated neutrophil, induced by peptides containing formylmethionine [20, 21]. Initially, the researchers hypothesized that the presence of a formylmethionine group in ligand was an essential factor binding to FPR receptors. Since the natural sources of these peptides are derived from bacterial or mitochondrial proteins, it was identified that FPRs evolved to promote the recruitment of phagocytic leukocytes to loci of infection or damage [22]. When it was discovered that these formylated molecules might derive from both bacterial and endogenous mitochondrial fonts, important evidence was supplied for the endosymbiotic theory of mitochondrial evolution from primitive bacteria [23]. This was further confirmed when endogenous formylated peptides emitted from the mitochondria of necrotic cells were shown to stimulate the recruitment of monocytes that contribute to inflammatory process through interaction with FPR receptors [24]. Additionally to cell chemotaxis, some researchers [20] stated that FPR activation also induced the release of lysosomal enzymes by phagocytic cells in pathologic site, further facilitating the removal of pathogens and damaged tissue detritus. Later, investigators showed that myeloid FPR activation induced pro-inflammatory cytokines and superoxide production [25, 26]. Recently, biological functions of the FPR family have extended to non-myeloid settings, where additional roles from tissue homeostasis to inflammatory responses have been identified [1], indicating the adaptive nature of FPR receptors, and their distinct functionality relative to the cellular context in which they express themselves.

## 1.2 N-Formyl Peptide Receptor Family

In humans, FPR family comprises three members: FPR1, FPR2, and FPR3, which all recognise a wide range of endogenous and exogenous ligands [27, 28]. The activation of FPRs receptors causes their homo- or hetero-dimerization, which in turn depends on the exact ligand to which they bind [29, 30]. In this way, FPRs can have both pro and anti-inflammatory properties on immune cells. In rodents, FPR (mFPR or Fpr) family consists of at least 8 gene including Fpr1, Fpr2, Fpr-rs1, Fpr-rs3, Fpr-rs4, Fpr-rs5, Fpr-rs6, and Fpr-rs7 [31]. Fpr1 is considered as an orthologue of human FPR1, while Fpr2 is structurally and functionally similar to human FPR2 [32]. The mouse equivalent of human FPR3 is not well described. Since Fpr2 shares a human ligand FPR3 [33], it was proposed that Fpr2 act as a counterpart of both FPR2 and FPR3. The other 6 murine Fpr genes are expressed in leukocytes, but the identity of their encoded receptors remain unknown [26].

FPRs are protein molecules consisted of residues of 350 or 351 aminoacids [34]. They have similarity in the structure of the membrane: seven transmembrane domains connected via three extracellular and three intracellular loops, the N\_terminal end directed into the extracellular space and the C\_terminus into the cytoplasm (Fig.1). The extracellular domains are responsible primarily for detection ligands and their access to the structural core. The structural core, consisting of seven transmembrane domains, binds the ligands and transfers a signal into cell due to its conformational changes. Intracellular domains bind to G\_proteins, arrestins, receptor kinases coupled with G-proteins, and other systems of the cytoplasm [35]. FPR1 is the first neutrophil GPCR to be identified, cloned and sequenced [36]. All three receptors are clustered on chromosome 19q13.3 and share important homology of the sequence [31]. FPR1 shares 69% of identity of the aminoacids with FPR2 and 56% with FPR3, while FPR2 and FPR3 share 83% of the identity [5].



**Figure 1.** *Structure of FPRs*

### 1.3 Ligands for FPRs

FPRs are distinguished for their promiscuity; indeed, they are able to bind a large number of distinct ligands including endogenous and bacterial derived peptides, lipids and small non-peptide molecules. This unusual ligand diversity has resulted in the FPRs being labelled as pattern recognition receptors [3].

FPR1 and FPR2 were initially identified due to their capacity to recognize N-formyl-methionine and other oligopeptides, of which the most studied is N-formyl-methionine-leucyl-phenylalanine (fMLF) [34]. Formylated peptides are the products of degradation of bacterial or the organism's own mitochondrial proteins and serve as strong chemoattractants. FPR1 or FPR2 activation by chemotactic agonists provokes a cascade of signalling events leading to cell migration, increased phagocytosis, release of mediators and new gene transcription [6, 37]. Depending on the concentration, formylated peptides activate various phagocytic processes, such as chemotaxis, secretory degranulation, and respiratory burst; all these reactions being necessary for defence against bacterial infections. Formylated peptides are the main agonists of FPR1; besides, FPR1 can bind with endogenous proteins such as cathepsin G [38]. In addition to bacteria-derived formyl peptides, mitochondria also contain

formyl peptides that can be released upon pathological injury. The mitochondrial formyl peptides act as mitochondrial DAMPs. They are recognized by FPR1 and lead to the activation of neutrophils and subsequent migration of the cells into the injured area [39]. During cell death or tissue damage, diverse DAMPs are released into environment. A well-characterized DAMP is cathelicidin. It contains a C-terminal cationic antimicrobial domain and mature peptides show very potent antimicrobial activity [40].

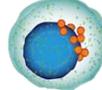
Formylated peptides also bind to FPR2, but with lower affinity. FPR2 receptors can bind to various ligands including serum amyloid A (SAA) and LXA4 [37]. Specifically, these ligand-specific interactions cause either proinflammatory or anti-inflammatory effects [41, 42], which is important to prevent the evolution of inflammatory process into chronic form. For example, ANXA1 and LXA4 trigger FPR2 activation to inhibit leucocyte recruitment, enhance apoptosis of neutrophils and stimulate efferocytosis of macrophages [43, 44]. On contrary, once bind to FPR2, SSA induce proinflammatory responses and migration of neutrophils at inflammatory sites [45].

The function of FPR3 receptor remains poorly understood compared to that of other members of the FPR family. FPR3 is highly phosphorylated (signal for inactivation and internalization of the receptor) and mainly localized into small intracellular vesicles [46], in contrast to its counterpart receptors located on the cell surface. This indicates that after binding its ligands, FPR3 easily internalizes and thus can act as a "decoy" receptor to minimize the binding of its agonists to other receptors [6]. It is noteworthy that FPR3 does not bind formylated chemoattract peptides and nor shares ligands with FPR1 and FPR2. Few specific ligands have been identified; in particular F2L is the most specific ligand for FPR3 [47] but its biological role in vivo is still to be identified. Therefore, FPR3 might have its own unique and specific function.

#### 1.4 Expression of FPRs in immune and non-immune cells

FPRs are primarily expressed in leukocytes (Fig. 2) including neutrophils, monocytes/macrophages, natural killer (NK) cells, and dendritic cells (DCs) [6, 31, 36]. Human neutrophils express FPR1 and FPR2, but not FPR3 [38]. In neutrophils, the activation of FPRs causes chemotactic migration of the cells, phagocytic activity and produces ROS, which increase innate defence activity [31, 36]. Human monocytes, macrophages and NK cells express all three receptors [38]. In monocytes and macrophages, activation of FPRs also induces chemotactic migration of the cells, and regulates defence activity against invading pathogens. NK cells activated by FPR agonists produce interferon-gamma (IFN- $\gamma$ ) and show cytolytic activity, suggesting potential functional roles in anti-tumour or viral activity [48].

Immature DCs express FPR1 and FPR3, while mature DCs express FPR3, but not FPR1 and FPR2 [49]. In addition to innate immune cells, adaptive immune cells express FPRs [50]. In details, recently, FPR2 has recently been observed in naive CD4<sup>+</sup> T cells, human tonsillar follicular helper T cells, Th1 cells, Th2 cells, and Th17 cells [50, 51].

 Neutrophil	 Monocyte	 Macrophage	 Natural killer cell	 Dendritic cell
FPR1 FPR2	FPR1 FPR2 FPR3			FPR3

**Figure 2.** *FPRs in immune cells*

Notably, FPRs are also expressed in a several non-immune cells (Table 1) suggesting a wider range of biological function of these receptors. Endothelial cells and progenitor endothelial cells express FPR2 [52, 53], as do synovial fibroblasts [54] and keratinocytes [55]. The latter mediate cell proliferation and proinflammatory response. FPRs are expressed also in

intestinal epithelial cells [56], as do in glial cells [57], which function similar to macrophages in the brain. In details, FPR1 is most highly expressed in cells of immune system and bone marrow, although it abundantly expressed also in cells of lungs, brain, and gastrointestinal tract [9]. FPR2 tends to be ubiquitously expressed compared to the others receptors. The expression is predominantly found in cells of immune system, bone marrow, GI tract, female organ tissues, and endocrine glands, but lower levels of expression were also found in cells of brain, liver, gallbladder, and pancreas [9]. FPR receptors also expressed in some malignant human tumours cells and respond to bacterial or endogenous agonists by increased growth and motility. For example, FPRs expressed by human gastric cancer cells facilitate epithelial-mesenchymal transition, migration, cell proliferation and resistance to apoptosis [58]. Besides their expression in various types of cells, FPRs are known for promiscuity of ligand, by interacting with both damage-associated chemotactic molecular patterns (DAMPs) and pathogen-associated chemotactic molecular patterns (PAMPs).

Cells	FPR1	FPR2	FPR3
Endothelial cells	X	X	Not clear
Endothelial progenitor cells		X	
Intestinal epithelial cells	X	X	
Keratinocytes	X	X	
Hepatocytes		X	
Mesenchymal stem cells (MSCs)		X	
Synovial Fibroblasts		X	
Glial cells	X	X	

**Table1.** FPRs in non-immune cells

Summing up briefly, the expression of FPR receptors is highest in sentinel innate cells with chemotactic or phagocytic activities such as neutrophils, monocytes, macrophages and dendritic cells. However, FPRs are also expressed in non-phagocytic and “immobile” sentinel cells such as endothelial cells, mucosal epithelial cells and glia. In all these cells, FPRs exert a “sentinel role” by sensing pathogens present in the microenvironment and by favouring repair upon inflammation and damage.

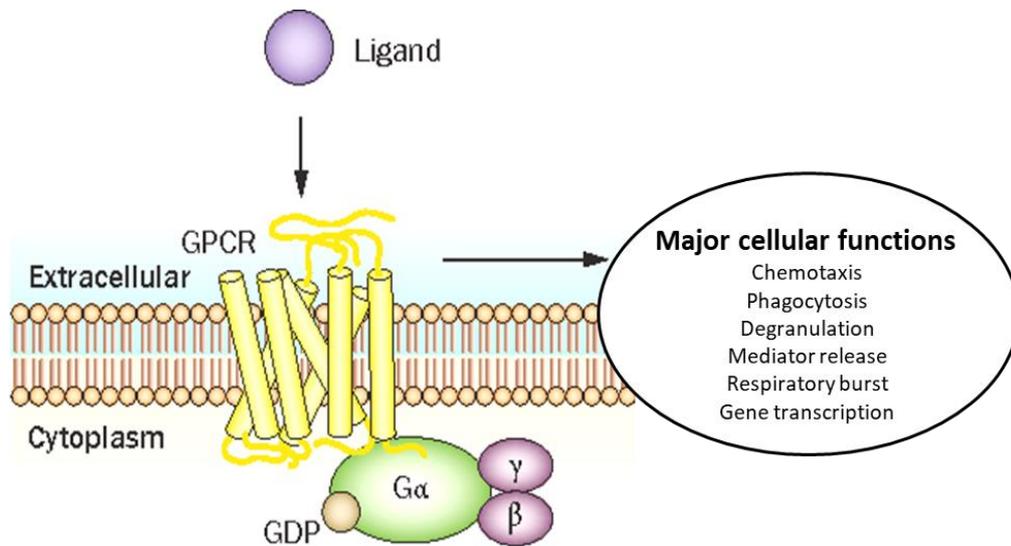
### **1.5 FPRs in the inflammatory response**

The family of FPR has evolved as chemoattractant receptors that help the host in countering bacterial infections, by facilitating the migration of phagocytes into site of bacterial invasion [14]. FPRs signalling has been described to modulate the survival and the phagocytic activity of infiltrating cells [13]. During an acute inflammatory response, leukocytes migrate towards an increasing concentration gradient (range from nM to  $\mu$ M concentrations) of chemotactic factors [14]. In addition, the discovery of FPRs in several cell types and tissues, besides to immune system cells, and the existence of several endogenous FPR ligands contributed to a wider view of FPR functions. Therefore, there is accumulating evidence that FPRs have distinctly different functions beyond simple pathogen recognition.

Studies with cultured cells, preclinical models and clinical samples have positioned FPR receptors at pivotal checkpoints from initiation of the inflammatory response to return to homeostasis (Fig. 3). FPR ligands are ubiquitous in the context of inflammation and act as danger signals [13]. Not surprisingly, they control all phases of the inflammatory response starting from sensing chemotactic signals up to tissue repair, by modulating leukocyte migration and clearance inflammatory cells. There have been detailed studies on the expression of FPRs in human cells and tissues. Indeed, immunoactivity of FPRs was observed in fibroblasts, hepatocytes, astrocytes, autonomic nervous system neurons, lung, endothelial cells, testis, uterus, ovary, kidney, stomach and colon [8, 59-61]. Inflammatory

disorders, amyloidosis, Alzheimer's disease, prion disease, diabetes and obesity are critically influenced by FPRs [62]. Additionally, the capacity of FPR receptors to recognise endogenous ligands seems to be fundamental for the regulation of non-infectious inflammation and regeneration of tissue [14].

While the studies addressing the function of FPRs have largely been conducted with experimental models, a growing number of clinical studies reported close association of altered patterns of expression of FPRs or some of their ligands with human diseases [13]. These observations are important due to their potential causal or diagnostic significance and pave the way for the development of new therapies. In context of diagnostic biomarkers, elevated SSA levels were recognized as prognostic marker for acute coronary artery disease, rheumatoid arthritis and acute chronic obstructive pulmonary disease (COPD) [13, 45, 63, 64]. Another example is the presence in peripheral blood of elevated levels of FPR1 mRNA, which could be used as a biomarker for small cell and non-small cell lung cancers [65]. The therapeutic potential of FPR family has been recognized for some time, however the wide variety of their ligands makes it difficult to develop therapeutic approaches as indicated by preclinical study results. The most rewarding avenue to pursue can be arguably the development of compounds that can counteract the actions of proinflammatory ligands without impairing host defence or resolution programs, or even stimulating resolution mechanisms.



**Figure 3.** *Main inflammatory responses*

### 1.6 Focus on FPR1

Among the three members known in humans, most studies focused on FPR1. As said previously, these receptors are expressed by immune cells and transduce chemotactic signals to trigger cell migration, angiogenesis and generation of ROS as well as tissue repair [66]. FPR1 has an ambivalent role in pathogenic processes [67]. In some diseases, FPR1 has a positive effect. For example, in the case of infections by *Escherichia coli* or *Listeria monocytogenes* in mice, a genetic deficiency of Fpr-1 compromises pathogen elimination, increasing therefore mortality. Indeed, during bacterial infection, FPRs induce migration of phagocytic cells towards the site of infection and promotes the elimination of pathogens [68, 69]. In cancers that develop on Fpr-1 KO mice, the recruitment of DCs in the tumour bed (not neutrophils, as in many other circumstances) is reduced in response to chemotherapy, thus compromising the antitumor immune response needed to be effective in chemotherapy [70].

FPR1 has several endogenous ligands including ANXA1, cathepsin G (CTSG) and N-formylated peptides contained in mitochondria. In vivo experiments revealed that only the deletion of the gene coding for ANXA1 compromised the capacity of dying cancer cells to

induce anticancer immune responses [67]. Thus, ANXA1 appears to be the ligand of FPR1 relevant of cancer immunosurveillance.

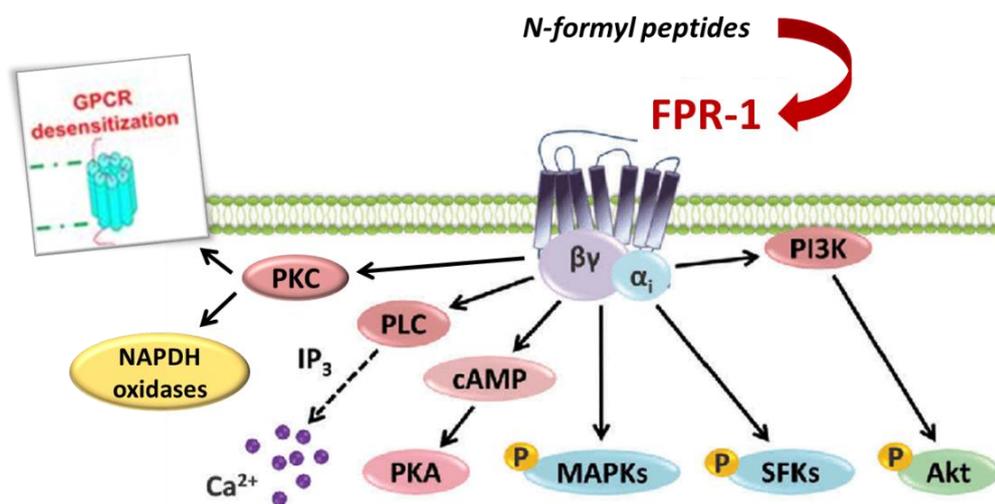
In sharp contrast, FPR1 has negative effects in many other diseases, suggesting its neutralization might be considered as a potential therapeutic intervention. For example, Fpr-1 KO mice are protected from *Yersinia pestis* infection, in line with the finding that FPR1 acts as the receptor for these pathogens, which represent the causative agent of human plague [71]. Moreover, Fpr-1 KO mice subjected to I/R injury to heart showed inflammation reduction, apoptosis of cardiomyocytes and ventricular remodelling, accompanied by the inhibition of the mitogen-activated protein kinases (MAPK) pathway [72]. Similarly, FPR1 plays a negative role in celiac disease, a highly prevalent autoimmune condition that can be attenuated but not cured by a gluten-free diet. FPR1 promotes migration of neutrophils towards the gut after exposure to gliadin (gluten pathogenic component) [73]. Acute endotoxin-induced lung injury is also attenuated in Fpr-1 KO mice associated with reduced local neutrophils recruitment [74]. At the same way, Fpr-1 KO mice are protected from cigarette smoking-induced lung emphysema, accompanied with a significant reduction in migration of neutrophils and macrophages to the lung after smoke exposure [75]. Indeed, patients with COPD show elevated expression of FPR1 on peripheral neutrophils and in bronchoalveolar fluids. Finally, Fpr-1 KO mice did not develop pulmonary fibrosis after intratracheal bleomycin injection and failed to recruit neutrophils into the impaired lungs [66].

### **1.6.1 FPR1 signal transduction**

The expression of FPR1 receptor, expressed constitutively on surface of quiescent neutrophil, is rapidly up-regulated in response to a large range of proinflammatory stimuli. In response to these stimuli, specific receptors on the neutrophil surface are activated to trigger several downstream signalling pathways. For example, FMLP, a strong chemoattractant that induces neutrophil activation, can bind to FPR1 [26]. After binding, Gi-

type G-protein is activated, following which the conversion of GDP to GTP induces the dissociation of  $\alpha$ -subunits from  $\beta\delta$ -subunits. Later,  $\beta\delta$ -subunits activate both the phosphoinositide 3-kinase (PI3K) gamma and phospholipase C (PLC) beta signalling cascades. The latter are involved in the release of intracellular calcium stores. As the  $\beta\delta$ -subunits activate and pull PI3K gamma toward the plasma membrane, the activities of Src-like tyrosine kinases are increased, further triggering MAPK signalling pathways. p38 MAPKs and Erk principally influence chemotaxis and FPR1-mediated transcriptional activity [76]. Chemoattractants also activate protein kinase C and trigger the assembly of NADPH oxidases to produce ROS. Moreover, cAMP is a vital secondary messenger for several cellular physiological functions. It can down-regulate immune responses, such as respiratory burst and degranulation, particularly in FMLP-activated neutrophils [77]. Raf serine/threonine kinases are major signal transducers of diverse extracellular stimuli that activate the MAPK signaling pathways. The receptor-mediated activation of the small GTPase Ras recruits Raf to the plasma membrane where Raf kinase activity is regulated [78]. The Raf-MEK-Erk signaling pathway is a protein kinase cascade that regulates cell growth, proliferation, and differentiation in response to growth factors, cytokines, and hormones [79].

Another important feature of the receptor is its desensitization upon ligand stimulation, preventing further stimulation [80]. When FPR1 is activated by a cognate ligand, it is phosphorylated by GPCR kinases, inducing the linking of arrestin molecules, which prevent further binding to G proteins, leading to its inactivation and internalization. The important inflammatory actions initiated by activation of FPR1, such as chemotaxis, ROS production and granule release, are underscored by its high expression in myeloid cells as neutrophils, monocytes and macrophages [81].



**Figure 4.** *FPR-1* signal transduction

### 1.6.2 Mouse *Fpr-1* Receptors

FPR1 has been identified in several species, like horse, rabbits, and rodents, with substantial differences in functional responses to formylated peptides [31]. In comparison to the three FPRs described in humans, the mouse genome encodes multiple FPR receptors from chromosome 17A3.2 [82]. *Fpr1* is the murine orthologue of human FPR1, sharing 77% homology, expression on similar cell types, and induction of the same effects of neutrophil chemotaxis, degranulation, cytokine production and phagocytosis [82]. Despite the relatively high sequence homology of FPR1 between mice and humans, and the structure of the intracellular domain is highly conserved, there are distinct differences in the affinity of murine *Fpr1* for fMLF, which is around 100 times less than that of its human counterpart [83]. This difference probably is attributed to modifications in the folding of the transmembrane and extracellular domains, as determined by the apposition of multiple non-contiguous residues [84]. While differences in the affinity of a receptor to prototypic *E. coli* derived fMLF are defined, it should be noted that murine *Fpr1* remains a high-affinity receptor for other bacterial formylated peptides, in particular to *Listeria monocytogenes*, *Staphylococcus aureus* and mitochondria-derived formylated peptides [83]. Although knowledge of such differences is necessary, inferences from mouse disease models remain

valid and have significantly advanced our understanding of FPR biology and neutrophil function in both the physiological and pathophysiological states to date.

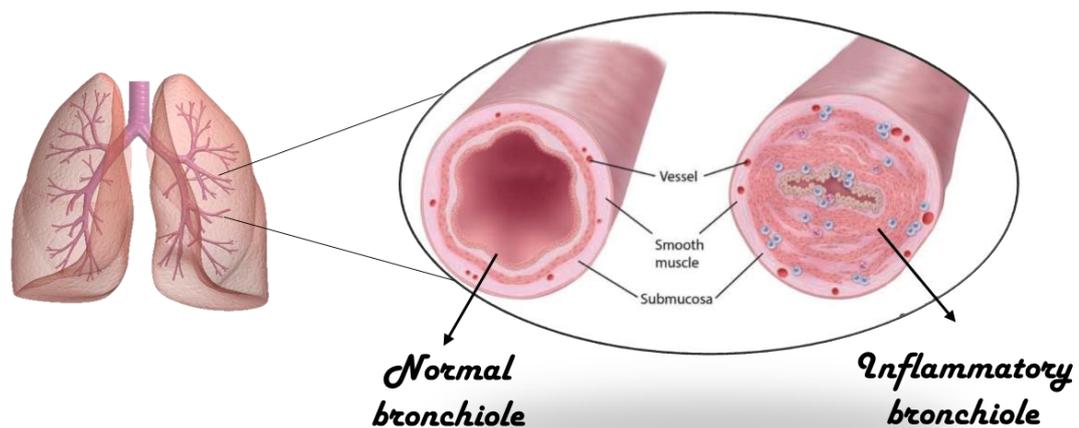
## **CHAPTER 2: Bronchiolitis obliterans (BO)**

### **2.1 Introduction**

Bronchiolitis obliterans (BO) is a chronic irreversible obstructive pulmonary disease which results in obstruction of small airways [85]. Three main BO entities are distinguished: post infectious BO (PIBO), BO post lung transplantation, and BO after bone marrow transplantation (BMT) or hematopoietic stem cell transplantation (HSCT). All three entities are distinct, but exhibit similar histopathological features and development pathways [86]. BO is characterized by inflammation and fibrosis of the terminal and respiratory bronchioles leading to narrowing and/or total obliteration of the airway lumen following injury to the lower respiratory tract [86]. Depending on whether or not the fibrous proliferation is concentric or eccentric, the small airways can be partially or fully obstructed and the damage may extend beyond the epithelium and into the submucosa and/or adventitia [87].

There has been many confusion about the term bronchiolitis obliterans. The first descriptions on the characteristics of the BO coincide with what is today called bronchiolitis obliterans organizing pneumonia (BOOP) [88, 89]. Although the very similar terminology of BO and BOOP, these disease have very different histopathology. BOOP is a distinct histopathologic disease with bronchiolar intraluminal polyps consisting of fibroblasts rich in mucopolysaccharides that may extend into alveoli and alveolar ducts, contributing to organizing pneumonia. In fact, bronchiole involvement can be relatively minor [90]. Unlike BOOP, BO is a uniquely bronchiolar disease, lung parenchyma or the distal alveoli are not affected [91]. Instead, the bronchiolar submucosa is circumferentially thickened by collagenous scarring. This results in alteration of the airway structure and lumen narrowing. Additionally, Myers and Colby [92] suggested that BO is divided in two categories: proliferative and constrictive. Differentiation between the two categories was felt to be of clinical significance. The proliferative BO is characterized by obstruction of lumen of airways by polyps of granulation tissue. The constrictive form is characterized by

peribronchial fibrosis and partial or complete narrowing of lumen [86]. Bronchiolitis obliterans syndrome (BOS) refers to a form of constrictive bronchiolitis seen in transplant recipients, mainly lung or hematopoietic cell transplants [93, 94]. More recently, BOs has gained prominence as the principal cause of lung transplant failure beyond the immediate post-transplant period.



**Figure 5.** Airway lumen in normal condition and during BOS

## 2.2 Etiology, epidemiology and incidence

The etiology is often not determined with certainty, perhaps due to the fact that BO can be diagnosed well after the acute phase of disease. Infection remains the most commonly identified etiology of non-transplant BO, with adenovirus being the most prevalent infectious agent [95, 96], followed by *Mycoplasma pneumoniae* [97]. Currently, the most common cause of BO is post-transplant BO, particularly after lung transplantation [98]. However, it has been identified several risk factors which predispose BO to develop more rapidly. Main among these is the occurrence of acute rejection [86].

The incidence of nontransplant BO is difficult to estimate due to its rarity. However, in a large retrospective review shown a prevalence in this selected sample of 0.6%. By contrast, post-transplant BO is relatively common and accounts for the majority of lung graft failures

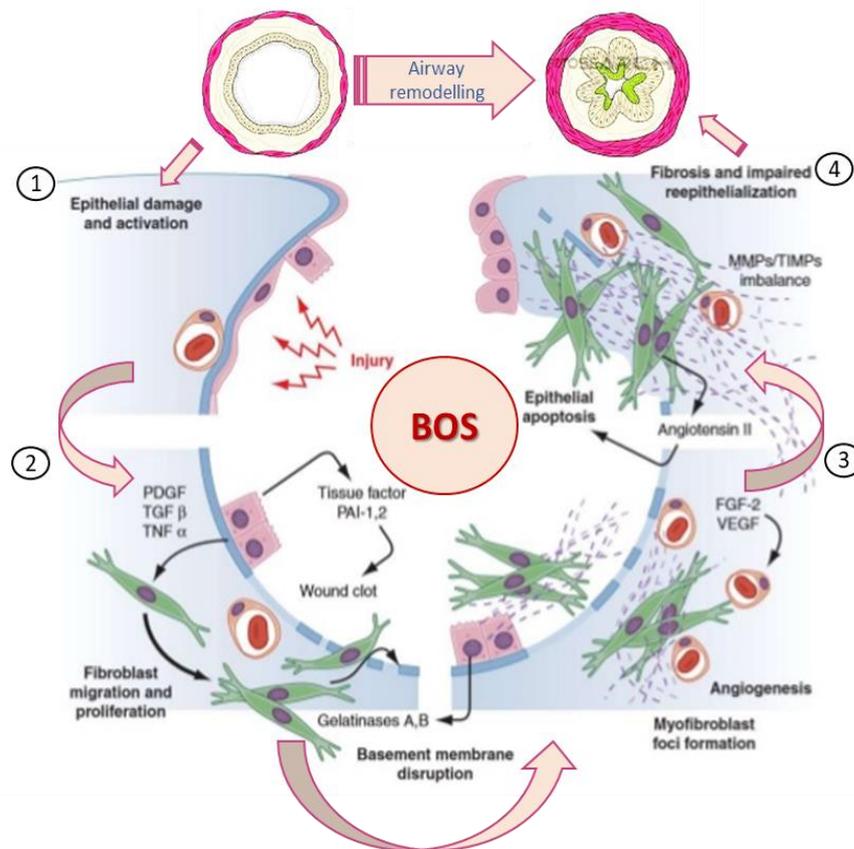
outside of the immediate post-transplant recovery period [98]. Long-term survival of lung transplant patients is lower than for other solid organs transplantations, with five-year survival of about 50 percent. BOS remains the mainly reason of death after 1 year post-transplant (approximately 40% of paediatric deaths and 27% of adult deaths), but not only, it often affects more of 50% recipients within 5 years of transplantation [99].

### **2.3 Pathophysiology**

The pathogenesis of BO has not been completely understood. Since BO is a common cause of death after lung transplantation, a significant amount of effort has been expended trying to understand the pathogenesis of BO. BOS in lung transplant patients is characterized as a persistent reduction in forced expiratory volume in one second (FEV1), attributable to a combination of lung injury and chronic immune rejection of the transplant [100]. BO can be an injury response of repeated or chronic insults to the airway epithelium [101, 102]. Damage of epithelium seems important for development of pathology. Indeed, marked lymphocytic infiltration with loss of epithelium precedes complete fibrous obliteration of the graft airway, in a heterotopic tracheal allograft rat model [103]. Reseeding the grafts with epithelial cells significantly mitigates the obstructive changes, emphasizing the importance of disruption of epithelial layer to the development of BO [104]. In an interesting animal study, progression to BO was prevented by reepithelialization of the donor graft with recipient-derived epithelium, reinforcing the hypothesis that immune-mediated injury to the epithelium is key to BO development [105, 106]. Epithelial damage with a loss of integrity of the basement membrane could allow access to the mucosa of the airway by lymphocytes. Indeed, in the majority of biopsies of post-infectious BO was detect rises in both CD4 and CD8 lymphocytes [107]. Infiltrating CD4+ and CD8+ T-lymphocytes release a variety of inflammatory cytokines, notably Th1 cytokines such as interferon- $\gamma$  and interleukin-2 (IL-2) [108]. As part of this inflammatory response, fibroblasts and myofibroblasts lay down collagenous scar tissue as reported by increases in profibrotic factors (24) and procollagen I

and III mRNA in BO animal models [109]. The accumulation of fibroblasts and myofibroblasts together to collagen deposition results in granulation tissue and eventually a fibrous scar that obstructs bronchioles (Fig. 6).

Recently there has been recognition of the importance of microvascular changes in BO development. Angiogenesis and vascular remodelling are critical aspects of the fibroproliferative process [110]. Additionally, transplant patients with BO show significant increases in airway microvascular vessel counts as opposed to patients without BO [111]. In rat tracheal allografts, overexpression of vascular endothelial growth factor (VEGF) results in luminal occlusion. This obstructive mechanism appears to operate through platelet-derived growth factor (PDGF) as inhibition of this pathway with imatinib (a PDGF tyrosine kinase inhibitor) prevents luminal occlusion [112]. However, the exact role of VEGF in human BO pathology still remains unclear. The etiology of BO in non-transplant patients is less clear but, similar to the post-transplant situation, is likely the result of a chronic inflammatory insult to the airway epithelium. Comparing an animal model of toxin-induced BO to a tracheal transplant model, both groups of animals show similar increases in cytokines including TGF- $\beta$  and interferon- $\gamma$  [113]. The histology of the BO is identical in the two models. However, the rapid increase in osteopontin seen after toxicant injury but not seen in the transplant model suggests that although the mechanisms leading to BO are similar, they are not necessarily identical. Instead, BO probably represents a “final common pathway” following severe and/or repeated airway injury [114]. This injury induces a primarily lymphocytic, T-cell-mediated inflammatory response that includes fibroproliferation leading to the classic circumferential scar formation and progressive obliteration of the airway lumen [107].



**Figure 6.** Pathophysiology of BOS

## 2.4 Pharmacotherapy

### 2.4.1 Non-Transplant Bronchiolitis Obliterans

The natural history of nontransplant BO is difficult to determine due to the varied etiologies and to relatively small numbers of affected patients. The most common form of nontransplant BO is post-infectious BO. No specific treatment exists for post-infectious BO. The use of both inhaled and oral corticosteroids is controversial. In some patients have been documented benefits, but lung inflammation can continue despite using on the steroids [115]. The use of bronchodilators also may be controversial in a pathology characterized as irreversible airway obstruction. However, regular use of bronchodilators can be helpful in some patients [116]. Patients with severe obstruction, hypoxia, and functional impairment may require lung transplantation.

The most common collagenvascular disease leading to BO is rheumatoid arthritis (RA) [117]. It is often unclear whether this is a direct result of RA or a result of the drugs used to

treat it, such as penicillamine or gold salts. Unlike post-infectious BO, which can stabilize or even have some remission, most patients with RA-associated BO die within 1 to 5 years [118, 119]. Treatment with corticosteroids or other immunosuppressive agents has been disappointing. A small clinical trial showed daily use of erythromycin relieve or stabilize the symptoms [120].

#### ***2.4.2 Post-Transplant Bronchiolitis Obliterans***

The greatest challenge in treating of BO was the attempt to halt its development, especially in patients receiving lung transplants. Despite improvements in immediate survival after transplantation, the ability to either prevent BO or slow down the development of BO was only marginal success. When BOS is diagnosed, the mainstay of therapy is optimization of the immunosuppressive treatment of the patient. More intensive immunosuppression carries important risks, like infection and malignancy. The traditional immunosuppressive regimen has consisted in cyclosporine, azathioprine and corticosteroids [121].

There has been evidence that tacrolimus and mycophenolate mofetil (MMF) may provide superior prevention and treatment of BO in lung-transplant patients. Tacrolimus is a calcineurin inhibitor similar to cyclosporine. It acts to inhibit lymphokine production by helper and cytotoxic T-lymphocytes [122]. Tacrolimus presumably affects BO by more effectively regulating the inflammation of the graft, which is the causal event that leads to BO. In vitro studies on cultured CD4+ T-lymphocytes show that tacrolimus is at least 100 times more potent than cyclosporine in inhibiting cytokine secretion [123]. Early prospective clinical trials in humans comparing tacrolimus to cyclosporine as part of post-lung transplant maintenance therapy demonstrated fewer episodes of acute rejection and development of BO [123]. The data supporting the superiority of tacrolimus (vs cyclosporine) is sufficiently convincing to prompt a strong trend among lung-transplant centers toward the use of tacrolimus as part of the post-transplant maintenance immunosuppressive therapy [94].

In addition, observational data from the Registry of the International Society for Heart and Lung Transplantation shows that the combination of tacrolimus and MMF has the lowest overall rate of rejection [121]. MMF is a purine synthesis antagonist (inosine monophosphate dehydrogenase inhibitor) that suppresses proliferation of T- and B-cells [124]. In prospective studies evaluating MMF versus azathioprine as part of maintenance immunosuppressive therapy, no substantial differences were noted in two different groups regarding the frequency of acute rejection or the progression of BO up to 3 years of follow-up [125]. However, MMF does appear better tolerated by patients than azathioprine. MMF has the lowest risk of acute rejection episodes in association with tacrolimus and has become the preferred purine synthesis antagonist (MMF: 46% of lung transplant patients at 1 year; azathioprine: 30%) [121].

Numerous other agents have been identified as treatments for BO. Steroids have always had a role in treat post-transplant BO, including high-dose pulse methylprednisolone and inhaled fluticasone propionate. Corticosteroids should be given early while the disease process is in the developing phase before airway fibrosis is complete [121, 126].

Inhaled therapies allow to delivering high doses of drugs to the airway while reducing systemic absorption and side effects. Currently, inhaled steroids are not recommended routinely but can be useful in individual patients. Other inhaled medications being explored include inhaled cyclosporine [127]. In a randomized controlled study of aerosolized cyclosporine started within 6 weeks of lung transplantation, significant improvements in overall survival and BOS-free survival were noted [128]. To confirm the benefits of inhaled cyclosporine, further trials involving a greater number of patients are clearly needed.

Additionally, it has been demonstrated that macrolide antibiotics have anti-inflammatory properties, which have been useful in the treatment of diffuse panbronchiolitis and cystic fibrosis. Recent trials of azithromycin in post-transplant patients have suggested similar

benefits. Azithromycin improves FEV1 and decreases airway neutrophilia in patients with reversible neutrophilic inflammation [129, 130].

Development of bronchiolar fibrosis is an important part of the pathology of BO. Antifibrotic drugs carry the promise to curb this feature of BO. The antifibrotic drug pirfenidone was able to prevent the onset of obstructive airway changes in a mouse model of BO and may serve as a model for future drugs development in humans [131]. The mammalian target of rapamycin (mTOR) is a downstream protein kinase of the PI3K–Akt signalling pathway [132]. mTOR may drive or contribute to fibromuscular proliferation. Antagonism of mTOR with medications such as sirolimus and everolimus may be beneficial. A pilot study of post-transplant BO patients added sirolimus to a calcineurin inhibitor and prednisone and demonstrated stabilization of pulmonary function but at the cost of significant adverse effects [133]. Everolimus used for maintenance therapy instead of azathioprine results in less episodes of acute rejection and BOS at 12 months post-transplant. At 24 months posttransplant, the BOS effect is lost and only the decline in acute rejection remains [134]. Similar to sirolimus, the side effects of the drug are substantial. The dosing and indications for the use of sirolimus and everolimus need to be more fully explored before they may become part of routine treatment.

### ***2.3.3 Alternative strategies***

Unfortunately, some patients have little or no response to conventional therapy and there is a need for alternative strategies; one such approach is extracorporeal photopheresis (ECP). ECP involves removing leukocytes from the blood, treating them with ultraviolet radiation in the presence of 8-methoxypsoralen (8-MOP), and returning the leukocytes to circulation. Although the exact mechanism are unclear, the process appears to preferentially induce apoptosis of activated immune cells, which are phagocytized after reinfusion, generate tolerogenic antigen presenting cells, and expand regulatory T cells [135]. A recent meta-analysis of non-invasive BO therapies found evidence that association of ECP with

established immunosuppression can stabilize lung function better than only standard therapy [94].

Total lymphoid irradiation (TLI) is another form of intensive immunosuppression typically reserved for patients with rapidly progressing disease, as it causes important side effects like pancytopenia and infection. The procedure entails irradiation of the major lymphatic beds of the body, thus supplying intense and unspecific immunosuppression [136]. Initial experience with this technology showed promising results in patients with cardiac and renal transplants. Some reports suggest that TLI reduces the rate of decline in lung function in patients with progressive BOS [87].

For patients who fail the therapies discussed above, retransplantation remains the only effective therapy. Given the paucity of suitable lung donors, retransplantation is a limited therapy for chronic rejection [137].

Nevertheless, these suboptimal outcomes following retransplantation highlight the need for more effective ways to treat BOS.

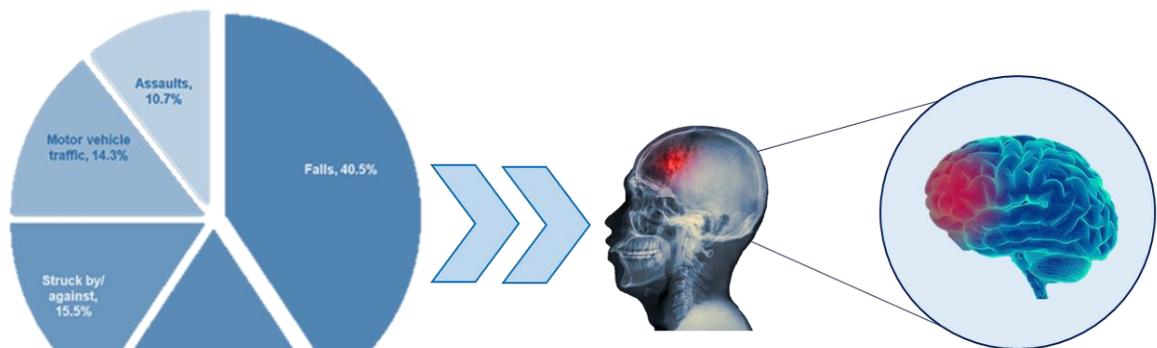
## **CHAPTER 3: Traumatic Brain Injury (TBI)**

### **3.1 Introduction**

Traumatic brain injury (TBI) is defined as damage to the brain sustained after the application of external mechanical force that causes temporary or permanent functional or structural damage to the brain [138]. Brain injury can be mild, moderate and severe. It is not a distinct entity but a heterogeneous group of pathologies that are initiated by diverse mechanisms and have different survival consequences. Additionally, TBI can be typically classified as closed or penetrating [138]. Closed head injury typically describe automobile accidents, falls and assaults, while a penetrating head injury is generally caused by stab wounds or gunshot (Fig.7). Regardless of origin, TBI sufferers experience a series of symptoms related the injury: confusion, dizziness and occasionally loss of consciousness (mainly in severe injury) [139]. Also after the initial TBI has been treated and resolved, about 70–80% of patients experience long-lasting symptoms, like changes in personality and behaviour, including depressive-like behaviours and anxiety [140, 141]. It has been well demonstrated that TBI represents a process, which once initiated, can extend either silently or symptomatically to neurodegeneration. This may lead to early onset of Parkinson’s disease (PD), dementia, and other degenerative disorders [142-144]. In particular, TBI is an important risk factor for development of Alzheimer’s disease (AD) or earlier AD onset [145]. Moreover, TBI is associated with the development of chronic traumatic encephalopathy (CTE) in athletes, especially following repeated concussive TBI [146].

In the last decades, TBI mechanisms have been investigated through numerous experimental models (i.e., controlled cortical impact, overpressure blast injury and the fluid percussion models), which display histological, physiological and neurological changes similar to those observed in clinical brain injury [147]. Although animal models do not replicate all the physiological, anatomical, and neurobehavioral characteristics of human TBI, they are

essential to clarify underlying injury mechanisms and to assess the safety and efficacy of novel therapies prior to clinical trials.



**Figure 7.** *Main causes of TBI*

### **3.2 Etiology, epidemiology and incidence**

As mentioned previously, the major causes of TBI are falls, motor-vehicle traffic incidents or assaults (Fig.7). A variable proportion of TBIs occur during sports or as a result of war wounds.

TBI represents an important public health concern in USA and worldwide, based on incidence, prevalence, healthcare resource utilization, resulting death and disability, and total economic cost [148]. In the United States, 1.7 million people suffer from TBI each year, with about 235,000 patients needing hospitalization and specialized healthcare. In 2000, there were 10,958 TBI diagnoses. In 2015, this number jumped to 344,030, with rising data in the following years. Statistically, the Center for Disease Control and Prevention (CDC) has estimated that annually, about 1.5 million Americans survive a TBI [146]. Mortality is approximately 3% for all severities of TBI, but morbidity is more difficult to estimate [149]. However, these statistics underestimate the incidence of TBIs, because most head injuries are mild and are often overlooked by the medical profession. Furthermore, these statistics do not account for all individuals who have not receive medical treatment,

had outpatient or office-based visits, or who received care in federal structures (i.e., persons serving in the U.S. military or receiving care at Veterans Affairs hospitals) [150].

According to the latest data from the CDC, rates of TBI are highest among babies aged 0 to 4 and children aged 15 to 19. Older adults aged 75 and over also have a high TBI prevalence and they represent the highest rate of hospitalizations and death associated to TBI [149]. Moreover, according to epidemiological literature, TBI is more frequent in males than females. Males were 1.4 times more likely to have TBI; indeed, they had an approximate annual incidence of TBI of 998,176 compared to 693,329 for females. Furthermore, the rate of TBI in males was highest in all age groups [149].

### **3.3 Pathophysiology**

The pathological and inflammatory features of TBI vary based on the severity (mild vs. moderate vs. severe) and duration (single vs. repetitive) of the injury [151, 152], as well as conditions such as age, gender, genetics, and medications [153].

TBI instigates complex pathological mechanisms involving a wide variety of molecular and cellular pathways. Brain damage associated to TBI can be divided into two phases (Fig. 8). First, an initial primary damage phase occurs at the moment of insult, as a direct consequence of physical and mechanical impact on the brain. This may involve contusion, brain swelling, diffuse axonal injury and intracranial haemorrhage, and eventually contribute to immediate cell death [154]. This is followed by a prolonged secondary phase involving cascades of biological mechanisms triggered at the time of trauma, which can last over much longer periods, from days to many weeks [138]. This delayed phase, triggered by numerous molecular and cellular responses initiated in an attempt to potentially restore cell homeostasis of the injured tissue, is not particularly well regulated and can often lead to an exacerbation of primary damage, neurodegeneration and cell death [155, 156]. Hallmarks of the secondary response can include breakdown of blood-brain barrier (BBB), glutamate excitotoxicity, oxidative stress and neuroinflammation [147].

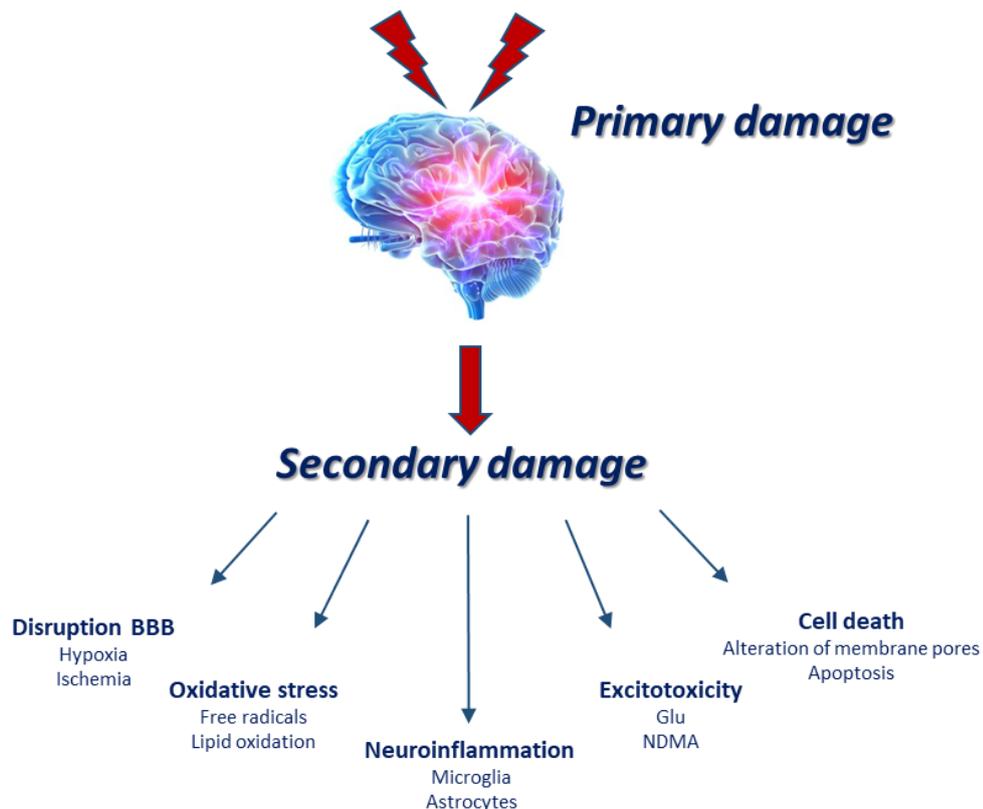
Behaviourally, these alterations manifest as post-traumatic headache, depression, individuality changes, anxiety, aggression, and deficits in attentiveness, cognition, sensory processing, and communication [157, 158]. A TBI in a patient may result in coma or death, seizures, or cognitive and behavioural disabilities.

### ***3.2.1 TBI and Neuroinflammation***

Neuroinflammation occurs at both primary (acute) and secondary (chronic) stages of TBI [138]. It seems responsible for both adverse and beneficial effects; indeed, neuroinflammation may cause to primary and secondary damage but, at the same time, facilitate tissue repair. In this regard, cellular inflammatory responses are activated in the injury site after the primary insult, with the purpose to repair the injured tissue; however, the excessive production of cytokines may become an important factor for TBI pathological progression [159]. The development of neuroinflammation after TBI involves a complex mechanism of cumulative changes occurring within the brain. After TBI, multiple types of quiescent glial cells are rapidly triggered through a process called “reactive gliosis”. In turn, the activation of microglia induce astrocytic activation by producing and releasing inflammatory mediators, which in turn act on neurons and surrounding cells [147]. These pro-inflammatory mediators not only affect surrounding neurons and glia but additionally recruit peripheral immune cells, such as neutrophils, lymphocytes and macrophages into brain [159]. Nevertheless, in the chronic phase, excessive production of inflammatory mediators triggers secondary cell death contributing to injury in the brain circuit. Different mechanisms of secondary cell death drive brain damage. Among these, excitotoxicity is a process characterized by increased levels of neurotransmitters and glutamate in the synaptic space, which stimulate the surrounding nerve cells N-methyl-d-aspartate (NMDA) and  $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid (AMPA) receptors [160]. These receptors remain activated, favouring the influx of both sodium and calcium ions into cells [161]. In cytosol, a high concentration of calcium ions determines the activation of protein

phosphatases, phospholipases, and proteases. These activations can damage DNA, membranes and proteins. Furthermore, overexcitement of glutamate receptors stimulates the production of nitrogen oxide (NO), free radicals, and pro-death transcription factors. High ROS levels cause lipoperoxidation of the cellular membrane, leading to mitochondrial dysfunction and oxidizing proteins, which may cause the alteration in the structure of membrane pores [138].

Although elaborate cellular interactions are involved in TBI pathophysiology, activation of microglia and subsequent recruitment of macrophages constitute the main inflammatory response of the immune system, 48–72 h after TBI. Moreover, although the peripheral immune response mitigates within 2–3 weeks, microglia and macrophages activation persists for a long time, for months to years after the initial injury [162, 163]. Thus, dysregulated activation of microglia/macrophages can not only exacerbate lesion pathology, but can also result in chronic bystander tissue damage [164].



**Figure 8.** Pathophysiology of TBI

### **3.4 Pharmacotherapy**

As the primary damage that represents the direct mechanical insult, can not be mended, therapeutic targets focus on the secondary injury. The major contributor to secondary damage is the neuroinflammation mainly characterized by chronic microglia and astrocytes activation, secretion of pro-inflammatory cytokines and oxidative stress. So far, the preclinical and clinical studies have primarily focused on neuroprotective approaches with the purpose to prevent and reduce secondary injury in brain after TBI. It was reported that it is fundamental to start the therapeutic interventions immediately after TBI, in particular within 4 h of injury, in order to achieve the best promising neuroprotective outcome [138].

#### ***3.4.1 Therapeutic strategies in pre-clinical studies***

Many preclinical studies have tested therapeutic efficacy of drugs in animal TBI models by targeting secondary injury mechanisms including corticosteroids, calcium channel blockers, N-methyl D-aspartate (NMDA) receptor antagonist, excitatory amino acid inhibitors, free radical scavengers and growth factors.

In particular, corticosteroids have been used as treatment for head injuries since at least three decades because they appear to reduce intracranial pressure (ICP) after TBI [165]. Corticosteroid examples include dexamethasone and methylprednisolone.

Synthetic agonists of peroxisome proliferator-activated receptor (PPAR) also used as an anti-inflammatory, therapeutic agents for TBI [166]. Fenofibrate, a PPAR- $\alpha$  receptor agonist, reduces cerebral edema, inflammation and oxidative stress by reducing behavioural deficits following TBI induction [167]. Rosiglitazone and pioglitazone, other PPAR- $\alpha$  receptor agonists, decrease microglial activation, histological and behavioural alteration and increase neuroprotective antioxidant proteins, induced after TBI [168].

Another approach to TBI treatment is blocking glial proliferation by inhibiting the cell cycle.

Through cyclic-dependent kinases (CDKs) inhibition, flavopiridol is able to reduce lesion volume and promote sensorimotor cognition and the recovery after TBI [169]. Roscovitine,

another inhibitor of cell cycle, also modulates CDK and showed moderate neuroinflammation and neurodegeneration after injury [170].

In addition, N-acetylcysteine (NAC) could act as an anti-inflammatory drug, especially in mild TBI, apparently through its antioxidant capacity [171]. Indeed, in animal models of TBI, NAC showed a potent antioxidant activity, decreasing markers of oxidative stress and increasing glutathione levels. Moreover, NAC was able to decrease activation of NF- $\kappa$ B, thus decreasing pro-inflammatory cytokines levels [172]. NAC also reduces lesion volume and BBB breakdown after TBI [173]. Importantly, the safety and potential therapeutic efficacy of NAC was effectively evaluated in a phase I randomized clinical trial [174].

#### ***3.4.2 Therapeutic strategies in clinical trials***

Some therapeutic strategies for TBI management have already advanced into clinical trials. Erythropoietin (EPO), a physiological protein, plays an important role in stimulating the differentiation, maturation and survival of hematopoietic progenitor cells. While EPO and its receptor (EPOR) are weakly expressed in normal brain, their expression is greatly increased in neuronal progenitor cells, neurons, glia and cerebrovascular endothelial cells in response to multiple types of cell damage. EPO demonstrated potential neuroprotective effects in most experimental models of TBI [175]. However, in clinical trial with patients with severe TBI, the administration of EPO failed to improve outcomes at six months [176]. Thus, although EPO has demonstrated neuroprotective properties in preclinical studies, its effectiveness as a medical strategy is questionable.

Statins, potent inhibitors of cholesterol biosynthesis, promote the recovery following TBI. Many of the pleiotropic effects of statins are cholesterol independent, such as improvement of endothelial function, antioxidant properties, inhibition of inflammatory responses, immunomodulatory actions, regulation of angiogenesis, neurogenesis and synaptogenesis [138]. Such effects target pathways that affect the acute as well as chronic phases of brain damage. For example, simvastatin inhibits the activation of caspase-3 and apoptosis of cells,

thereby increasing neuronal survival after TBI. Moreover, it increases expression of several growth factors, induces neurogenesis and controls the restoration of mental function in rats after TBI [177]. Atorvastatin administration after TBI significantly decreases neurological functional deficits and enhances neuronal survival [178]. Additionally, it induce synaptogenesis and angiogenesis in the boundary zone of the lesion and in the CA3 regions of the hippocampus in rats subjected to TBI. However, Food and Drug Administration reported cognitive side effects associated with statins treatment [138]. Given these contradictory results, further clinical trials are necessary to validate the neuroprotective effects of treatment with statins.

Progesterone is a steroid produced in the brain, besides being synthesized in reproductive organs and adrenal glands. Progesterone has pleiotropic effects, and thus has several candidates for mechanisms of action with regard to its potential therapeutic efficacy in TBI [179]. Multiple pre-clinical models of TBI have demonstrated neuroprotective properties of progesterone and have shown that it enhances behavioural and functional outcomes, decreases cerebral edema, apoptosis, pro-inflammatory cytokines, and other inflammatory markers, and prevents neuronal cell death [180]. Progesterone has also demonstrated clinical improvement in two phase II randomized, controlled trials. Despite positive results from preclinical studies and phase II clinical trials, two phase III clinical trials on progesterone treatment in acute TBI ended with negative data [181, 182]; therefore, the results continue to fail in TBI clinical trials.

### ***3.4.3 Alternative strategies***

Besides pharmacological therapies for TBI, innovative developments based on preclinical results are focused on the practice of biologics (e.g., gene therapy, stem cells, microRNA, peptide therapy, exogenous growth factors). Neural and mesenchymal stem cell therapy shows neuroregenerative and neurorestorative potential [183]. A recent study explored the association of stem cells with drug therapies to overcome the limits related to stem cell

transplantation. To date, erythropoietin, statins and progesterone have shown the most promising results for the endogenous stem-cells-mediated repair [184].

Furthermore, growth factors attract considerable attention for their neuroprotective and neuroregenerative efficiency. In particular, it has been shown that VEGF, human fibroblast growth factor 2 (FGF2), and nerve growth factor (NGF) improve neuronal survival when accompanying transplanted stem cells in injured models [185]. In particular, VEGF and FGF2 improve functional outcomes, while NGF reduces brain edema and production of beta-amyloid in animal models of TBI. TBI usually affects brain functions, for example executive actions, attention, memory, cognitive grade and language skills [138].

Neuropsychological rehabilitation (NR) is aimed at reducing cognitive, emotional and behavioural deficits induced by TBI. Additionally, neurotherapy can promote neuroplasticity [186]. Additionally, transcranial magnetic stimulation (TMS) as a way of non-invasive direct modulation of neuronal activity seems to be efficient for treatment of TBI [187]. Nevertheless, more researches are needed.

## **CHAPTER 4: Aim**

### **4.1 Targeting FPR-1 as an emerging pharmacological strategy for inflammatory diseases**

As described previously, FPR1 can trigger several signaling pathways for immune reactions during inflammation. Formyl peptides bind to FPRs on neutrophils, activate Gi proteins, and induce the G- $\alpha$  subunit to dissociate from the G- $\beta\gamma$  subunits. G $\beta\gamma$  subunits mediate downstream responses such as calcium influx, PI3K, PLC, Akt and MAPK. The phosphorylation of ERK, JNK, and p38 MAPK mediate FPR1-mediated transcriptional activity and chemotaxis, and it promotes a chemokine/chemoattractant-triggered function as a pro-inflammatory response [188]. Upon the activation of these signals, neutrophils respond with chemotaxis, migration, translocation, phagocytosis, respiratory burst, and degranulation [76]. Moreover, activation of FPR1 has been shown to trigger activation of the NF- $\kappa$ B pathway. NF- $\kappa$ B is a chief regulator of inflammation, it controlling various cellular processes such as apoptosis, cell proliferation, the secretion of cytokines, and oxidative stress [189]. In a normal condition, it is bound by the inhibitor protein I $\kappa$ B- $\alpha$ , which sequestered it into the cytoplasm. Several external stimuli induce the degradation of I $\kappa$ B- $\alpha$ , releasing NF- $\kappa$ B from the complex and allowing migration into the nucleus. Here, it activates the transcription of target genes involved in the enhancement of the inflammatory process, including the NLRP3 inflammasome. Inflammasomes are cytosolic protein complexes implicated in the induction of innate immune/inflammatory response [190]. At present, five inflammasomes have been identified; of these, NLR Family Pyrin Domain Containing 3 (NLRP3) is the most studied due of its possible involvement in several human diseases [191]. This complex contains NLRP3, a NOD-like receptor that is a sensor for inflammasome activation, and an apoptosis-associated speck-like protein containing a CARD complex (ASC), through which it binds pro-caspase. Pro-caspase, in turn, is cleaved in caspase-1 (Casp-1), which is a protease involved in apoptosis. It also controls the inflammatory

response by release of cytokines. Indeed, Casp-1 is responsible of cleavage of pro-interleukin (IL)-1 $\beta$  and pro-IL-18 into the respective active cytokines [191]. Both cytokines induces signal transduction cascades in inflammatory pathways in several cell types.

The therapeutic potential of FPRs modulation has been confirmed in experimental models of acute or chronic inflammatory diseases, such as colitis and endometriosis [17, 18].

In light of the above, we investigated the effects of Fpr-1 deletion in two different models of inflammation in vivo: BOS in chronic and TBI in acute and chronic.

Considering that BOS is a disease of inflammatory nature, we performed an experimental study to explore the molecular and cellular processes involved in airway repair and regeneration through FPR and NLRP3 pathways. Additionally, several studies have found that FPR1 was expressed in neurons [16]. In this regard, in the second study, we decide to investigate the effect of genetic deficiency of Fpr-1 in mice subjected to TBI from the early stage of acute inflammation to neurogenesis 4 weeks after injury.

## **CHAPTER 5: Materials and methods**

### **5.1 Materials and methods for BOS study**

#### ***5.1.1 Animals***

IL-1 $\beta$ /IL-18 double KO mice were obtained from Arturo Zychlinski (Max Planck Institute, Berlin, Germany), while Casp-1 KO mice were obtained from The Jackson Laboratory (Bar Harbor Maine, USA). Fpr-1 KO mice on the C57BL/6 genetic background and C57BL/6 animals, used as WT controls, were acquired from Envigo (Milan, Italy). All mice located in a controlled environment and provided with standard rodent chow and water. Animals were housed separately by genotype, with five mice per cage. The University of Messina Review Board for the care of animals approved the research (9 February 2017, 137/2017-pr). Animal care was in conformity with current legislation for the protection of animals used for scientific purposes (Directive 2010/63/EU).

#### ***5.1.2 Experimental Model of Tracheal Transplantation***

Tracheas were transplanted as previously described [192]. Briefly, the trachea was removed from donor mice by an anterior middle incision. The resected trachea was immediately placed in ice-cold phosphate-buffered saline (PBS) with streptomycin sulfate (100  $\mu$ g/mL) and penicillin-G sodium (100 U/mL) (Life Technologies). The receptor was subjected to inhalation anaesthesia with isoflurane in a titrated dose to reach analgesia with spontaneous breathing, and a 0.5 cm horizontal incision was made in the dorsal suprascapular area. Then, the donor trachea was sewn and the overlying skin was closed. The grafts were collected 4 weeks after transplantation.

#### ***5.1.3 Experimental Groups***

Mice were casually allocated into following groups (n = 10):

- The BOS WT group: mice were subjected to tracheal transplantation as described above.

- The BOS IL-1/IL-18 KO group: mice were subjected to tracheal transplantation as described above, as well as the WT group.
- The BOS Casp-1 KO group: mice were subjected to tracheal transplantation as described above, as well as the WT group.
- The BOS Fpr-1 KO group: mice were subjected to tracheal transplantation as described above, as well as the WT group.

Mice were sacrificed 4 weeks after transplantation. Animals were euthanized and the grafts were collected to perform histological and biochemical analysis.

#### ***5.1.4 Histopathology examination***

Tracheal tissues were fixed in formalin solution (10% in PBS 0.1 M), dehydrated by graded ethanol and embedded in paraffin. Sections of 7 µm-thick were deparaffinized and stained with hematoxylin and eosin (H&E) and Masson's Trichrome, and studied using light microscopy connected to an imaging system (LEICA DM6 with software LEICA LAS X Navigator). The histopathological score was determined as previously described [193]. Briefly, 0: no changes observed; 1: Respiratory epithelium pseudostratified with mononuclear cell (MNC) infiltration; 2: Respiratory epithelium flattened/pseudostratified with MNC infiltration and subepithelial fibrosis; 3: Respiratory epithelium flattened/denuded with MNC infiltration and subepithelial fibrosis; 4: Respiratory epithelium denuded with mononuclear cell infiltration and subepithelial and luminal fibrosis.

#### ***5.1.5 Mast Cell Evaluation***

Tracheal sections were stained with toluidine blue to evaluate mast cell degranulation as described previously [194]. The mast cells count was performed on each slide through a Leica DM6 (Milan, Italy) microscope and showed at 100x magnification.

### **5.1.6 Western Blot Analysis**

At the end of experiment, western blot analysis was executed on tracheal tissues. Cytosolic and nuclear extracts were divided as described previously [195, 196]. Tracheal tissues from each mouse were suspended in an extraction's buffer containing 0.2 mM phenylmethylsulfonyl fluoride (PMSF), 0.15  $\mu$ M pepstatin-A, 1 mM sodium orthovanadate, 20  $\mu$ M leupeptin, homogenized at the highest setting and centrifuged at 1000 $\times$ g for 10 min at 4°C. Supernatants contain the cytosolic fractions, while the pellets represent the nuclear ones. Pellets were re-suspended in a second buffer containing 1% Triton X-100, 150 mM sodium chloride (NaCl), 10 mM tris-chloridric acid (HCl) pH 7.4, 1 mM ethylene glycol tetraacetic acid (EGTA), 0.2 mM PMSF, 0.2 mM sodium orthovanadate, 1 mM Ethylenediaminetetraacetic acid (EDTA), 20  $\mu$ M leupeptin. After centrifugation at 15.000 $\times$ g for 30 min at 4°C, the latest supernatants contain the nuclear proteins. Cytosolic and nuclear supernatants were stored at -80°C for further analysis.

The following primary antibodies were used: anti-NLRP3 (1:500, Santa Cruz Biotechnology), anti-ASC (1:500, Santa Cruz Biotechnology), anti-I $\kappa$ B- $\alpha$  (1:500, Santa Cruz Biotechnology), anti-NF- $\kappa$ B (1:500, Santa Cruz Biotechnology), anti-inducible-Nitric oxide synthases (iNOS; 1:500, BD transduction), anti-pp38 (1:500, Cell Signaling), anti-p38 (1:500, Cell Signaling), anti-p-ERK (1:500, Santa Cruz Biotechnology), anti-ERK (1:500, Santa Cruz Biotechnology) in 1 x PBS, 5% w/v non-fat dried milk, and 0.1% Tween-20 at 4°C, overnight. To ensure that blots were loaded with equal amounts of proteins, they were also probed with antibody against  $\beta$ -actin protein (1:500, Santa Cruz Biotechnology) or lamin A/C (1:500, Sigma-Aldrich Corp.). Signals were observed with enhanced chemiluminescence (ECL) detection system reagent according to the manufacturer's instructions (Thermo, USA). The relative expression of the protein bands was quantified by densitometry with BIORAD ChemiDoc™ XRS+ software and standardized to  $\beta$ -actin and lamin A/C levels. The ChemiDoc XRS+ System is based on CCD high-resolution, high-

sensitivity detection technology. We used the Image Lab software to optimize imager performance for fast and integrated image capture and analysis. The blots were stripped with glycine 2% and re-incubated several times to visualize other proteins minimizing the number of gels and transfers.

### ***5.1.7 Immunohistochemical Analysis***

Immunohistochemical analysis was performed as previously described [197]. 7 $\mu$ m-thick sections of tracheal were deparaffinized. After deparaffinization, endogenous peroxidase was quenched with 0.3% (v/v) H<sub>2</sub>O<sub>2</sub> in 60% (v/v) methanol for 30 min. The slides were permeabilized with 0.1% (w/v) Triton X-100 in PBS for 20 min. Non-specific adsorption was decreased by incubating the section in 2% (v/v) normal goat serum in PBS for 20 min. Endogenous avidin or biotin binding sites were blocked with commercial avidin and biotin (Vector Laboratories, CA, USA) for 15 min.

Successively, the sections were incubated overnight with following antibodies: anti-Nitrotyrosine (1:250, Merck-360 Millipore), anti- Poly (ADP-Ribose) Polymerase (PARP; 1:200, Santa Cruz Biotechnology), anti-VEGF (1:200, Santa Cruz Biotechnology), and anti-Transforming Growth Factor-Beta (TGF- $\beta$ ; 1:250, Santa Cruz Biotechnology). Sections were washed with PBS and incubated with peroxidase-conjugated bovine anti-mouse IgG, secondary antibody (1:2000 Jackson Immuno Research, PA, USA). Specific labeling was provided with a biotin-conjugated goat anti-mouse IgG and avidin-biotin peroxidase complex (Vector Laboratories, CA, USA). Images were collected using a Leica DM6 microscope associated with Leica LAS X Navigator software. The digital images were opened in ImageJ (National Institutes of Health, MD, USA), followed by deconvolution using the color deconvolution plug-in. When the Immunohistochemistry (IHC) Profiler plugin is selected, it mechanically plots a histogram profile of the deconvoluted diaminobenzidine image, and a corresponding scoring log is exhibited [52]. The histogram profile relates to the positive pixel intensity value obtained from a computer program [53].

### ***5.1.8 Terminal Deoxynucleotidyl Nick-End Labeling (TUNEL) Assay***

Apoptosis in grafts sections was analyzed by terminal deoxynucleotidyl transferase dUTP nick-end labeling assay (TUNEL) using an in situ cell death detection kit. A double-staining technique was used. TUNEL staining for apoptotic cell nuclei was performed as described previously [198].

## **5.2 Materials and methods for TBI study**

### **5.2.1 Animals**

Fpr-1 KO mice on the C57BL/6 genetic background and C57BL/6 animals, used as WT controls, were acquired from Envigo (Milan, Italy), located in a controlled environment and provided with standard rodent chow and water. Animal care was in conformity with current legislation for the protection of animals used for scientific purposes (Directive 2010/63/EU).

### **5.2.2 Induction of Experimental Traumatic Brain Injury (TBI)**

Mice were anesthetized with an intraperitoneal (i.p.) injection of ketamine and xylazine (2.6 and 0.16mg/kg body weight, respectively). TBI was performed by a CCI as already described [199]. Briefly, a craniotomy was made encompassing bregma and lambda and between in the sagittal suture and the coronal ridge the right hemisphere, using a Micro motor hand piece and drill. The ensuing bone flap was removed and on the exposed cortex a cortical contusion was produced using the controlled impactor device Impact One™ Stereotaxic impactor for CCI (Leica, Milan, Italy). The impact tip was lowered over the exposed cortex until it touched the dura mater. The rod was then retracted and the tip was advanced to induce a brain injury of moderate severity (tip diameter: 2mm; cortical contusion depth: 1mm; impact velocity: 3.6 m/s) [200]. Subsequently, the skin incision was sutured and 2% lidocaine jelly was applied to the lesion to minimize any possible discomfort. Both WT and Fpr1 KO animals were treated with penicillin (40,000U/kg) for five days after TBI to rule out confounding effects by bacterial infections (in the long-term series).

### **5.2.3 Experimental groups**

Then, mice were casually divided into several groups:

- TBI WT group: mice were subjected to CCI as described above.
- TBI Fpr1 KO group: Fpr1 KO mice were subjected to CCI as well as WT group.

- Sham WT group: Mice were subjected to the surgical procedures as above group (anesthesia and craniotomy) except that the impact tip was not applied.
- Sham Fpr1 KO group: Mice were subjected to the surgical procedures as above group (anesthesia and craniotomy) except that the impact tip was not applied.

In order to analyse the effect of the Fpr1 gene deletion of animals subjected to traumatic brain injury, two experiments have been carried out:

- Exp 1: to investigate the early stage of acute inflammation animals were sacrificed at 24 h after TBI.

- Exp 2: to investigate the neurogenesis animals were sacrificed 4 weeks after the injury.

#### ***5.2.4 Histopathology examination***

Brain sections were prepared as previously described for tracheal tissue, in section 5.1.4.

Coronal sections from the perilesional brain area of each animal were evaluated.

Histopathologic changes of the gray matter were evaluated on a six-point scale [201]: 0, no changes observed; 1, gray matter enclosed up to five eosinophilic neurons; 2, gray matter enclosed five to 10 eosinophilic neurons; 3, gray matter had more than 10 eosinophilic neurons; 4, small infarction (no more than the third part of the gray matter area); 5, moderate infarction (from one third to one half of the gray matter area); 6, large infarction (more than half of the gray matter area). The scores from all sections of each brain were averaged to give a final score for each mouse.

#### ***5.2.5 Assessment of Lesion Volume***

At 24 hours and 4 weeks after CCI, animals were euthanized, brain tissues were frozen and sectioned in coronal sections (300 $\mu$ m). Samples were stained with hematoxylin and the area of the undamaged and injured hemisphere was scored on each section using image analysis software. The hemispheric volume was analyzed by summing area of each section and

multiplying it by 0.5. Lesion volume (mm<sup>3</sup>) was showed as difference between the injured and uninjured hemisphere volume.

### **5.2.6 Western Blot Analysis**

Western blot analysis was executed on tissues harvested 24 h and 4 weeks after TBI. Cytosolic and nuclear extracts were divided as described previously for BOS study. The following antibodies were used: anti-NLRP3 (1:500, Santa Cruz Biotechnology), anti-ASC (1:500, Santa Cruz Biotechnology), anti-I $\kappa$ B- $\alpha$  (1:500, Santa Cruz Biotechnology), anti-NF- $\kappa$ B p65 (1:500, Santa Cruz Biotechnology), anti-iNOS (1:500, BD transduction), anti-Caspase-1 (1:500, Santa Cruz Biotechnology), anti-pp38 (1:500, Cell Signaling), anti-p38 (1:500, Cell Signaling), anti-p-ERK (1:500, Santa Cruz Biotechnology), anti-ERK (1:500, Santa Cruz Biotechnology), anti-MnSod (1:500, Millipore), anti p-AKT (1:500, Santa Cruz Biotechnology), anti-AKT (1:500, Santa Cruz Biotechnology), anti- $\beta$ -III tubulin (1:500, Santa Cruz Biotechnology), anti- glial fibrillary acidic protein (GFAP; 1:500, Santa Cruz Biotechnology), anti-cyclooxygenase (COX-2; 1:500; Santa Cruz Biotechnology), anti-PGE synthase (1:500; Santa Cruz Biotechnology), anti-PGD2 synthase (1:500; Santa Cruz Biotechnology) in 1 x PBS, 5% w/v non-fat dried milk, and 0.1% Tween-20 at 4 °C, overnight.

### **5.2.7 Bromodeoxyuridine (BrdU) Treatment**

To assess newly-generated neurons and proliferated cells in Dentate Gyrus (DG), mice received BrdU (50 mg/kg, i.p. dissolved in saline) every day for 7 days after TBI [202]. BrdU incorporation into cell nuclei was assessed by immunohistochemistry.

### **5.2.8 Immunohistochemical Analysis**

Immunohistochemical analysis was performed as previously described in section 5.1.7. Subsequently, sections were incubated with following antibodies: anti-BrdU (1:100, Santa Cruz Biotechnology), anti-MPO (1:250, Santa Cruz Biotechnology), anti-NRLP3 (1:250,

Santa Cruz Biotechnology), anti-COX-2 (1:250, Santa Cruz Biotechnology), anti-ionized calcium binding adaptor molecule 1 (Iba-1; 1:250, Santa Cruz Biotechnology), anti-GFAP (1:250, Santa Cruz Biotechnology).

### ***5.2.9 Myeloperoxidase activity***

Myeloperoxidase (MPO) activity, an indicator of neutrophils accumulation, was determined as previously described [203]. Approximately 10 mg of fresh brain tissue taken from the ipsilateral (injured) hemisphere was processed for MPO assay [204]. Each sample was homogenized in a solution containing 0.5% hexa-decyl-trimethylammonium bromide dissolved in potassium phosphate buffer 10 mM (pH 7) and centrifuged at 20,000 g at 4°C for 30 min. A solution of tetra-methylbenzidine (1.6 mM) and 0.1 mM hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>) was then allowed to react with an aliquot of the supernatant. The rate of change in absorbance was measured spectrophotometrically at 650 nm. MPO activity was expressed in U/g of wet tissue and was defined as the quantity of enzyme degrading 1 μmol of peroxide min<sup>-1</sup> at 37 °C

### ***5.2.10 ELISA analysis of IL-1β and IL-18***

The levels of IL-1β and IL-18 in tissues surrounding the cortical contusion site were performed by the specific ELISA kits, according to the manufacturer's instructions (R&D Systems, Inc., Minneapolis, MN, USA).

### ***5.2.11 Behavioural testing***

#### ***5.2.11.1 Open Field***

Twenty-four days after CCI, Open Field Test was used for evaluating locomotor activity [205]. The apparatus consisted of a Plexiglas box 50 cm × 50 cm with its floor separated into 16 squares. The center was defined by four squares and squares along the wall defined the periphery. During the test, the mouse was located in the center of the box, and the movement of the mouse was observed for 5 min. The movement was scored as a line crossing when a

mouse removed all paws from one square and entered another. The number of crossings and the time spent in the center were calculated and scored.

#### *5.2.11.2 Social interaction test*

Impairments in social interaction are important clinical features of dementia. Preference for social approach was analyzed using the three-chambered apparatus (polycarbonate 80 cm x 31.5 cm). It was divided into three compartments by partitions with openings. Twenty-three days after TBI, the social interaction test was performed. It consisted of three trials of ten minutes. Initially, mouse was acclimated in an empty arena for 5 min, then empty wire cages were introduced and the mouse was allowed to inspect these cages for another 10 min. In the second phase of the test was measured social preference. The experimental mouse was exposed to an object, one of the empty wired cages and a wired cage covering a stimulus mouse. Time spent engaging in investigatory behavior with the novel mouse and frequency of investigatory behavior with the novel mouse was recorded. Parameters measured were the number of active contacts initiated by the target animal, mean duration per contact, total duration of contact, and total distance traveled were measured. If the two mice contacted each other and the distance traveled by either mouse was longer than 5 cm, the behavior was considered to be “active contact.” All testing happened during the dark phase (21:00–03:00 h) under illumination with red light.

#### *5.2.11.3 Novel object recognition Test*

The experiment was conducted as previously described [206] and performed in a black empty box in quiet environment. Once a day for three days before the test (twenty-five days after TBI), the animal was placed into the empty container in the same position and orientation. The mouse allowed to freely moving for 10 minutes. During the 4 days of training, two similar objects were located in the box (10 cm from each side). The mouse was placed back into the box and after 10 minutes returned to the cage. We waited for 1 hour and after, one of the two objects were changed with a new one (different materials and sizes).

The mouse was replaced in the box, and behavior was observed for 10 minutes. The total time the mouse spent exploring each object was recorded. The exploration time included the distance between object and the nose tip when the mouse sniffed the object from less than 2 cm, and the times the front paw or nose directly touched the object. Walking near the object was not considered exploratory behavior. A solution of 90% alcohol was used to eliminate odors between different animals (to avoid olfactory cues from affecting the exploratory behavior of other animals).

#### *5.2.11.4 Morris water maze test*

The water maze test was conducted as previously described [207] after twenty-four days from TBI. The device was a stainless-steel sink, with a height of 50 cm and diameter of 100 cm, containing four quadrants. A circular platform with a height of 27 cm and a diameter of 9 cm was in the center of the platform quadrant, and the position did not change throughout the experiment. Milk was added to make the water opaque and temperature was kept at 23°C. On the first day, a visual platform experiment was performed. The platform was placed 1 cm above the water surface. Each animal was allowed to swim for 2 minutes for acclimation. During the following 2–5 days, the navigation experiment was performed. The platform was placed 1 cm under water surface. The mouse was located into the water in each of the three different quadrants and allowed to swim for 1 minute each time. When the mouse found the platform remained there for 5 seconds, or the 1-minute test time lapsed. When the mouse did not find the platform, it was guided to the platform and allowed to stay there for 15 seconds. One day after the navigation experiment, the platform was removed for the test. The mouse was located in the water in the same quadrant. The time spent in the target quadrant and the number of entries into it were recorded. All experiments were carried out between 9.00 a.m. and 5.00 p.m.

### **5.3 Materials**

Unless differently specified, all compounds were purchased from Sigma-Aldrich.

### **5.4 Statistical Evaluation**

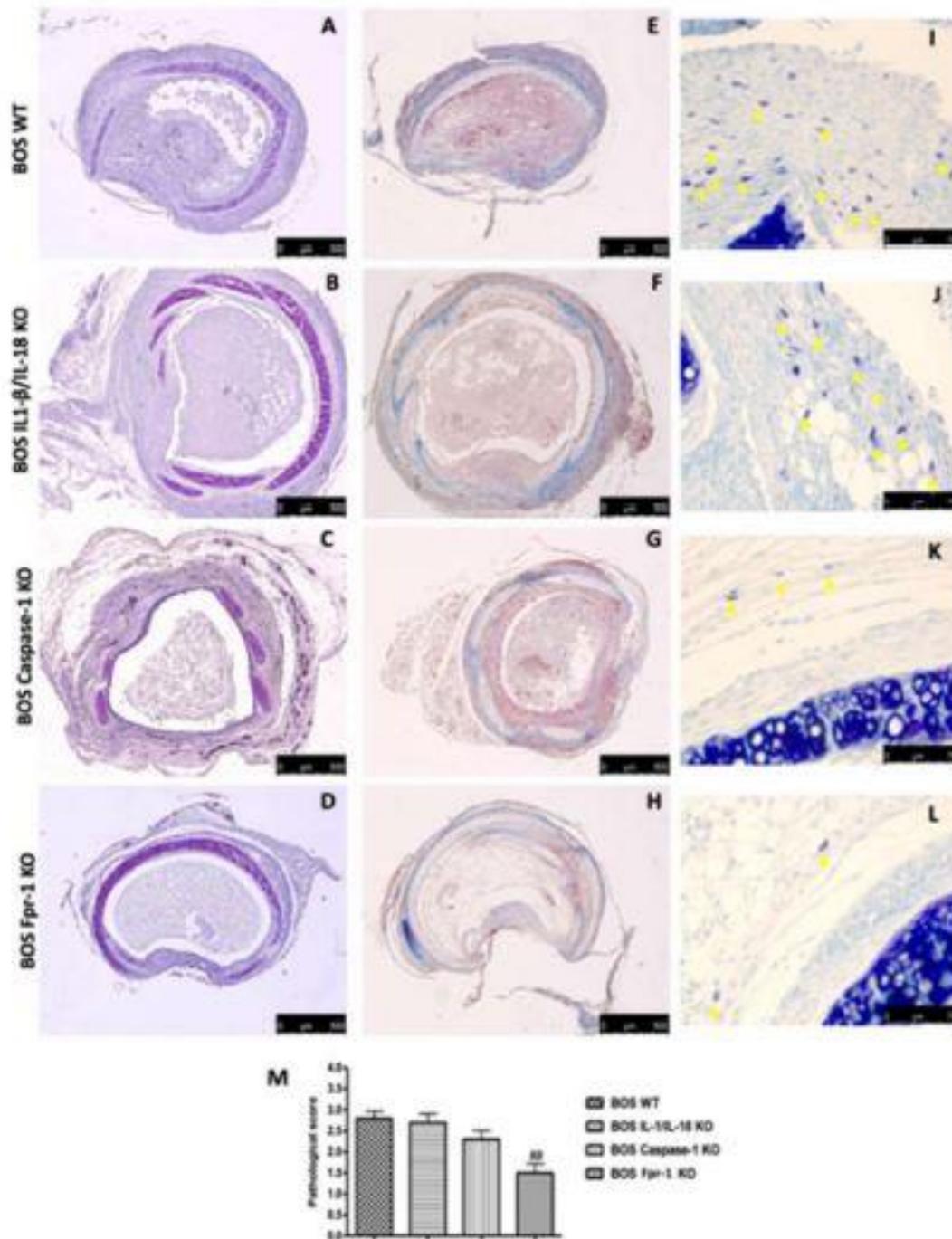
All values are expressed as mean  $\pm$  standard error of the mean (SEM) of n observations. For in vivo studies, n represents the number of animals used. All the histological and immunohistochemical studies were analyzed in a blinded fashion. The figures shown are representative of the least 3 experiments performed on diverse experimental days on tissue sections collected from all animals in each group. The results were analyzed by one-way analysis of variance (ANOVA) followed by a Bonferroni post-hoc test for multiple comparisons. Non-parametric data were analysed with the Fisher's exact test. A p-value less than 0.05 was considered significant. For BOS study: #  $p < 0.05$  vs WT group, ##  $p < 0.01$  vs WT group, ###  $p < 0.001$  vs WT group. For TBI study: \* $p < 0.05$  vs Sham WT; ° $p < 0.05$  vs TBI WT.

## CHAPTER 6: RESULTS

### 6.1 Results for BOS study

#### *6.1.1 Histopathology evaluation and mast cell density in IL-1 $\beta$ /IL-18 KO, Casp-1 KO, and Fpr-1 KO mice*

Histopathologic analysis of grafts from Fpr-1 KO mice (Fig. 9D) demonstrated significant reductions of histological hallmarks of BOS, like airway obliteration, extracellular matrix (ECM) deposition, loss of epithelial cell integrity and infiltration of leukocytes, compared to WT group (Fig. 9A). IL-1 $\beta$ /IL-18 KO (Fig. 9B) and Casp-1 KO (Fig. 9C) groups did not show significant differences from the WT group. Masson trichrome stain showed that deposition of collagen was significantly more abundant in WT group (Fig. 9E); similarly, collagen deposition was observed in IL-1 $\beta$ /IL-18 KO (Fig. 9F) and Casp-1 KO (Fig. 9G) animals. The absence of Fpr-1 in mice showed a marked reduction in collagen deposition (Fig. 9H). Furthermore, mast cell numbers were significantly lower in Fpr-1 KO mice (Fig. 9L) than WT group (Fig. 9I). IL-1 $\beta$ /IL-18 KO (Fig. 9J) and Casp-1 KO (Fig. 9K) animals did not show significant differences from the WT group. The genetic deficiency of Fpr-1 significantly decreased histopathologic score in tracheal samples compared to other transgenic mice (Fig. 9M).



**Figure 9.** Histopathology evaluation and mast cell density in IL-1 $\beta$ /IL-18 KO, Casp-1 KO, and Fpr-1 KO in mice

Histological evaluation of tracheal transplantation: wild-type (WT) (A), IL-1 $\beta$ /IL-18 KO (B), Casp-1 KO (C), Fpr-1 KO (D).

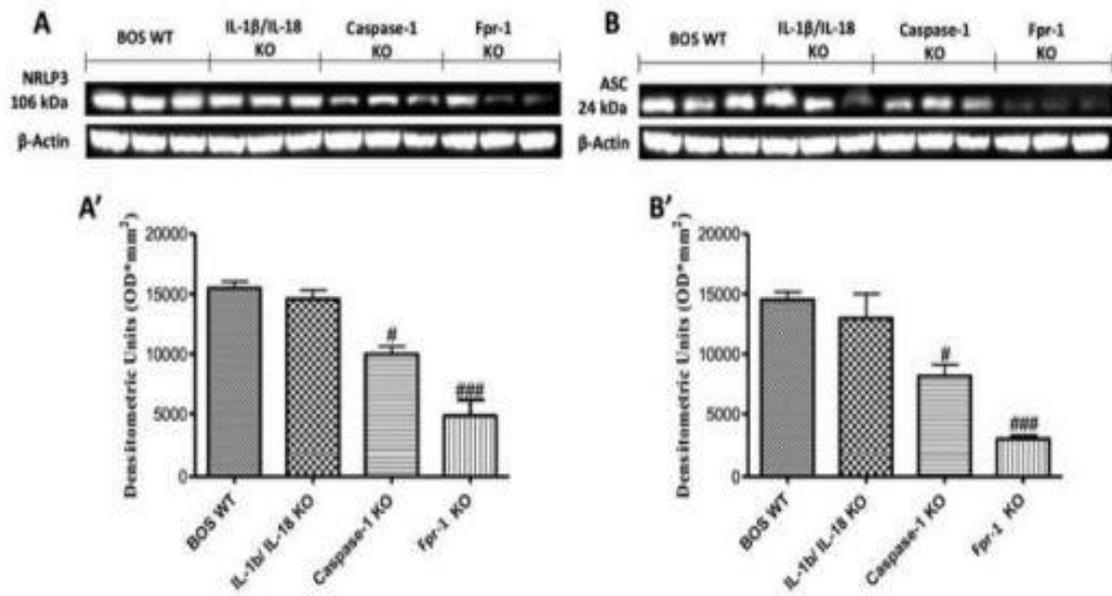
Masson trichrome staining of the graft: WT (E), IL-1 $\beta$ /IL-18 KO (F), Casp-1 KO (G), Fpr-1 KO (H).

Evaluation of mast cell degranulation by toluidine blue: WT (I), IL-1 $\beta$ /IL-18 KO (J), Casp-1 KO (K), Fpr-1 KO (L).

Histopathologic score (M).

### ***6.1.2 Effects of the absence of IL-1 $\beta$ /IL-18, Casp-1 and Fpr-1 on NLRP3 inflammasome pathway***

To understand better which signalling pathway may be involved in the inflammatory response on the BOS model, we investigated NLRP3 inflammasome pathway by western blot analyses. The results showed a substantial increase in NLRP3 expression in tracheal samples of WT animals, while NLRP3 expression was lower in Fpr-1 KO group (Fig. 10A; densitometric analysis A'). IL-1 $\beta$ /IL-18 KO mice showed results comparable to those observed in WT group, while Casp-1 KO lightly attenuated the expression of NLRP3 (Fig. 10A; densitometric analysis A'). Western blot analysis also displayed an upregulation of ASC expression in WT group, which was significantly reduced in Fpr-1 KO mice (Fig. 10B; densitometric analysis B'). Absence of IL-1 $\beta$ /IL-18 genes did not show a reduction in ASC; in contrast, a low reduction in ASC levels was detected in the Casp-1 KO mice (Fig. 10B; densitometric analysis B').

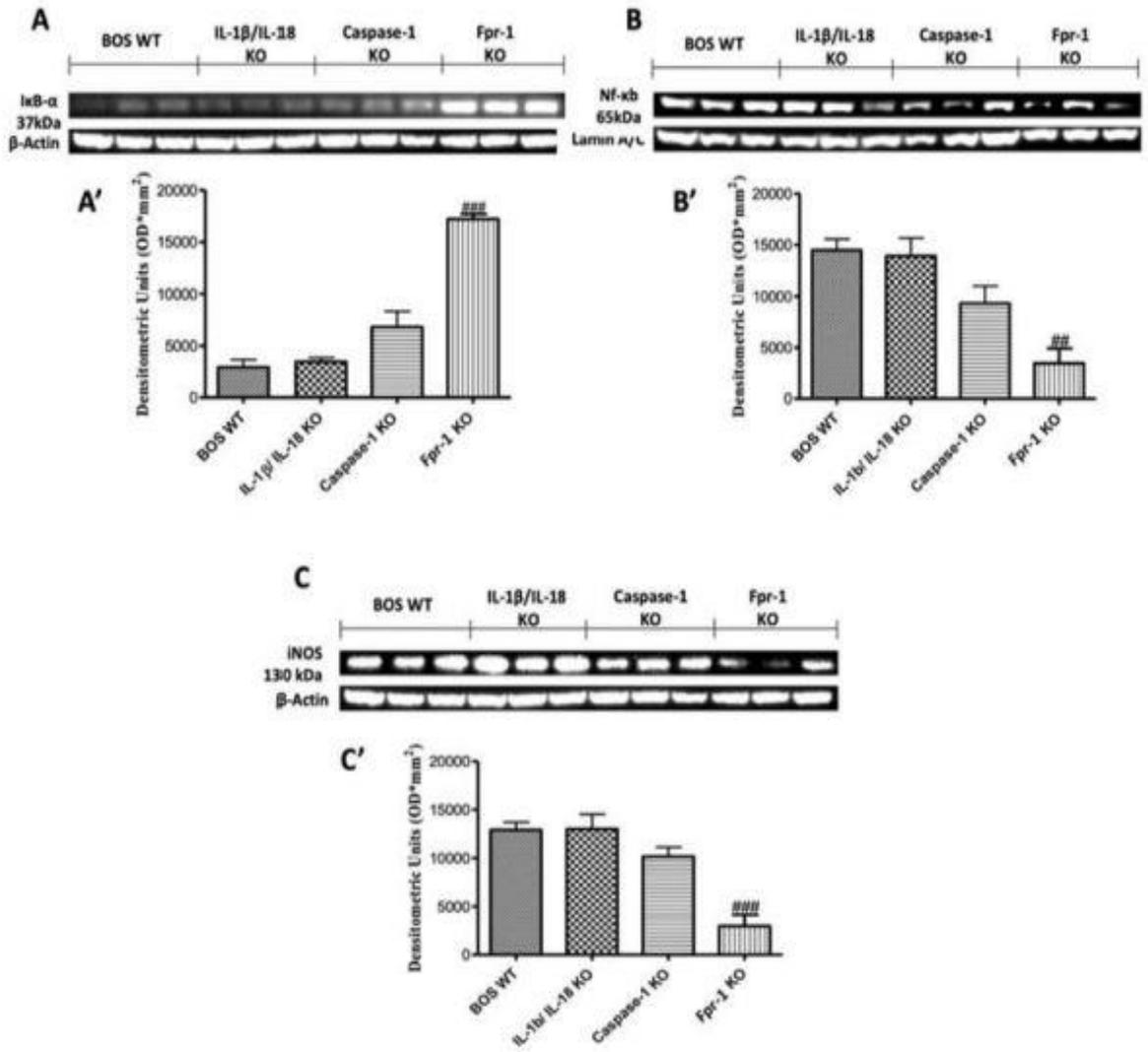


**Figure 10.** Effects of the absence of IL-1 $\beta$ /IL-18, Casp-1 and Fpr-1 on the NLRP3 inflammasome pathway

Western blots and, respectively, the densitometric analysis of NLRP3 (A,A') and ASC (B,B').

### ***6.1.2 Effects of the absence of IL-1 $\beta$ /IL-18, Casp-1, and Fpr-1 on the NF-kB pathway***

The NF-kB pathway play a key role in the inflammatory process. Western blot analysis displayed a low I $\kappa$ B- $\alpha$  expression in grafts from WT mice compared to Fpr-1 KO group (Fig. 11A; densitometric analysis A'). In Casp-1 KO animals, a low reduction of I $\kappa$ B- $\alpha$  degradation was detected, but it was not significant, while absence of the IL-1 $\beta$ /IL-18 gene did not prevent I $\kappa$ B- $\alpha$  degradation (Fig. 11A; densitometric analysis A'). On contrary, NF-kB levels were significantly increased in WT and IL-1 $\beta$ /IL-18 KO animals (Fig. 11B; densitometric analysis B') after transplantation. Absence of Casp-1 slightly decreased NF-kB expression, but the deletion of Fpr-1 decreased NF-kB expression in a more significant way (Fig. 11B; densitometric analysis B'). Because NF-kB activation is linked to iNOS induction, iNOS expression was also evaluated by Western blot. An increased expression of iNOS was found in WT mice as well as in the IL-1 $\beta$ /IL-18 KO group (Fig. 11C; densitometric analysis C'). The absence of Casp-1 was not able to decrease iNOS expression in a significant way, while Fpr-1 KO group showed a greater effect compared to the other group (Fig. 11C; densitometric analysis C').

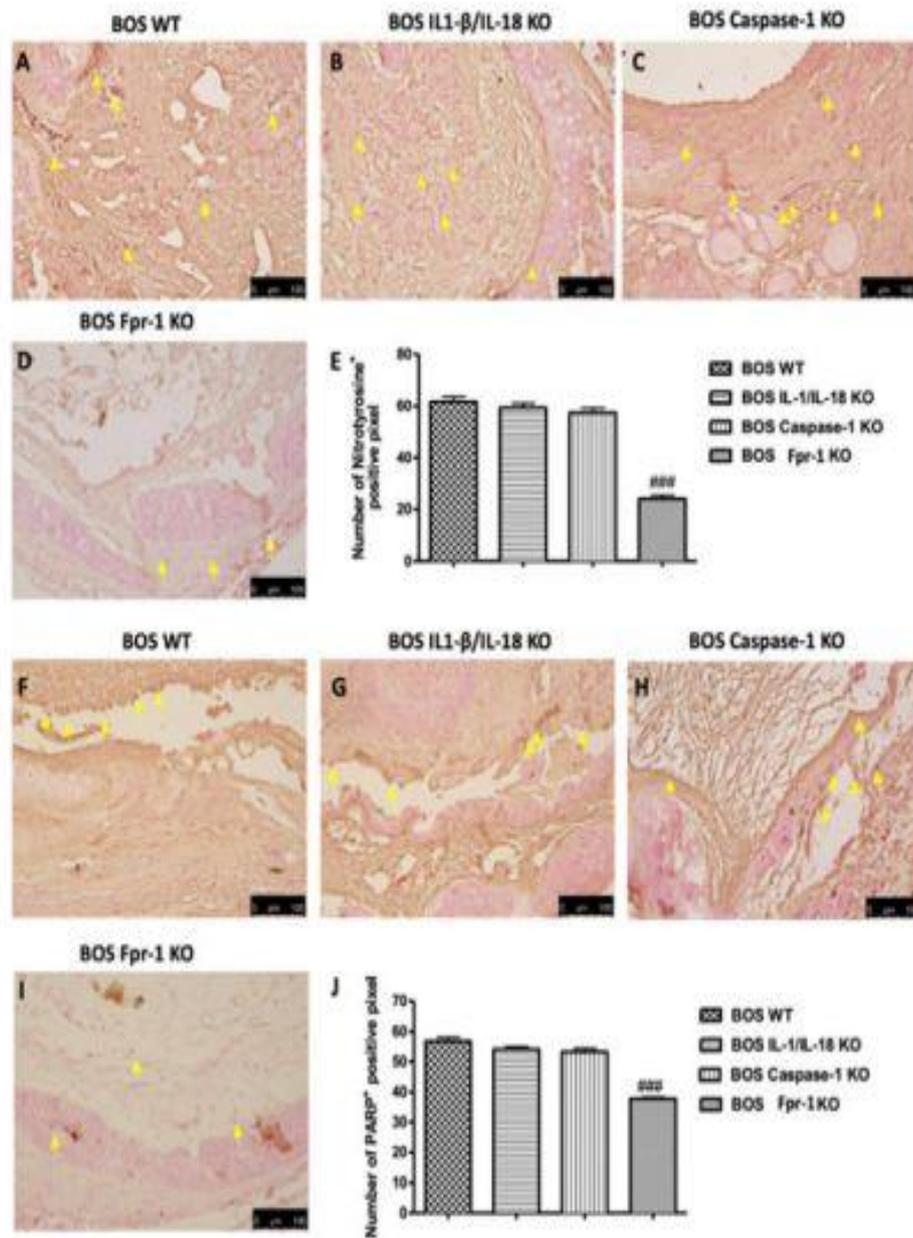


**Figure 11.** Effects of the absence of *IL-1β/IL-18*, *Casp-1*, and *Fpr-1* on the NF-κB pathway and *iNOS* expression

Western blots and, respectively, the densitometric analysis of IκB-α (**A,A'**), NF-κB p65 (**B,B'**), and *iNOS* (**C,C'**).

#### ***6.1.4 Effects of the absence of IL-1 $\beta$ /IL-18, Casp-1, and Fpr-1 on Nitrotyrosine formation and PARP activation***

To confirm the presence of nitrosative stress, immunohistochemical analysis of nitrotyrosine was performed. Tracheal sections from Fpr-1 KO mice displayed a significant reduction in the degree of nitrotyrosine immunoreactivity (Fig. 12D; densitometric analysis 12E), compared to WT group (Fig. 12A; densitometric analysis 12E). The IL-1 $\beta$ /IL-18 KO and Casp-1 KO groups did not supply a reduction in immunohistochemical staining (Fig. 12B, C, respectively; densitometric analysis 12E). Additionally, we observed the expression of PARP, an indicator of DNA breakdown by immunohistochemical analysis. A significant increase in positive staining for PARP was detected in graft tissues from WT group (Fig. 12F; densitometric analysis 12J); similarly, sections obtained from IL-1 $\beta$ /IL-18 KO and Casp-1 KO groups also showed a strong positive staining for PARP (Fig. 12G,H, respectively; densitometric analysis 12J). Absence of Fpr-1 reduced the immunostaining of PARP in a significant manner (Fig. 12I; densitometric analysis 12J).



**Figure 12.** Effects of the absence of IL-1 $\beta$  IL-18, Casp-1, and Fpr-1 on Nitrotyrosine formation and PARP activation

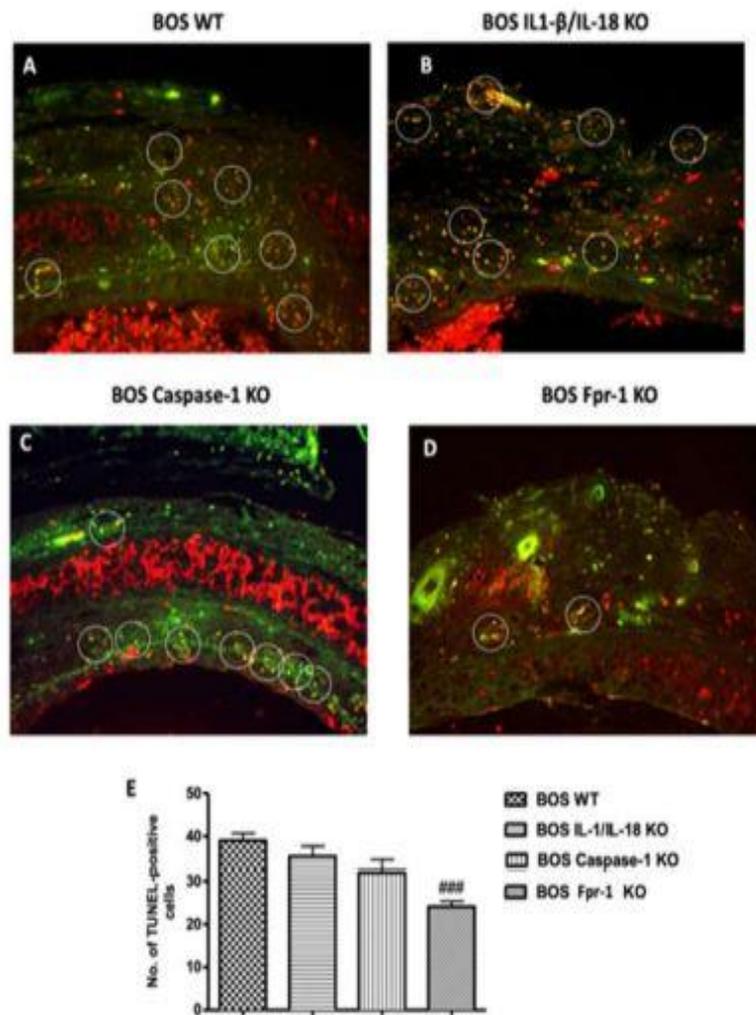
Immunohistochemical analysis of nitrotyrosine expression: WT (A), IL-1 $\beta$ /IL-18 KO (B), Casp-1 KO (C), Fpr-1 KO (D), densitometric analysis (E).

Immunohistochemical analysis of PARP expression: WT (F), IL-1 $\beta$ /IL-18 KO (G), Casp-1 KO (H), Fpr-1 KO (I), densitometric analysis (J).

Yellow arrows point the positive cells.

### ***6.1.5 Effects of the absence of IL-1 $\beta$ /IL-18, Casp-1, and Fpr-1 on apoptosis***

To examine whether the apoptosis of airway epithelial cells is involved in the worsening of BOS, we performed the TUNEL assay on sections of trachea. TUNEL staining showed a large number of apoptotic cells in the grafts of WT mice (Fig. 13A). The absence of both IL-1 $\beta$  and IL-18 or Casp-1 showed a small decrease in the number of apoptotic cells, but this was not significant (Fig. 13B, C, respectively). Absence of Fpr-1 significantly decreased the number of apoptotic cells compared with the other groups (Fig. 13D). Panel 13E display the average number of TUNEL-positive epithelial cells per section for each transgenic group.

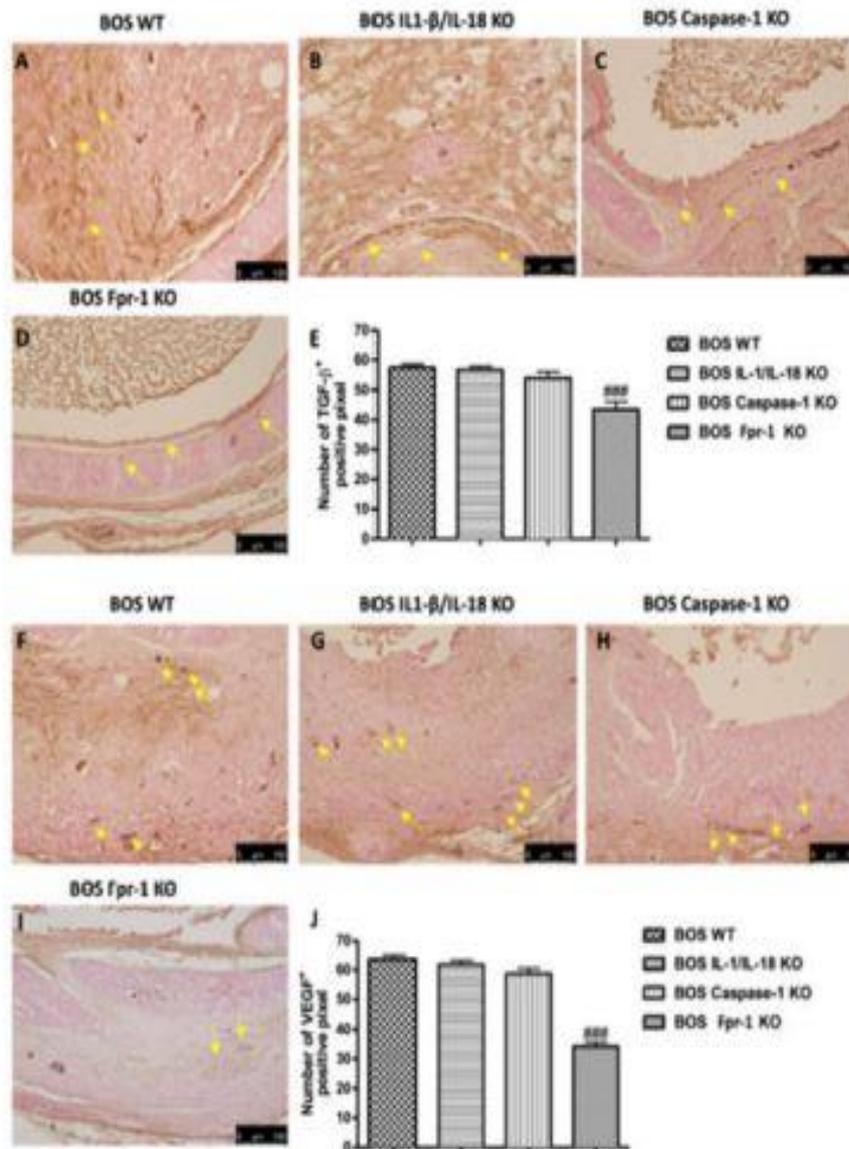


**Figure 13.** Effects of the absence of *IL-1 $\beta$ /IL-18*, *Casp-1*, and *Fpr-1* on apoptosis

TUNEL staining of tracheal transplantation: WT (A), IL-1 $\beta$ /IL-18 KO (B), Casp-1 KO (C), Fpr-1 KO (D), graphical quantification (E).

### ***6.1.6 Effects of the absence of IL-1 $\beta$ /IL-18, Casp-1, and Fpr-1 on growth factors expression***

To help better delineate the underlying mechanisms of airway obstruction in the BOS model, we next investigated the expression of the growth factors, such as VEGF and TGF- $\beta$ , by immunohistochemical staining. VEGF expression, an important angiogenic factor, increased in WT animals (Fig. 14A; densitometric analysis 14E), as well as in IL-1 $\beta$ /IL-18 KO and Casp-1 KO groups (Fig. 14B, C, respectively; densitometric analysis 14E). Its expression is significantly reduced in Fpr-1 KO mice (Fig. 14D; densitometric analysis 14E). Additionally, we evaluated the expression of TGF- $\beta$ , an essential mediator of the fibroproliferative response present in BOS. Positive staining for TGF- $\beta$  was higher in tissues obtained from the WT, IL-1 $\beta$ /IL-18 KO, and Casp-1 KO animals (Fig. 14F–H, respectively; densitometric analysis 14J), while Fpr-1 KO mice showed a lower extent of staining for TGF- $\beta$  (Fig. 14I; densitometric analysis 14J), compared to other groups.



**Figure 14.** Effects of the absence of *IL-1β/IL-18*, *Casp-1* and *Fpr-1* on growth factor expression

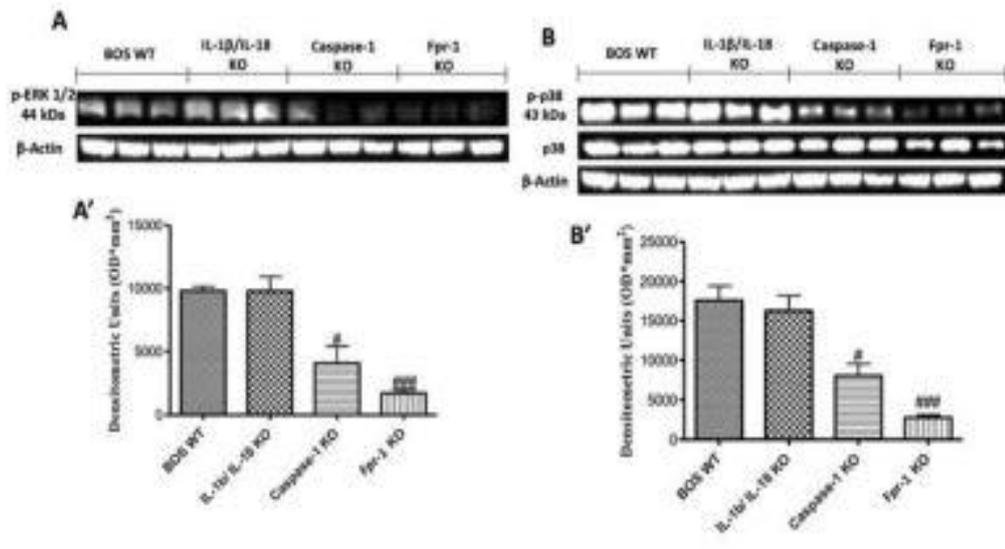
Immunohistochemical analysis of VEGF expression: WT (A), IL-1β/IL-18 KO (B), Casp-1 KO (C), Fpr-1 KO (D), densitometric analysis (E).

Immunohistochemical analysis of TGF-β expression: WT (F), IL-1 β/IL-18 KO (G), Casp-1 KO (H), Fpr-1 KO (I), densitometric analysis (J).

Yellow arrows point the positive cells.

### ***6.1.7 Effects of the absence of IL-1 $\beta$ /IL-18, Casp-1, and Fpr-1 on the MAPK pathway***

To explore the cellular mechanisms by which the absence of IL-1 $\beta$ /IL-18, Casp-1, and Fpr-1 genes may attenuate the BOS development, we also investigated the MAPK pathway at 28 days after transplantation. The activation of this pathway, in particular, the phosphorylation of ERK1/2 was evaluated in tracheal homogenates by Western blot. A significant increase in pERK1/2 expression was observed in WT and IL-1 $\beta$ /IL-18 KO groups (Fig. 15A; densitometric analysis A'). Absence of Casp-1 slightly reduced p-ERK1/2 expression (Figure 15A; densitometric analysis A'), but the deletion of Fpr-1 gene reduced ERK1/2 phosphorylation significant manner (Figure 15A; densitometric analysis A'). Moreover, to confirm these data, we also evaluated phospho-p38 expression at 4 weeks after transplantation. In line with the above, p-p38 expression was higher in WT and IL-1 $\beta$ /IL-18 KO groups (Figure 15B; densitometric analysis B'). Levels of p-p38 are lower in Casp-1 KO mice but the genetic deletion of Fpr-1 better inhibits the increase of p-p38 expression (Figure 15B; densitometric analysis B').



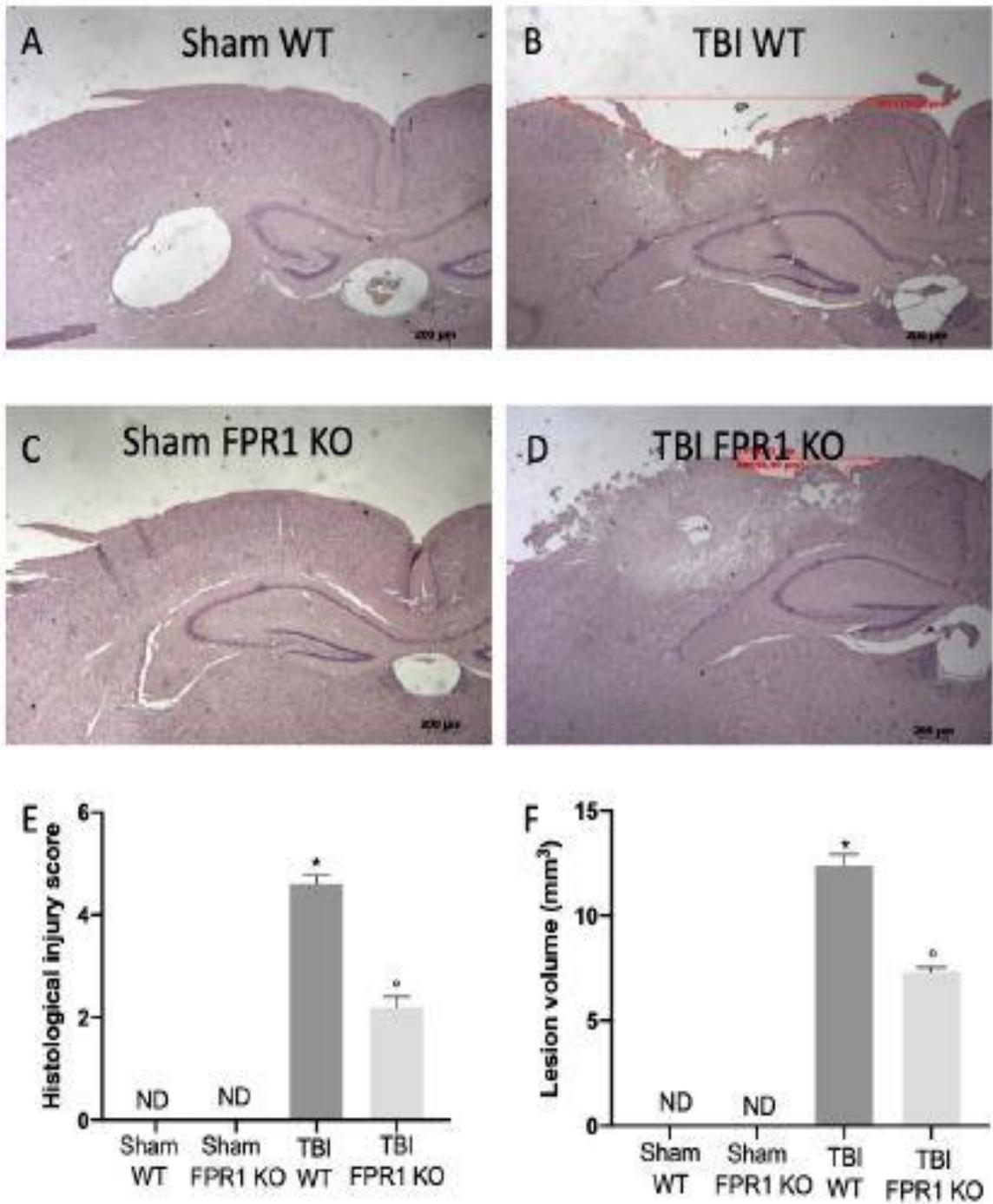
**Figure 15.** Effects of the absence of *IL-1 $\beta$ /IL-18*, *Casp-1*, and *Fpr-1* on the mitogen-activated protein kinase (MAPK) pathway

Western blots and, respectively, the densitometric analysis of p-ERK 1/2 (**A,A'**) and p-p38 (**B,B'**).

## **6.2 RESULTS FOR TBI STUDY**

### ***6.2.1 Effects of absence of Fpr-1 on histological alteration 24 h after TBI***

No significant histological and macroscopic difference were detected in the brain tissue of sham WT and Fpr-1 KO animals (Fig. 16A, 16C, 16E for histological score and 16F for lesion volume). Twenty-four hours after TBI, histological analysis of perilesional area showed in the TBI WT group an important tissue damage, ischemic changes, thickened blood vessels, and gliosis in the cerebral parenchyma (Fig. 16B, 16E for histological score and 16F for lesion volume). In TBI Fpr-1 KO group, the histological analysis showed a significant reduction of degree of brain injury compared to the TBI WT group (Fig. 16D, 16E for histological score). At the same way, the absence of Fpr-1 gene significantly reduced the lesion volume compared to the TBI WT group (Fig. 16F).



**Figure 16.** *Effects of absence of Fpr-1 on histological alterations*

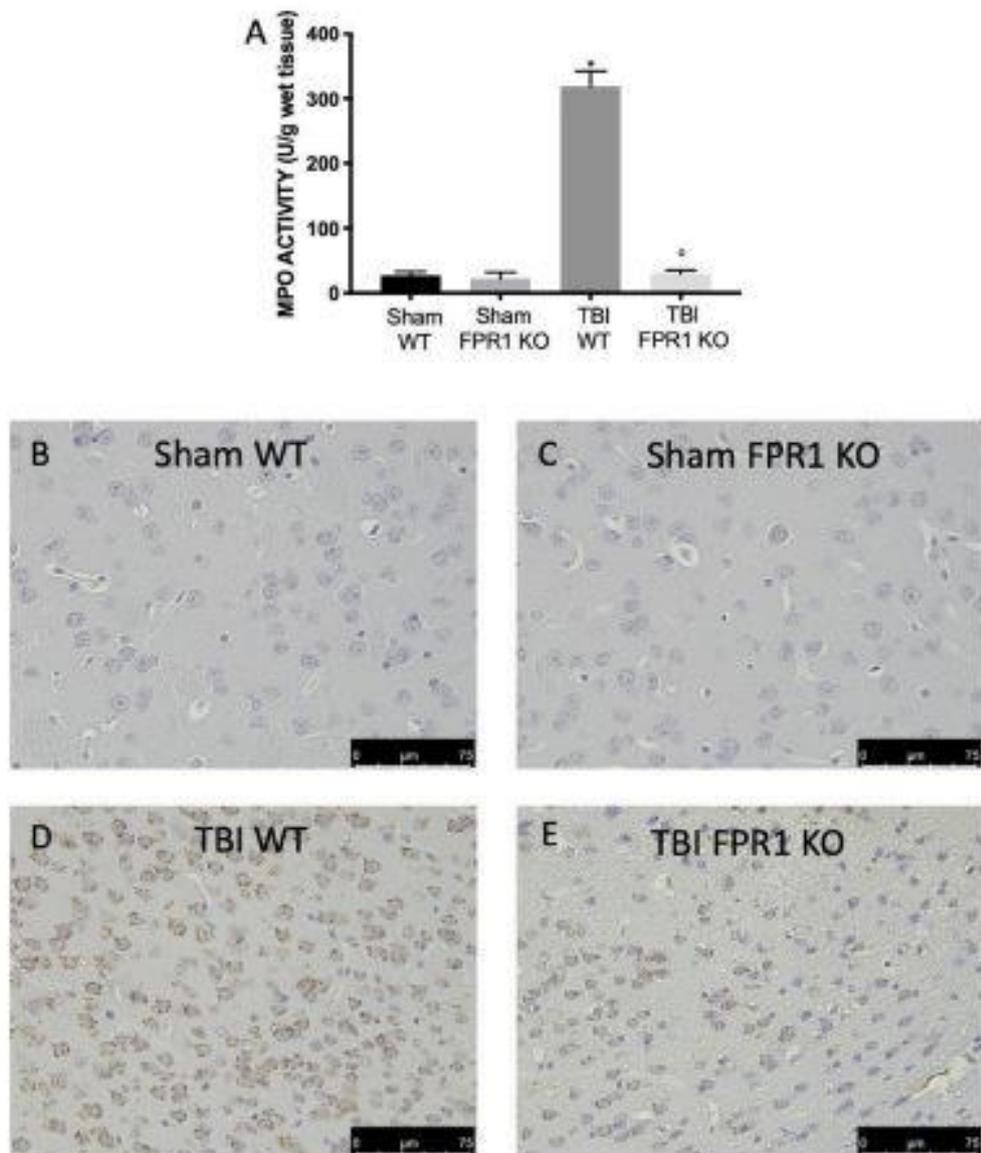
Histological evaluation in brain sections: Sham WT (A), TBI WT (B), Sham Fpr-1 KO (C), TBI Fpr-1 KO (D).

Histopathologic score (E).

Lesion volume (F).

### ***6.2.2 Effects of absence of Fpr-1 on neutrophils accumulation 24 h after TBI***

TBI was characterized by neutrophil infiltration in the tissue, quantified by measurement of MPO activity (Fig. 17A). Thus, we evaluated the expression of MPO in damaged brain by immunohistochemical staining. Absence of Fpr-1 receptor significantly reduced the expression of this marker for neutrophil accumulation (Fig. 17E), compared to the TBI WT group (Fig. 17D). No positive staining for MPO was detected in both sham groups (Fig. 17B and 17C).



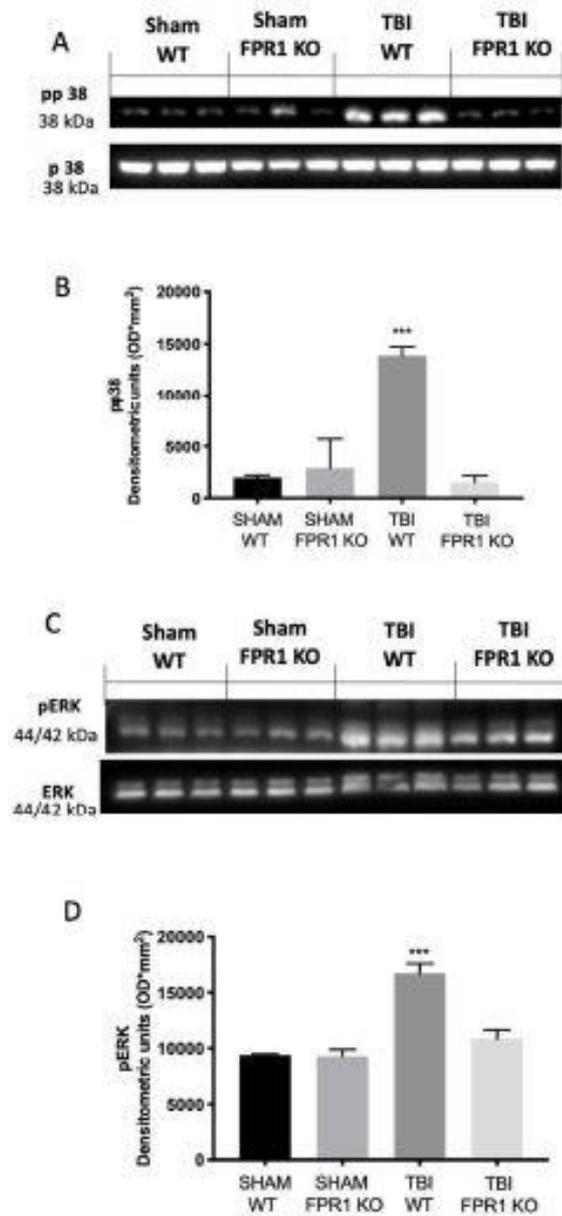
**Figure 17.** Effects of absence of *Fpr-1* on neutrophils accumulation

Levels of MPO activity (**A**).

Immunohistochemical analysis of MPO expression: Sham WT (**B**), Sham *Fpr-1* KO (**C**), TBI WT (**D**), TBI *Fpr-1* KO (**E**).

### ***6.2.3 Effects of absence of Fpr-1 on MAPK pathway 24 h after TBI***

P-p38 expression levels, monitored by Western blotting, were significantly increased in brain collected from TBI WT animals compared to sham WT and Fpr-1 KO mice. The deletion of Fpr-1 decreased p-p38 expression in TBI animals (Fig. 18A and 18B). Moreover, TBI induced increased ERK phosphorylation in WT animals, while it was markedly reduced in samples from TBI Fpr-1 KO animals 24 hours after injury. (Fig. 18C and 18D).

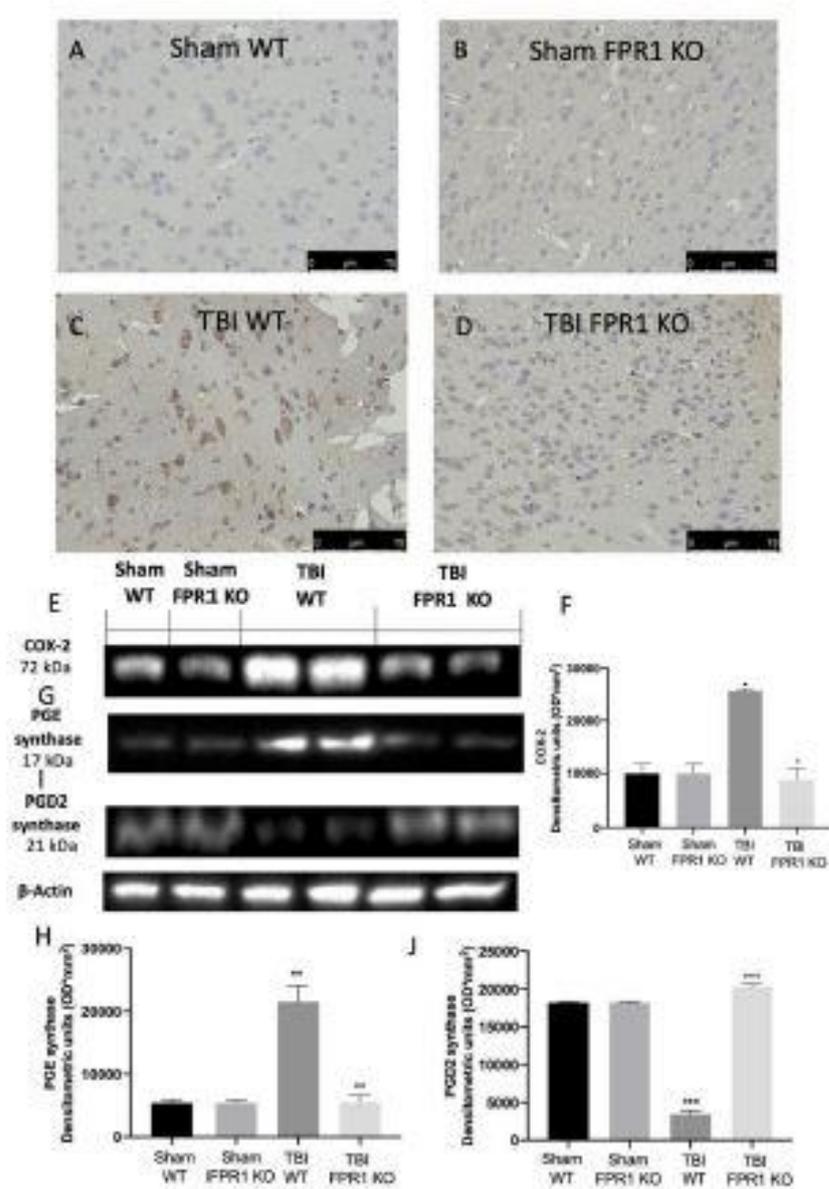


**Figure 18.** *Effects of the absence of Fpr-1 on MAPK pathway*

Western blots and, respectively, the densitometric analysis of p-p38 (**A, B**) and pERK (**C, D**)

#### ***6.2.4 Effects of absence of Fpr-1 on COX-2 and prostaglandin expression 24 h after TBI***

Immunohistochemical analysis showed an increase COX-2 expression in TBI WT mice (Fig. 19C) compared to sham WT (Fig. 19A) and Fpr-1 KO mice (Fig. 19B), while TBI Fpr-1 KO animals did not show any up-regulation (Fig. 19D). These results also confirmed by Western blot analysis that displayed an increased expression of COX-2 (Fig. 19E and 19F) and PGE2 synthase (Fig. 19G and 19H) in brain from TBI WT mice compared to sham WT and Fpr-1 KO animals, while absence of Fpr-1 did not show any increase of levels of these proteins after TBI. PGD2 synthase expression levels decreased in WT animals 24 after TBI, compared to sham WT and Fpr-1 KO animals. In TBI Fpr-1 KO group, PGD2 synthase expression remained to basal levels (Fig. 19I and 19L).



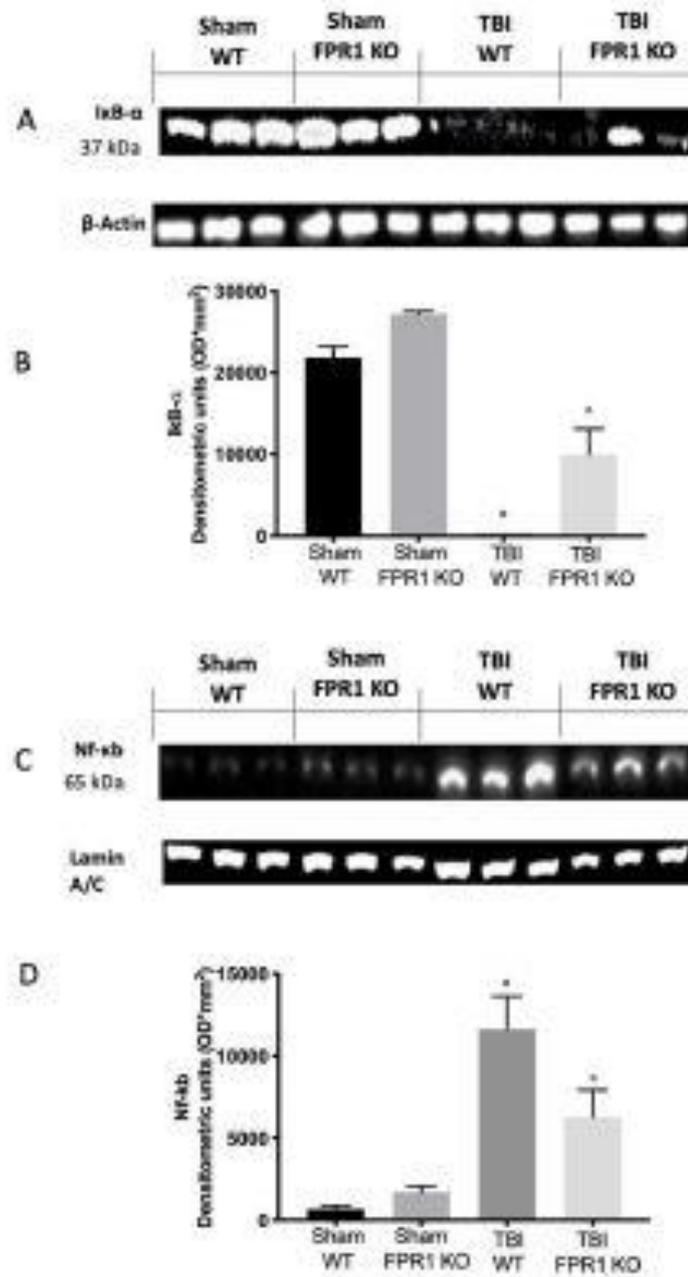
**Figure 19.** Effects of the absence of *Fpr-1* on COX-2 and prostaglandin expression

Immunohistochemical analysis of COX expression: Sham WT (A), Sham Fpr-1 KO (B), TBI WT (C), TBI Fpr-1 KO (D).

Western blots and, respectively, the densitometric analysis of COX-2 (E, F); PGE2 synthase (G, H); PGD2 synthase (I, J).

### ***6.2.5 Effects of absence of Fpr-1 on NF- $\kappa$ B pathway 24 h after TBI***

In order to investigate the pathway whereby Fp-r1 gene deletion could moderate the inflammatory response induced by TBI, we checked the I $\kappa$ B- $\alpha$  expression in cytosol and NF- $\kappa$ B expression into the nucleus (Fig. 20A and 20C). Western blot analysis showed basal cytosolic expression of I $\kappa$ B- $\alpha$  in brain samples from sham WT and Fpr-1 KO mice, while I $\kappa$ B- $\alpha$  expression was remarkably decreased in samples from TBI WT animals 24 h after injury. In Fpr-1 KO group was detected a reduction of I $\kappa$ B- $\alpha$  degradation (Fig. 20A and 20B). In parallel, NF- $\kappa$ B expression in brain nuclear fractions were substantially upregulated 24 h after TBI, compared to sham WT and Fpr-1 KO animals, which was reduced in Fpr-1 KO mice (Fig. 20C and 20D).

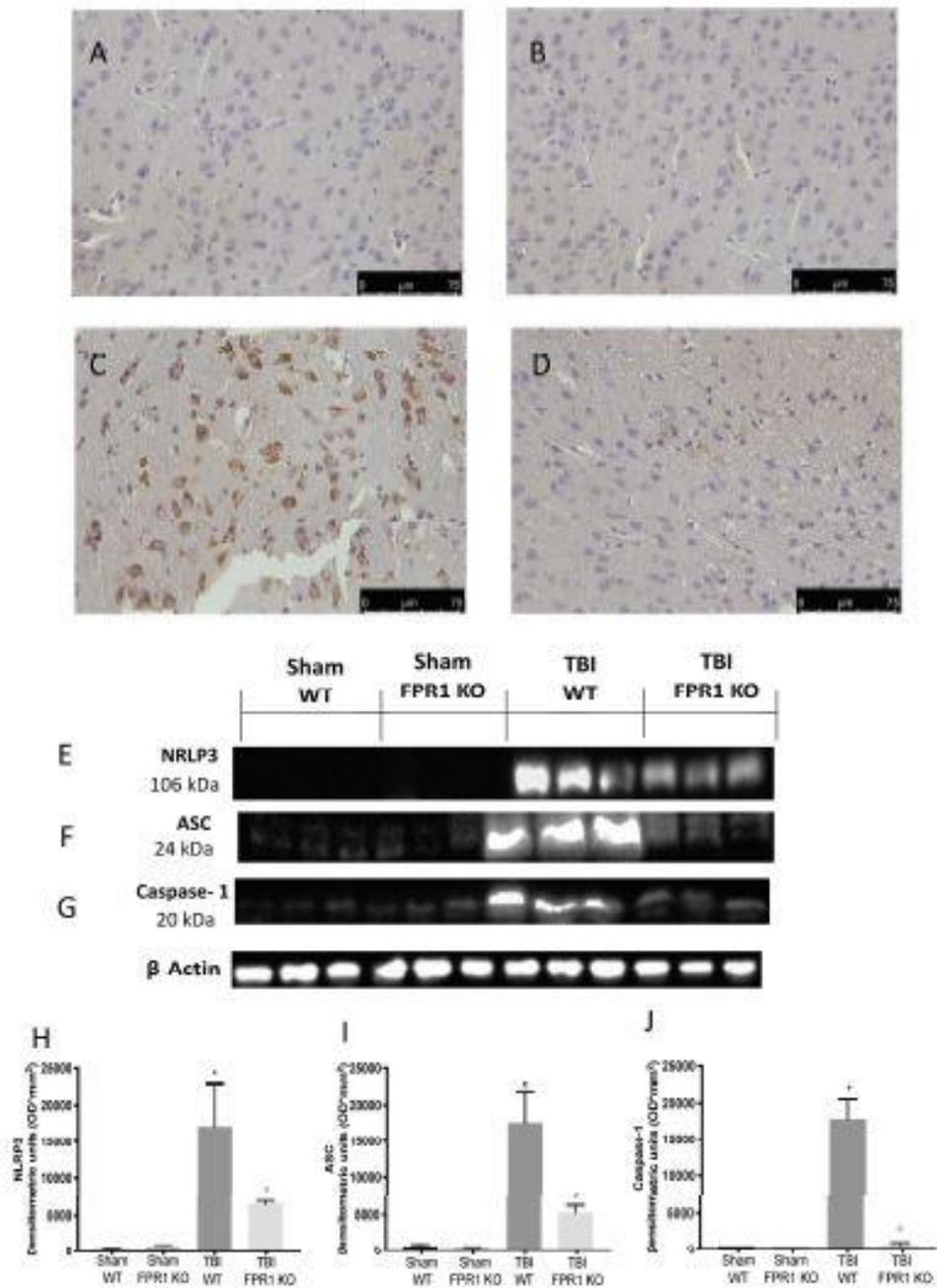


**Figure 20.** Effects of absence of *Fpr-1* on NF-κB pathway

Western blots and, respectively, the densitometric analysis of IκB-α (**A, B**) and NF-κB (**C, D**).

### ***6.2.6 Effects of absence of Fpr-1 on inflammasome components 24 h after TBI***

The activation of the NF- $\kappa$ B pathway after TBI led to an increase expression of the inflammasome complex in brain tissue. Immunohistochemical analysis showed increased NLRP3 expression in TBI WT mice (Fig. 21C) compared to sham WT (Fig. 21A) and Fpr-1 KO animals (Fig. 21B), while TBI Fpr-1 KO animals did not show any up-regulation (Fig. 21D). Western blot analysis was performed to further confirm these results. In particular, TBI WT group showed an up regulation of NLRP3 (Fig. 21E and 21H), ASC (Fig. 21F and 21I) and Caspase-1 (Fig. 21G and 21L) levels compared to the sham WT and Fpr-1 KO mice. Tissues collected from TBI Fpr-1 KO mice showed a reduced expression of all the proteins of the inflammasome complex.



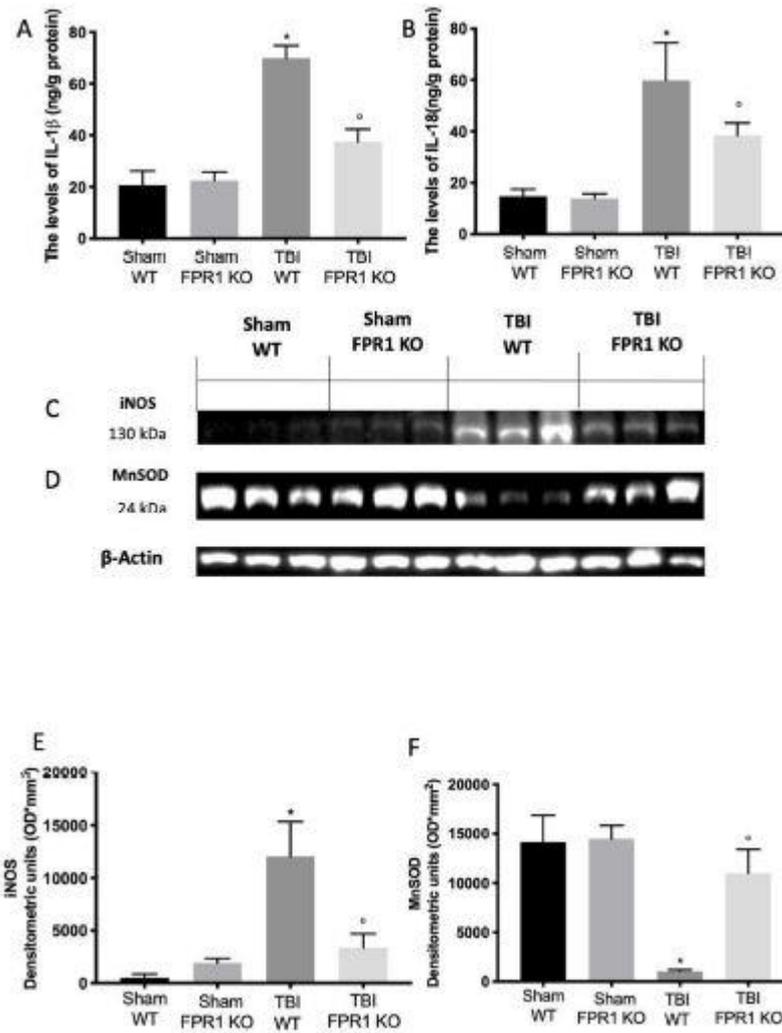
**Figure 21.** Effects of absence of *Fpr-1* on NLRP3 inflammasome complex activation

Immunohistochemical analysis of NLRP3 expression: Sham WT (A), Sham Fpr-1 KO (B), TBI WT (C), TBI Fpr-1 KO (D).

Western blots and, respectively, the densitometric analysis of NLRP3 (E, H); ASC (F, I); Caspase-1 (G, J).

### ***6.2.7 Effects of absence of Fpr-1 on cytokines expression and oxidative stress activation 24 h after TBI***

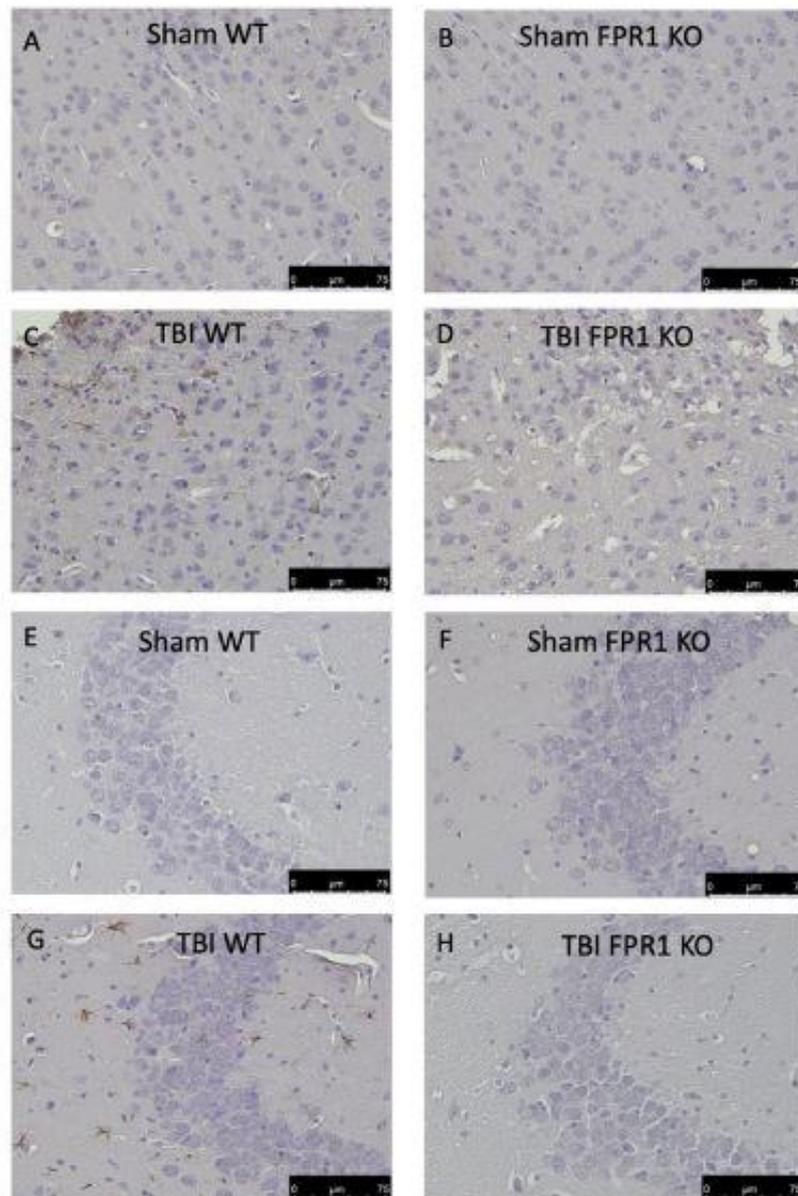
We also evaluated by ELISA kits the expression of IL-1 $\beta$  and IL-18 activated by the NLRP3 pathway. Both IL-1 $\beta$  and IL-18 levels were upregulated in TBI WT mice compared to the sham WT and Fpr-1 KO animals (Fig. 22A and 22B). The absence of the Fpr-1 significantly reduced the activation of this cytokines. Next, we evaluated the anti-inflammatory effect of the absence of Fpr-1 on oxidative stress activation 24 h post TBI. Western blot analysis for iNOS expression displayed an upregulation of its level in TBI WT animals compared to the sham WT and Fpr-1 KO mice, while Fpr-1 KO mice subjected to TBI showed a significant reduction of iNOS levels (Fig. 22C and 22E). To test whether Fpr-1 modulates oxidative process we investigated expression of Mn-SOD, an anti-oxidant enzyme. A basal expression of Mn-SOD was found in samples from sham WT and Fpr-1 KO mice. TBI reduced its expression in WT animals while the absence of Fpr-1 significantly restored Mn-SOD expression in brain (Fig. 22D and 22F).



**Figure 22.** Effects of absence of *Fpr-1* on cytokines expression and oxidative stress activation  
 ELISA analysis of IL-1 $\beta$  (A) and IL-18 (B)  
 Western blots and, respectively, the densitometric analysis of iNOS (C, D) and MnSOD (D, F).

### ***6.2.8 Effects of absence of Fpr-1 on astrocytes activation 24 h after TBI***

Astrocytes activation plays a critical role in neuroinflammation. When compared to the sham WT (Fig. 23A and 23E) and sham Fpr-1 KO group (Fig. 23B and 23F), immunohistochemical evaluation of GFAP revealed a significant increasing in TBI WT group in both cortex and hippocampus as show in Figures 23C and 23G respectively. In TBI Fpr-1 KO group, there were no significant increase in GFAP positive cells (Fig. 23D and 23H).



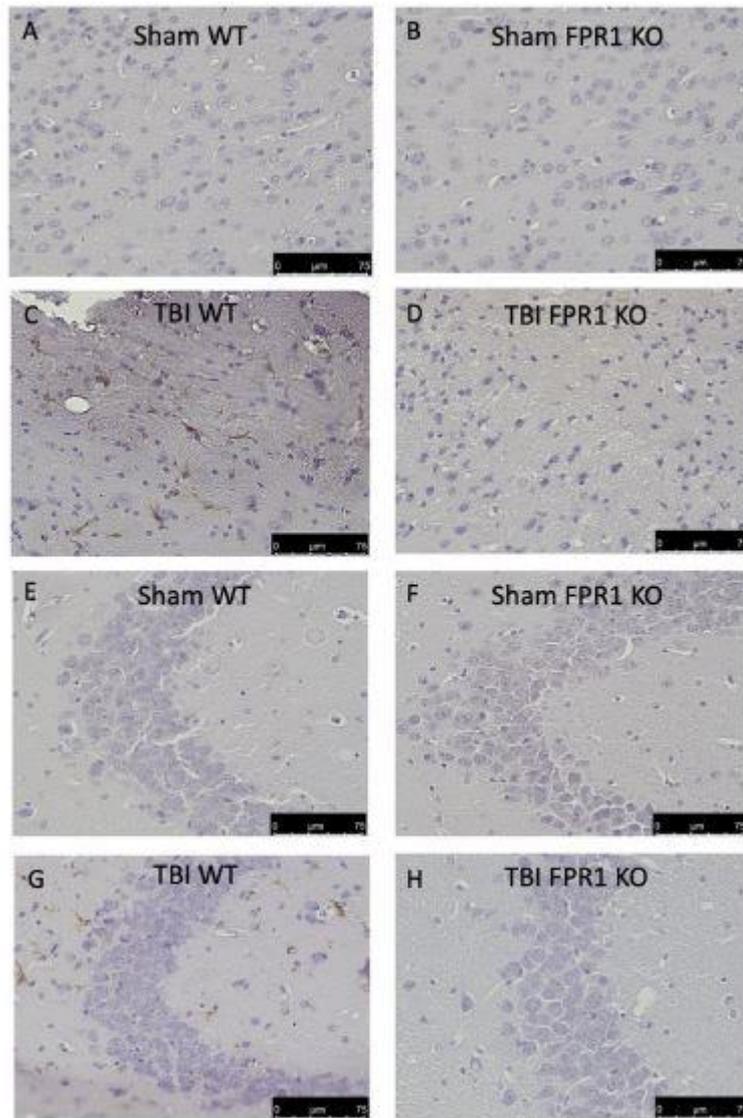
**Figure 23.** *Effects of absence of Fpr-1 on astrocytes activation*

Immunohistochemical analysis of GFAP expression in cortex: Sham WT (A), Sham Fpr-1 KO (B), TBI WT (C), TBI Fpr-1 KO (D).

Immunohistochemical analysis of GFAP expression in hippocampus: Sham WT (E), Sham Fpr-1 KO (F), TBI WT (G), TBI Fpr-1 KO (H).

### ***6.2.9 Effects of absence of Fpr-1 on microglia activation 24 h after TBI***

In order to evaluate the effect of deletion of Fpr-1 gene on microglia activation 24 h after TBI, immunohistochemical analysis was performed. We observed that Iba1 expression was very low in sham WT cortex (Fig. 24A) and hippocampus (Fig. 24E) and sham Fpr-1 KO cortex (Fig. 24B) and hippocampus (Fig. 24F), while it was increased in the TBI WT cortex (Fig. 24C) and hippocampus (Fig. 24G). In TBI Fpr-1 KO group, there were no significant increase in Iba1 positive cells in both cortex (Fig. 24D) and hippocampus (Fig. 24H).



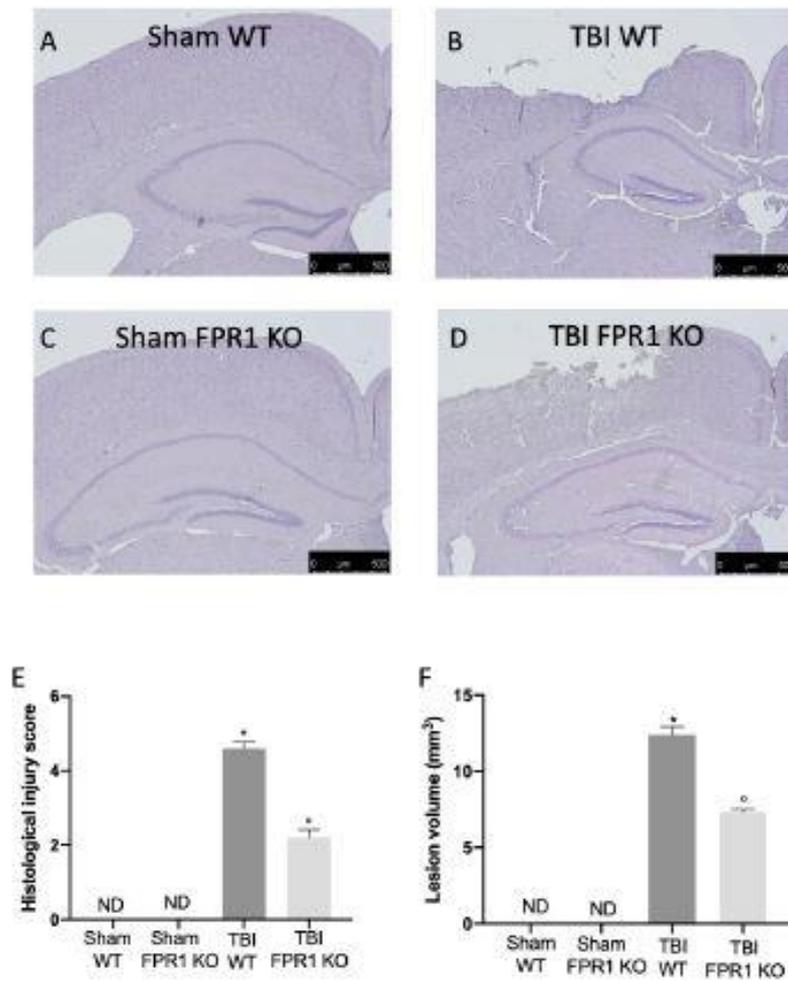
**Figure 24.** *Effects of absence of Fpr-1 on microglia activation*

Immunohistochemical analysis of Iba-1 expression in cortex: Sham WT (**A**), Sham Fpr-1 KO (**B**), TBI WT (**C**), TBI Fpr-1 KO (**D**).

Immunohistochemical analysis of Iba-1 expression in hippocampus: Sham WT (**E**), Sham Fpr-1 KO (**F**), TBI WT (**G**), TBI Fpr-1 KO (**H**).

#### ***6.2.10 Effects of absence of Fpr-1 on severity of tissue damage 4 weeks following TBI***

No significant histological and microscopic difference were detected in the brain sections of sham WT and Fpr-1 KO animals (Fig. 25A, 25C, 25E for histological score and 25F for lesion volume). Four weeks after TBI, histological analysis showed in the TBI WT group a significant tissue damage (Fig. 25B, 25E for histological score and 25F for lesion volume). TBI Fpr-1 KO group showed an important reduction of cerebral damage compared to the TBI WT group, (Fig. 25D, 25E for histological score). Moreover, the absence of Fpr-1 led to a reduction of the lesion volume compared to the TBI WT group (Fig. 25F).



**Figure 25.** Effects of absence of *Fpr-1* on histological alterations 4 weeks following TBI

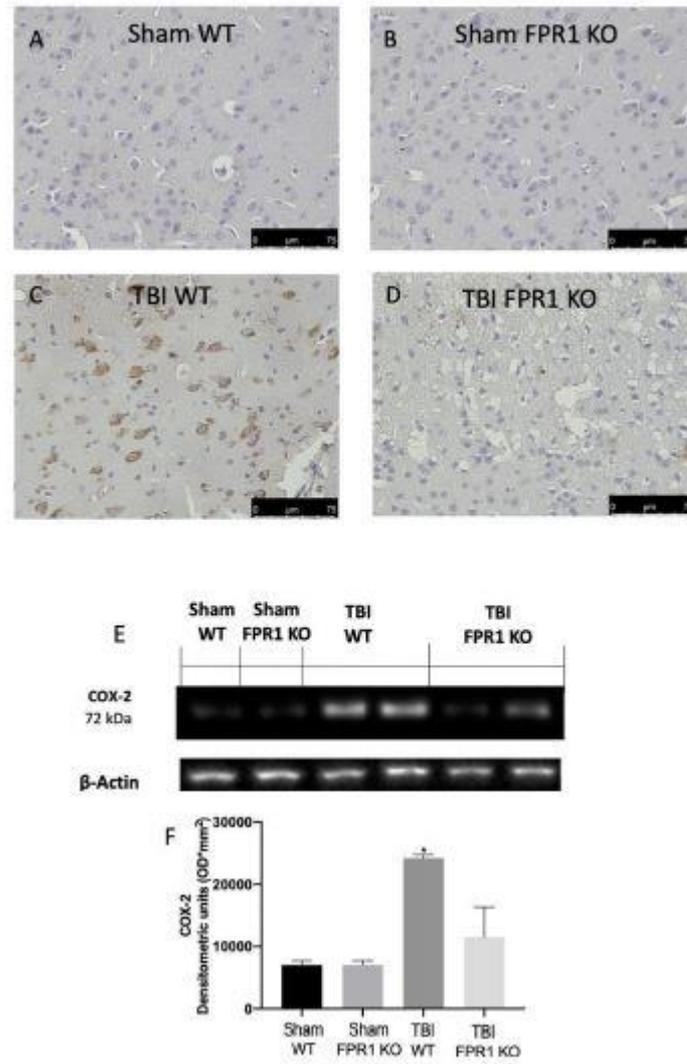
Histological evaluation in brain sections: Sham WT (A), TBI WT (B), Sham Fpr-1 KO (C), TBI Fpr-1 KO (D).

Histopathologic score (E).

Lesion volume (F).

### ***6.2.11 Effects of absence of Fpr-1 on COX-2 expression 4 weeks following TBI***

Immunohistochemical analysis showed increased COX-2 expression in TBI WT mice (Fig. 26C) compared to the sham WT (Fig. 26A) and Fpr-1 KO animals (Fig. 26B), while TBI Fpr-1 KO animals did not show any up-regulation (Fig. 26D). Western blot analysis of COX-2 confirmed this data (Fig. 26E and 26F).



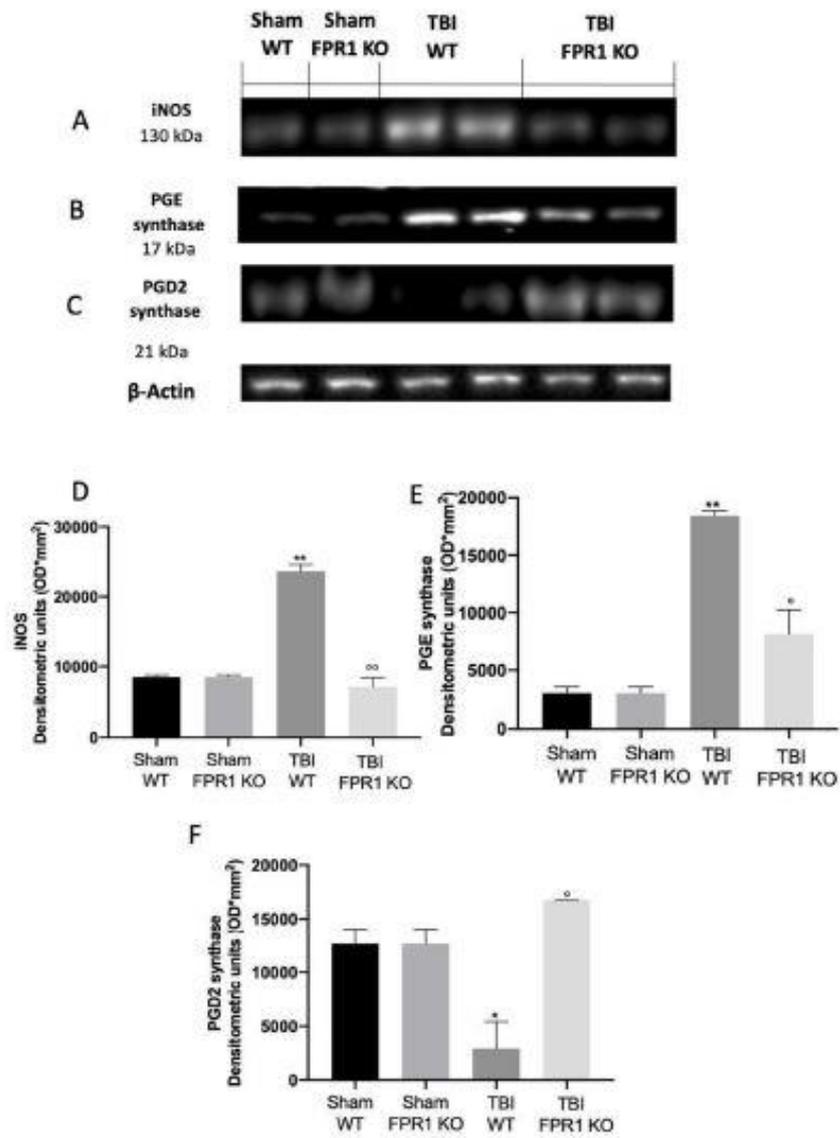
**Figure 26.** *Effects of absence of Fpr-1 on COX-2 expression*

Immunohistochemical analysis of COX-2 expression: Sham WT (**A**), Sham Fpr-1 KO (**B**), TBI WT (**C**), TBI Fpr-1 KO (**D**).

Western blots and, respectively, the densitometric analysis of COX-2 (**E**, **F**).

#### ***6.2.12 Effects of absence of Fpr-1 on iNOS and prostaglandin expression 4 weeks following TBI***

Western blot analysis displayed an increase of iNOS expression in TBI WT mice compared to the sham WT and Fpr-1 KO animals, while TBI Fpr-1 KO animals did not show any up-regulation (Fig. 27A and 27D). Additionally, western blot analysis showed up-regulated levels of PGE2 synthase (Fig. 27B and 27E) in brain collected from TBI WT mice compared to the sham WT and Fpr-1 KO animals, while TBI Fpr-1 KO animals did not show increased levels. PGD2 synthase expression was downregulated in TBI WT animals, compared to the sham WT and Fpr-1 KO animals. In TBI Fpr-1 KO group, PGD2 synthase expression did not increase (Fig. 27C and 27F).

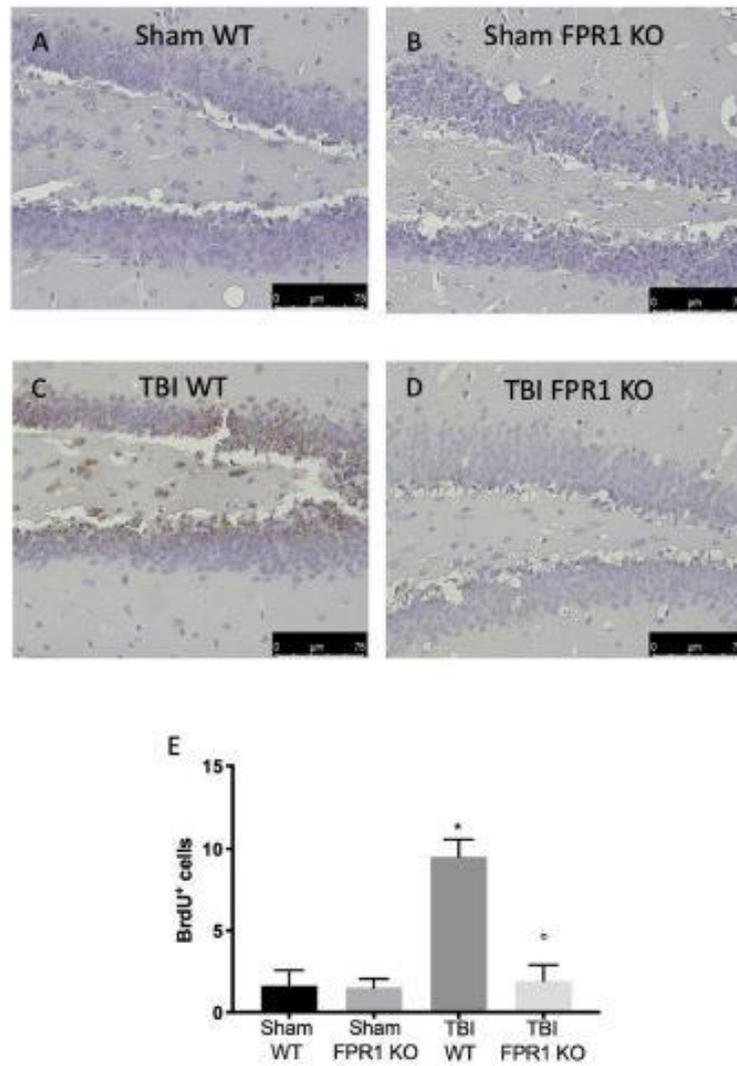


**Figure 27.** Effects of absence of *Fpr-1* on iNOS and prostaglandin expression

Western blots and, respectively, the densitometric analysis of iNOS (A, D); PGE synthase (B, E); PGD2 synthase (C, F).

### ***6.2.13 Effects of absence of Fpr-1 on cell proliferation 4 weeks following TBI***

BrdU was administered for 7 days after TBI to label the proliferating neural progenitors in the DG. Immunohistochemical analysis showed the baseline of proliferating cells in DG in section of brain taken from sham WT and Fpr-1 KO mice (Fig. 28A, 28B and 28E). In TBI WT group, density of surviving proliferated cells was elevated (Fig. 28C and 28E) while TBI Fpr-1 KO group did not show any significant upregulation (Fig. 28D and 28E).

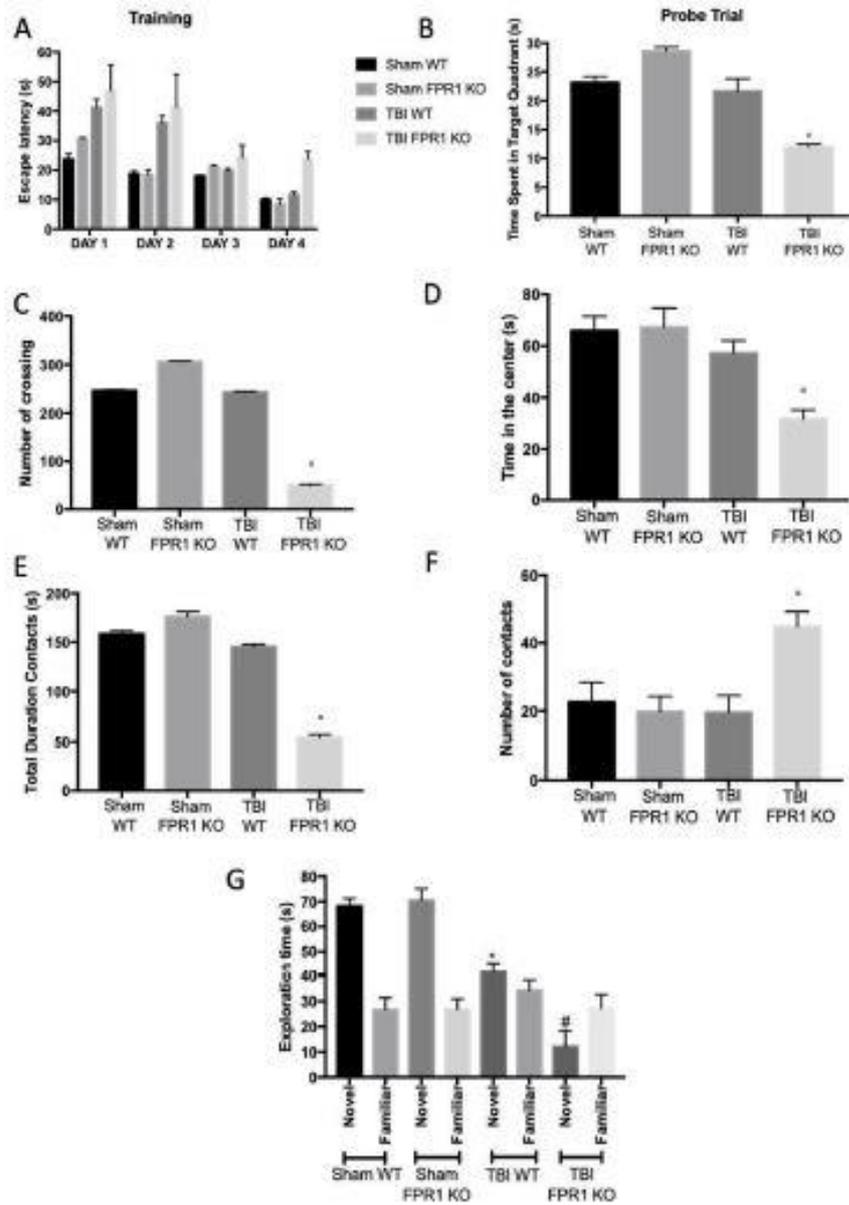


**Figure 28.** *Effects of absence of Fpr-1 on cell proliferation*

Immunohistochemical analysis of BrdU expression in dentate gyrus: Sham WT (A), Sham Fpr-1 KO (B), TBI WT (C), TBI Fpr-1 KO (D) and the densitometric analysis (E).

#### ***6.2.14 Effects of absence of Fpr-1 on behavioural performance 4 weeks following TBI***

Four weeks after CCI, Morris water maze results showed defects in learning and memory abilities in TBI Fpr-1 KO animals. During the training and the probe test the TBI Fpr-1 KO group took longer time than the TBI WT group to find the hidden platform (Fig. 29A and 29B). To assess further the locomotor activity in transgenic mice the Open Field test was performed. We found number of crossing increased in TBI Fpr-1 KO mice compared to the WT animals (Fig. 29C and 29D), in contrast TBI Fpr-1 KO group decreased time spent in the center. In order to evaluate impairments in social interaction and the exploring behaviour, important clinical features of dementia, we performed the social interaction test and the novel object recognition test. Rodents have the natural habit of exploring new objects and interacting with other mice. In the social interaction test, we observed that the total duration of the contacts was decreased in the TBI Fpr-1 KO animals compared to the TBI WT group, while the number of contacts was significantly increased in the TBI Fpr-1 KO animals compared to the TBI WT group (Fig. 29E and 29F). The novel object recognition test was used to evaluate changes in cognitive function. Fpr-1 KO animals subjected to TBI had less exploratory behaviour and spent significantly less time with the novel object, indicating compromise of cognitive function, while in TBI WT mice the function within the novel object recognition test returned to normal values (Fig. 29G).



**Figure 29.** Effects of absence of *Fpr-1* on behavioral tests

Training and Probe trial of the Morris water maze (A and B).

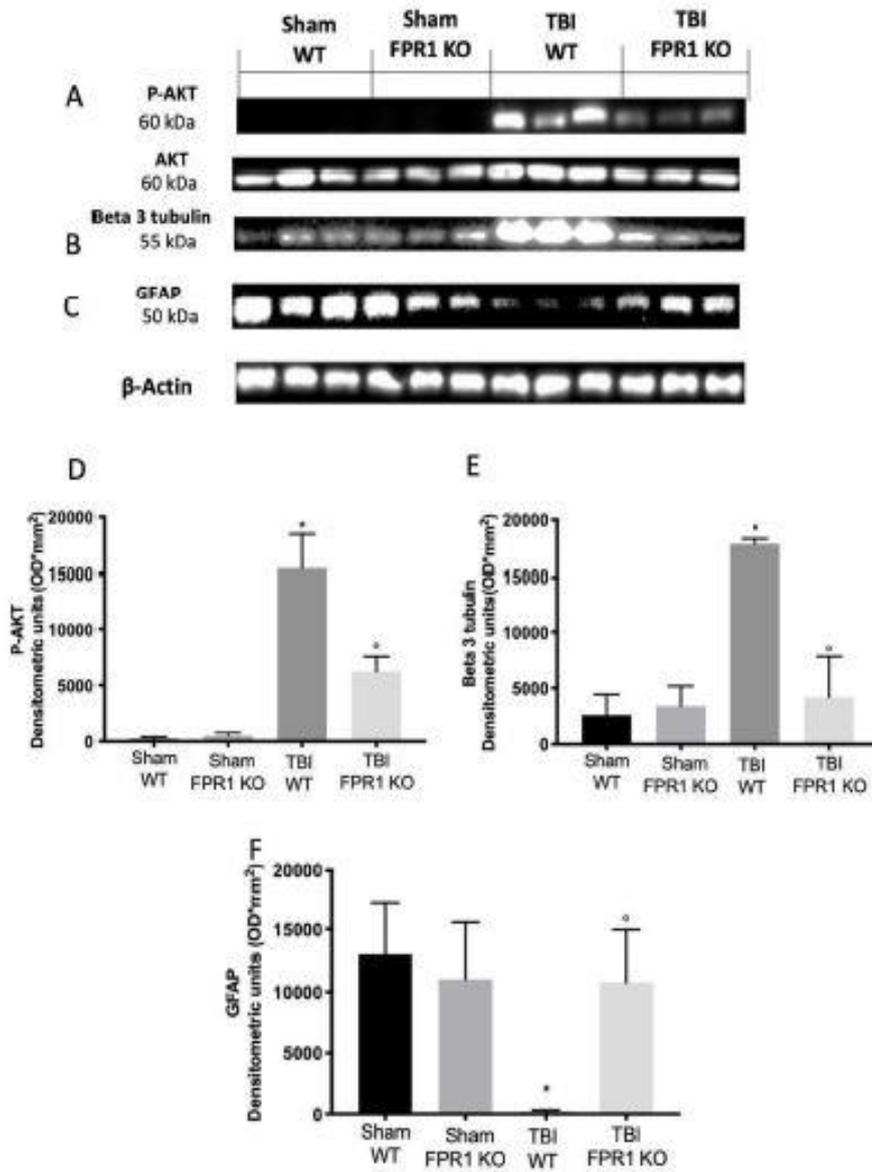
Elevated Plus Maze test: time in the open arm and in the central zone (C and D).

Social interaction test: total duration of the contacts and number of contacts (E and F).

Novel object recognition test (G).

#### ***6.2.15 Effects of absence of Fpr-1 on self-renewal and neurogenesis 4 weeks following TBI***

To determine whether the absence of Fpr-1 influence the neuronal differentiation, 4 weeks after TBI, western blot analysis for p-AKT, AKT,  $\beta$ -III tubulin and GFAP was performed. Western Blot analysis showed that p-AKT/AKT ratio significantly increased in WT animals 4 weeks after injury, compared to TBI Fpr-1 KO animals (Fig. 30A and 30D). In WT animals subjected to TBI also the expression of the neuron marker  $\beta$ -III tubulin increased (Fig. 30B and 30E) meanwhile, levels of GFAP gradually decreased (Fig. 30C and 30F). Four weeks following TBI in absence of Fpr1  $\beta$ -III tubulin levels did not increase (Fig. 30B and 30E), while GFAP levels were significantly up-regulated compared to the WT animals (Fig. 30C and 30F).



**Figure 30.** Effects of absence of *Fpr-1* on neuronal differentiation

Western blots and, respectively, the densitometric analysis of p-AKT (**A, D**);  $\beta$  3-tubulin (**B, E**); GFAP (**C, F**).

## **CHAPTER 7: Discussion**

FPRs, a subfamily of chemoattractant GPCRs, have a wide gamma of ligands and are expressed by a wide variety of cell types, immune and non-immune cells, including cancer cells [12]. However, each members of the FPR family exert different functions, depending on the ligands or the tissue contexts. We can hypothesize that differences of FPR functions could be due to the different physiological roles in these tissues exercised by FPRs.

During the last few years, substantial progress has been made in the understanding of the biological roles of FPR-1. One of the major advances in understanding the pathophysiological functions of FPR-1 (Fpr1) is their important role in regulating leukocyte trafficking and immune responses [6]. This is supported by growing evidence suggesting that FPR1 plays an important role in inflammation.

The important pathogenic role of Fpr1 has been already described by our research team in experimental models of colitis and endometriosis [17, 18]. In this thesis, we have further investigated the role of FPR1 in two different models of acute and chronic inflammation.

In the first study, we studied the effect of the Fpr-1 gene deletion on animals subjected to tracheal transplantation. This experimental model induces pathological alterations similar to those seen in BOS. In detail, BOS, a chronic inflammatory process, is clinically manifested with the obliteration and obstruction of small airways, characterized by an infiltration of peribronchiolar leukocytes that eventually invade and disrupt the basement membrane, submucosa and airway epithelium [208]. This is followed by fibro-proliferation, formation of granulation tissue, ECM accumulation and ending with fibro-obliteration of airways [209]. The present study using transgenic mice aimed at a meticulous valuation of the inflammatory response, comparing the progression of BOS in IL-1 $\beta$ /IL-18 KO, Casp-1 KO, and Fpr-1 KO mice compared to WT animals. Our data demonstrate that the absence of Fpr-1 makes mice considerably less susceptible to developing BOS compared with other groups.

Such a strong outcome is reliant on a variety of cellular and tissue changes that seem under the control of this receptor, which is upstream of the inflammatory cascade. In the present study, we showed that the deletion of Fpr-1 was able to reduce histopathological signs of BOS, like airway obliteration and the loss of epithelial cell integrity, but also to decrease collagen deposition. Moreover, tracheal transplantation and related BOS are associated with a high number of mast cells, as displayed in the WT group, while Fpr-1 KO animals showed a significant reduction of intact or degranulated mast cells compared to other transgenic mice. Furthermore, we reported that the absence of Fpr-1 appears to increase the protective effects (ameliorated histological alteration and collagen deposition) because different converging inflammatory pathways are being inhibited. It has been often demonstrated that NLRP3 inflammasome and NF- $\kappa$ B pathways contributes to acute and chronic allograft rejection [210]. Therefore, we investigated on expression of inflammasome complex 4 weeks after transplantation by Western blot analysis. Our results reported a high expression of NLRP3 and ASC in samples taken from the WT mice, while absence of Fpr-1 led to a reduced activation of all the members of the NLRP3 inflammasome complex, compared to other groups where there was a small but not significant reduction. At the same way, absence of Fpr-1 receptor was able to restore I $\kappa$ B- $\alpha$  expression to basal levels into the cytoplasm and consequently to reduce NF- $\kappa$ B levels into the nucleus, better than other groups. The inflammatory process generates significant, sometimes toxic, amounts of ROS and nitrogen species, which induce oxidative and nitrosative stress. ROS can cause DNA damage, leading to poly-ADP-ribose synthase activation and cell death [211]. In line with the above, in our study, graft samples taken from Fpr-1 KO animals displayed reduced iNOS expression compared to the other transgenic groups. Moreover, we observed an important increase of immunoreactivity for nitrotyrosine and PARP in WT mice. Absence of Fpr-1 receptor significantly reduced the expression of these markers, which can play a protective role against the damage of BOS.

Additionally, it is well known that apoptosis in the transplanted tissues or organs is a common phenomenon that affects the functional activity of the grafts. Previous studies have established the contribution of apoptosis to the damage of epithelial cells during the progression of BOS [198]. In this study, the apoptotic cells were observed within the tracheal epithelium cell and cartilage in grafts sections from WT mice. The absence of IL-1 $\beta$ /IL-18 or Casp-1 genes showed a small decrease in the percentages of apoptotic cells, and this was not significant. Instead, in Fpr-1 KO animals, TUNEL staining showed that the number of apoptotic cells decreased significantly 4 weeks after tracheal transplantation.

Mast cells are also important for the development of neo-angiogenesis [17], which guarantees oxygen supply to implants. Additionally, angiogenesis also plays an important function into progression of various chronic inflammatory pathologic conditions, including diabetic retinopathy, macular degeneration, pulmonary fibrosis, and RA [212, 213]. These diseases have in common chronic inflammation and fibroproliferation associated with marked vascular remodelling. Since fibro-obliteration mechanisms occurring during BOS is analogous to these diseases, we hypothesized that vascular remodelling due to aberrant angiogenesis may contribute to the progression of BOS. We observed that VEGF, a grow factor that promote angiogenesis and neovascularization in tissue, was downregulated in Fpr-1 KO groups; while no reduction was observed in other groups. As mentioned previously, the material, which obstructs the airway lumen consists principally of collagen. This response is likely mediated in large part by a rise in the expression of TGF- $\beta$  in the lumen and its surrounding cells. This suggests an important role for TGF- $\beta$  in the tissue-remodelling response that is characteristic of transplant-associated BOS. In fact, TGF- $\beta$  stimulates collagen and fibronectin production in fibroblasts; on the other hand, it can suppress the production of proteases that degrade the ECM [214]. Its expression is affected by the translocation of the transcription factor NF-kB from the cytoplasm to the nucleus [215]. These evidences are in line with our results; in fact, we observed that the deletion of

Fpr-1 gene ameliorated the obstruction of the airway via the downregulation of expression of TGF- $\beta$ , more than in other transgenic animals. Moreover, TGF- $\beta$  induces its own gene expression by the Ras/MAPK signalling pathway [216]. Since other studies have already proven the MAPK pathway promotes the transformation of fibroblasts into myofibroblasts [217], we investigated whether ERK1/2 and p38 MAPK could be activated in BOS. In this experimental model, we observed that absence of the Fpr-1 receptor reduced the expression of both MAPKs more so in other groups compared to the WT mice.

Summing up, our study demonstrates that the chronic inflammatory process associated with the progressive obliteration of small airways induces proinflammatory signals transduction by modulating the intracellular FPR pathways. Mice with a targeted deletion of Fpr1 gene was substantially less vulnerable to the pathologic characteristics of BOS-related damage in comparison to the control, while IL-1 $\beta$ /IL-18 KO or Casp-1 KO mice were less susceptible than the WT group but more than the Fpr-1 KO animals.

In the second study, we evaluated the effect of deletion of Fpr-1 receptor on rodents subjected to TBI, from the early stage of acute inflammation to the neurogenesis 4 weeks from the injury. CCI is one of the most used animal models to induce TBI thanks to its ability to reproduce what happens in human [218].

The first part of this second study demonstrated the potential effect of absence of Fpr-1 in acute response in a model of TBI. Twenty-four hours following TBI, the MPO test, used as a specific method to quantify neutrophil accumulation, display a reduction of MPO activity in animals lacking the Fpr-1 gene, compared to the WT animals [219]. In absence of Fpr-1 receptor, the second messenger p-38 MAPK and ERK, usually increased 24h after injury [220], were not phosphorylated. Fpr-1 gene deletion display positive effect against trauma through the inhibition of the MAPK pathway. Moreover, the ERK1/2, PI3K and p38 MAPK signalling pathways are directly involved in the induction of COX-2 in neutrophils [221]. After TBI, expression of COX-2 and PGE2 synthase significantly increased, instead PGD2

synthase levels decreased, indicating that PGE2 and PGD2 provided contraindicative inflammatory and anti-inflammatory effects, respectively [222]. In absence of the Fpr-1, COX-2 levels were decreased as well as PGE2 synthase while PGD2 synthase showed increased levels compared to the WT animals indicating that Fpr-1 could modulate acute inflammation acting through the COX-2 pathway. As said previously, NF- $\kappa$ B is a chief regulator of inflammation. The positive effects of the Fpr-1 gene deletion may be attributed, in part, to the down-regulation of the NF- $\kappa$ B pathway. Brain tissues collected from Fpr-1 KO animals showed a reduced I $\kappa$ B- $\alpha$  degradation and NF- $\kappa$ B translocation into the nucleus induced by the injury. Through this inhibition the absence of the Fpr-1 receptor reduce the transcription of the NF- $\kappa$ B target genes involved in the enhancement of the inflammatory process, such as the NLRP3 inflammasome. Once again, the Fpr-1 deletion was able to reduce the NLRP3 transcription and the resulting assembly of the inflammasome complex by reducing the activation of the acute phase of inflammation. Moreover, the upregulation of proinflammatory cytokines induces generation of ROS that causes oxidative stress [223]. Therefore, the upregulation of iNOS expression was found in brain samples after injury [224]. Fpr1 KO mice displayed a reduced iNOS expression, which may have a protective role against TBI damage [225]. Additionally, we underlined that the absence of Fpr-1 stabilized levels of the anti-oxidant enzyme Mn-SOD induced by CCI. The brain trauma causes an alteration of the normal function of the BBB, allowing access to the lesion site from macrophages, neutrophils and lymphocytes. Therefore, the accumulation of these cells release inflammatory mediators that activate the glial and inflammatory cells, thus supporting the inflammatory process [226, 227]. A typical sign of damage to the CNS is the increase in reactive astrocytes, as indicated by an increase in GFAP expression [228], and the involvement of microglia, showed by the increase of expression of Iba1 [229]. Our results showed that the absence of Fpr-1 led to a reduction of Iba1 and GFAP expression at the early phase of inflammation. Interestingly, the major pro-inflammatory markers continue

to be overexpressed in hippocampus and brain cortex also 4 weeks following TBI [230], while mice lacking the Fpr1 gene showed a reduction of neuroinflammation, compared to the WT animals.

In order to evaluate the inflammatory course 4 weeks after TBI, we evaluated several inflammatory markers also at this timepoint. Biochemical markers of neuroinflammation and oxidative stress were significantly elevated at this time point [231]. In human trials, microglial activation has been proven to persist for a long time following the initial trauma, specially after moderate or severe TBI [232]. Our data confirmed the persistent inflammation 4 weeks after injury in WT animals, while genetic deficiency of the Fpr-1 showed a reduction of oxidative stress, COX-2 and PGE2 synthase expression. It is well described that TBI induces secondary processes leading to neurological disorders, such as learning and memory impairment [233]. In contrast, 4 weeks after severe CCI, it induces neuron differentiation and cell proliferation [234]. In particular, it promotes neurogenesis at three stages: immature neurons, NSC proliferation and newly-generated mature neurons [234-236]. Neural stem cells care adult neurogenesis and are the regenerative potential of the brain through a lifetime. This proliferation after TBI brings about the possibility of repair of damage. Recently, FPRs have been found in stem cells [237-239], spinal cord, human brain, hypoglossal nucleus neurons and anterior horn cells [60]. Importantly, protein levels of Fpr-1 increased during the differentiation process in the neural stem cells [240]. In particular, neural stem cells can differentiate into astrocytes, neurones and oligodendrocytes, expressing GFAP, beta-III tubulin and Olig2 [241]. The second part of the study evaluates the effect of the absence of the Fpr-1 on neurological disorders and neurogenesis associated with TBI. The number of BrdU-incorporating cells showed an increased neurogenesis in WT animals subjected to TBI 4 weeks after injury compared to the Fpr-1 KO group. To address whether the observed difference between Fpr1 KO and WT mice was also shown in animal behaviour several tests were performed. Behavioural tests showed the impaired cognitive

and social functions of the Fpr1 KO mice subjected to TBI compared to the WT animals with the same lesion. In particular, in the morris water maze test Fpr-1 KO animals displayed reduced memory and learning capacity; in the open Field, social interaction and novel object recognition tests they showed reduced exploratory activity and anxiety-like behaviour.

To comprehend further the mechanism by which Fpr-1 influenced neurogenesis, molecules associated with neuronal differentiation were assessed. A recent study underlined that self-renewal and neurogenesis are regulated through the PI3K/Akt pathway [242]. In this work for the first time, we show that 4 weeks following TBI neurogenesis and neuronal differentiation are activated by Fp-r1 signalling. This pathway involves the PI3K up-regulation and AKT phosphorylation. In absence of the Fpr1 animals displayed reduction of neural differentiation while up-regulation of astrocytes differentiation. This showed by the reduction of beta-III tubulin expression, while GFAP expression was increased showing no inhibition of astrocytes differentiation.

Collectively, our study reported that the absence of Fpr-1 receptor reduced inflammation and oxidative stress immediately after TBI (24 h) and at chronic timepoint (28 days).

## **CHAPTER 8: Conclusion**

FPRs have evolved to be a class of receptors that are expressed by a large variety of cells and are able to recognize a wide range of structurally distinct ligands. A growing body of evidence suggests that the role of FPRs is not limited to host defence against microbes, but also play a key role in several inflammatory disease, such as atherosclerosis, COPD, asthma, autoimmune diseases and cancer. The development and application of transgenic mouse models into several experimental models, and the awareness of FPR1 functions in non-myeloid cells have now firmly established the functional importance of FPR1 in pathophysiology of a plethora of inflammatory diseases. Although these findings have significantly expanded the scope of FPRs pharmacology and biology, a better understanding how FPRs recognize and respond to distinct ligands is required to explore further their involvement in human pathologies. Studies on cultured cells, preclinical models and clinical trials identified FPRs as potential molecular targets for the development of novel therapeutic approaches, but also highlighted challenges in translating the experimental findings into the clinical setting.

Thus, FPRs could represent emerging therapeutic target for the discovery of new drugs to treat acute and chronic inflammatory diseases.

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